

NZTR RACING POLICY



Approved by: New Zealand Thoroughbred Racing in consultation with New Zealand Racing Clubs and the New Zealand Trainers Association.

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1. Application

This Racing Policy has been issued by New Zealand Thoroughbred Racing Incorporated in accordance with Rule 501 of the New Zealand Rules of Racing. As set out in Rule 106 of the New Zealand Rules of Racing, all persons bound by the Rules are bound by and must comply with the provisions of this Racing Policy.

2. Statement of Purpose

The purpose of this policy is to provide guidance and information on racing related topics to New Zealand Thoroughbred Racing stakeholders.

3. Definitions

In this policy, unless the context otherwise requires:

“Apprentice”, as per New Zealand Rule of Racing 311 and 312 means:

(a) a person who is a holder of a Class B rider’s licence

in accordance with NZTR’s Apprentice Policy and subject to an Agreement of Apprenticeship.

“Benchmark Rating Race” means a race in which the minimum top weight will be allocated to any horse or horses with a handicap rating equivalent to the nominated benchmark figure. Horses rated higher than the nominated benchmark figure will remain eligible but will be weighted upwards from the minimum top weight, receiving 0.5kg for each rating point by which their rating exceeds the nominated benchmark, notwithstanding any subsequent re-handicap. If the highest rated horse nominated for the race is rated below the nominated benchmark that horse will be allocated the minimum top weight.

In the Rating 65 grade, horses who have won two or more races nominate or race until their rating is at 65 or below.

“Club” means an incorporated society registered with NZTR pursuant to NZTR’s Constitution.

“Connections” The owners or lessees of a horse and/or horses.

“Early Nomination Race” means a Race advertised for a Race Meeting, where the nominations deadline advertised for that Race is a date prior to the date on which the nominations close for the other standard advertised Races for that same Race Meeting.

“Flat Race” means a Race in which there are no obstacles, including any Hurdles or Steeples, to be jumped by the horses competing in that Race (i.e. a Race that is not a Jumping Race).

“The Forty-Eight (48) Hour Rule” is a policy designed to give all horses the greatest opportunity to start in a race by discouraging horses to run where there are multiple opportunities where some horses may potentially miss out..

“Free Racing Fee Structure” means the policy adopted by NZTR in relation to the fees applicable to nominations, non-acceptances and withdrawals and may include a “Free Racing Structure” if applicable.

“Handicap Race” means a Race in which the weights to be carried by the horses are allotted by the Handicapper for the purpose of equalising their chance of winning.

“Handicapping Policy” The Guide/Policy published by NZTR that specifically details Handicapping in New Zealand and contains the Weight-For-Age Scale and Ratings Based Templates.

“Handicapper” means a person appointed under Rule 213.

“Highweight Race” means any set weight Highweight Race or handicap Highweight Race in which only Riders who hold a Class D rider’s licence may ride. Riders who hold a Class A or Class B licence in addition to a Class D licence may only compete in these races if in the period from 1 January in the previous year they have had at least one ride in a Steeplechase Race or Hurdle Race.

“Hurdle Race” means a Race in which there are a number of Hurdles, of a type specified in this Racing Policy, to be jumped by horses competing in that race.

“Jumping Race” means a Hurdle Race or Steeplechase Race, as applicable.

“Jumpers Flat Race” means a Flat Race in which only horses that have started in a Jumping Race at a Race Meeting or hold a current jumping certificate, as at midnight on the day immediately prior to the day on which nominations for that Race close, may start.

“MAAT Race” means a race in which the eligibility to nominate for this race is subject to a horse being a Maiden horse on a specified date (**Maidens As AT**). The specified date will be advertised in the RPG.

“Maiden” means:

- (a) for a Flat Race, a horse, which at the time of starting in a Flat Race, has not previously won a Flat Race;
- (b) for a Hurdle Race, a horse, which at the time of starting in a Hurdle Race, has not previously won a Hurdle Race; and
- (c) for a Steeplechase Race, a horse, which at the time of starting in a Steeplechase Race, has not previously won a Steeplechase Race.

“Maiden Race” means in respect of a Flat Race, a Hurdle Race, or a Steeplechase Race (as applicable), a Race of that type in which only Maidens may start.

“Maiden Flat Race” means a Flat Race in which only Maidens who are Three-years and older, unless specified otherwise in the condition of the Race, may start.

“Maiden Hurdle Race” means a Hurdle Race in which only Maidens who are Three and a half years and older may start.

“Maiden Steeplechase Race” means a Steeplechase Race in which only Maidens who are four years and older may start.

“NZTR” means:

- (a) New Zealand Thoroughbred Racing Incorporated and/or LoveRacing.nz;
- (b) the Board of New Zealand Thoroughbred Racing Incorporated;
- (c) the Chief Executive of New Zealand Thoroughbred Racing Incorporated; and
- (d) any agent, employee, director or officer of New Zealand Thoroughbred Racing Incorporated to whom the Board has delegated a power, duty, right or obligation.

“NRB” means the National Racing Bureau, a business unit of NZTR which provides services relating to nominations, withdrawals, composition of fields, rider declarations, scratchings and any other administrative functions relating to a Race Meeting.

“Open Handicap Race” means, for a Flat Race, a Handicap Race for horses which at the time of starting are not less than Three-years-old.

“Open Hurdle Race” means, for a Hurdle Race, a Handicap Race for horses that are Three and half years and older.

“Open Steeplechase Race” means, for a Steeplechase Race, a Handicap Race for horses which are four years and older.

“Programming Meetings” are the quarterly meetings held by NZTR and the Regional Programming Committees to determine races for the following three months

“Policy” means this Racing Policy, being the Racing Policy issued by NZTR in accordance with Rule 501.

“Race” means a thoroughbred horse Race conducted during a Race Meeting by any Club. “to Race” has a corresponding meaning.

“Race Meeting” means any meeting conducted by a Club at which thoroughbred horse racing takes place and at which the Totalisator is in operation.

“Racing Programme Guide” is the official guide that displays up-coming race meetings and races for New Zealand Thoroughbred Racing. This booklet is published on the NZTR website and is referred to as the “RPG”.

“Regional Programming Committees” are the groups based in the Northern, Central or Southern Regions that work with NZTR to compile the race programme.

“Restricted Open Hurdle Race” means, for a Hurdle Race, a Handicap Race for horses which are Three and half years and older and which the minimum weight allotted shall be not less than 66kg

“Restricted Open Steeplechase Race” means, for a Steeplechase Race, a Handicap Race for horses which are four years and older and which the minimum weight allotted shall be not less than 66kg

“Rider” means a person authorised in the Rules, as the holder of a Rider’s Licence to ride a horse in a Race. For the purpose of drug and alcohol testing, ‘Rider’ also includes a holder of a class A or Class B miscellaneous licence, and any other person who rides a horse in trackwork, trials, or at any Training Facility or Trainer’s Premises.

“Rules” means the Rules of Racing, being the rules made by NZTR for the purposes of section 30 of the Racing Act 2003, including any amendments to the Rules made under section 39 of the Racing Act 2003 and any regulations, directions, policies, guidelines and practices made under Rule 106.

“Set Weight Race” means a Race where all horses carry the same weight subject to a gender/age allowance.

“Set Weight with Penalties Races” means a Race where weights are determined by a system of fixed penalties for winning performances and

allowances may be given for age, gender and class of horse. These penalties are set out in the NZTR Standard Regulations, or in the official conditions in the RPG.

“Single Nomination” means a horse entered for only one race at a specific race meeting.

“Special Conditions Open Entry Hurdle Race” means, a Hurdle race where the weight conditions and stake money will be determined after nominations have closed. This race is only open to horses that hold a jumping certificate who are Three and a half years or older.

“Special Conditions Open Entry Steeplechase Race” means, a Steeplechase race where the weight conditions and stake money will be determined after nominations have closed. This race is only open to horses that hold a jumping certificate who are four years or older.

“Special Conditions Race” applied to a race where the standard conditions have been altered by the Club in conjunction with NZTR to provide horses with additional opportunities, or to run a race under novelty conditions (such as a Greys Only race).

“Steeplechase Race” means a race in which there are a number of Steeplechase fences, of a type specified in this Racing Policy to be jumped by horses competing in that race.

“Weight-For-Age Race” means a Race with the weight to be carried by each horse allotted in accordance with the Weight-For-Age Scale, which is set out as part of this Policy.

“0-1 Win Race” means a race restricted to horses that at the time of nomination have won not more than one race in that race category.

All other definitions and terms which are not defined above shall bear the meaning set out in the Rules.

4. Flat Race Types

4.1 The following types of Flat Races may be included in the programme of a Club:

- 4.1.1 Open Handicap Races;
- 4.1.2 Maiden Flat Races;
- 4.1.3 0-1 Win Races
- 4.1.4 Races for horses with a rating up to a certain rating limit at the time of nomination;
- 4.1.5 Benchmark Races;
- 4.1.6 Races for Two-year-olds only;
- 4.1.7 Races for Two and Three-year olds only
- 4.1.8 Races for Three-year-olds only;
- 4.1.9 Races for Three and Four-year-olds only;

- 4.1.10 Races for Four-year-olds only;
- 4.1.11 Weight-For-Age Races;
- 4.1.12 Set Weight Races;
- 4.1.13 Set Weight with Penalties Races
- 4.1.14 Highweight Races;
- 4.1.15 Jumper's Flat Races;
- 4.1.16 Special Conditions Race
- 4.1.17 MAAT Races
- 4.1.18 Races for fillies and/or mares, or for entires and/or colts and/or geldings;
- 4.1.19 Such other Races as may, on the application of a Club, be approved by NZTR as being able to be included in the programme for a Race Meeting to be conducted by that Club.

5. Apprentice Only & Non-Claiming Races

5.1 Apprentice Only Races

- 5.1.1 NZTR will programme Apprentice Only races at its discretion and may consult with Regional Programming Committees to determine such programming.
- 5.1.2 Unless an exemption has been given by NZTR, a Race programmed for Apprentices only cannot be:
 - a Race for Two-years-old only; or
 - a Race with a distance which is less than 1200m.

5.2 Apprentice Claiming Races

Unless an exemption has been given and advertised by NZTR, Allowances are able to be claimed in all races that are not Group or Listed races.

6. Two-Year-Old Restriction

No race shall be programmed in which Two-year-olds are permitted to run against horses four years or older unless that race is a set weight race or a weight-for-age race.

7. Race Programming Process

As per Rule 508, NZTR approves race programmes in consultation with regional Club representatives in the following way.

Programming meetings where race programmes are set occur in the Northern, Central and Southern regions every three months. At the respective meetings, programmes are set three months in advance for a three month period. Those who attend meetings and assist in the programming process are:

- Regional Programming Chairman
- Club representatives
- NZ Trainers' Association Representative/s
- NZTR representatives
- Racing Integrity Unit representative (optional)

NZTR, following consultation with the regular attendees at Northern, Central and Southern programming meetings may invite additional interested persons to attend programming meetings from time to time.

The schedule below depicts the general meeting frequency and the programmes that are set at each meeting:

MEETING DATE	PROGRAMMES
May/June	1 August – Mid November
August/September	Mid November – Mid February
November/December	Mid February – Mid May
February/March	Mid May – 31 July

After the meetings, NZTR will draft the programmes and submit to Clubs for confirmation.

9. Handicapping Policy and Handicapping Complaints

9.1 The Handicapping Policy is in place to assist industry participants to understand:

- The weight horses will receive in relation to their rating in any particular race
- The New Zealand handicapping system and methodologies used by the handicappers

The policy provides greater detail of handicapping and handicap races, and also provides greater transparency as to how the handicappers operate and will ensure the handicapping system remains fair and consistent at all times.

The policy also contains relevant weight scales, including those for Benchmark Ratings races and the New Zealand WFA Scale.

9.2 Any official handicapping complaints (not resolved by way of discussion with the handicapper) will need to be written and sent to:

complaintshcp@nztr.co.nz

In relation to the complaints process, ratings will not be altered (providing a clerical error hasn't been made), unless each of the following apply:

- the justified complaint is received within (5) working days of the published re-rating
- the justified complaint is received prior to Nomination in a subsequent race (excluding early entry races)
- a recommendation to alter the rating is given by way of an official independent review.

11. Racing Fee Structure

11.1 Applicable fees for Rating 70 level and above, including all Group and Listed flat races and Prestige or Feature Jumping Races are as follows, unless otherwise advertised:

- (a) Nomination Fee: The connections of any horse that is nominated for a race (unless a Group or Listed flat or a Prestige/Feature Jumping Race) will be

charged a Nomination Fee of \$50.00 (plus GST).

(b) Scratching Fee: In the event that a horse is an acceptor in a race and is then scratched, the connections will be charged a Scratching Fee being the \$50.00 (plus GST) Nomination Fee, together with the Acceptance Fee of 0.75% of the advertised prize money (plus GST) for that race.

(c) Jockeys' Riding Fee: Connections are to pay Riding Fees on all starters.

(d) Jockeys' Engagement Fee: from 1 February 2021 connections are to pay a Jockeys' Engagement Fee of \$80 (plus GST) for flat rides, \$110 (plus GST) for jumps rides, where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

11.2 Free Racing Structure – Nomination and Acceptance Fees will not apply to races below Rating 70 level, including all Maiden, Rating 65 and all 2YO, 3YO, 4YO, MAAT flat races that are not Group or Listed races, and all jumping races that are not Prestige or Feature Jumping races.

(a) Withdrawal Fee: the connections of any horse that is nominated but then withdrawn from a race where there are no advertised nomination fees will be charged a Withdrawal Fee of \$50.00 (plus GST).

(b) Scratching Fee: the connections of any horse that is nominated and is an acceptor in a race and is then scratched, where there are no advertised nomination fees, will be charged a Scratching Fee being the \$50.00 (plus GST) Withdrawal Fee, together with the Acceptance fee of 0.75% of the advertised prizemoney (plus GST) for that race.

(c) Jockeys' Engagement Fee: connections are also required to pay a Jockeys' Engagement Fee of \$80 (plus GST) for flat rides, \$110 (plus GST) for jumps rides, where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

11.3 Policy Guidelines

11.3.1 The Free Racing Structure applies to all Races at Rating 70 level and below and all Non Black type 2&3YO races. Nomination and withdrawal fees (advertised in the official programme) will be charged for all Group and Listed Races, Prestige and Feature Jumping Races, and flat races at Rating 70 level and above.

11.3.2 In the event that a horse is scratched from a Race and a Veterinary Certificate, or a certificate from an **approved** Farrier is received by NZTR by 12 noon on the first business day following the running of the Race, the scratching fee may be waived. There is no requirement for NZTR to

do so and this will be applied on a case-by-case basis. Veterinary Certificates or certificates from an **approved** Farrier are to clearly state the reason the horse could not start in the race and are required to be sent to NZTR by facsimile: (04) 568 8213 or emailed to: nrb@nztr.co.nz.

- 11.3.3 In the event a horse is scratched from a Group or Listed race, the decision to refund part, or the entire scratching fee is the sole responsibility of the Club.
- 11.4.4 If a horse is entered for more than one Race and is then withdrawn from a Race/s but is an acceptor in other Race/s, a withdrawal fee will be charged for **each** Race from which the horse has been withdrawn.
- 11.4.4 If a horse is an acceptor in more than one Race and is then voluntarily scratched from a Race/s, a scratching fee will be charged for each Race from which the horse has been scratched.
- 11.4.5 If a horse is eliminated from a Race by NZTR, no fees will be charged.
- 11.4.6 If a balloted horse does not gain a place in the field for a Race, no fees will be charged.
- 11.4.7 If a horse on the ballot scratches before regaining the field, no fees will be charged.
- 11.4.8 If a balloted horse does gain a place in the field for a Race but is voluntarily scratched from the Race, the applicable scratching fee will be charged.
- 11.4.9 All applicable fees will be charged through the NZTR Owners' Settlement Account.

12. Track Rating System

12.1 Turf Track

SCALE	RATING	COMMENT	PENETROMETER BAND
1	Fast	A dry hard track	0.5 – 1.9
2	Good	A firm track	2.0 – 2.2
3	Good	Ideal track with some give	2.3 – 2.5
4	Dead	Track with give better side of genuine dead	2.6 – 2.8
5	Dead	Genuine dead	2.9 – 3.2
6	Dead	Significant amount of give, worse side of genuine dead	3.3 – 3.5
7	Slow	A mildly rain affected track, better side of genuine slow	3.6 – 3.8
8	Slow	Genuine slow	3.9 – 4.2

9	Slow	Rain affected, worse side of genuine slow	4.3 – 4.5
10	Heavy	Genuine heavy	4.6 – 5.5
11	Heavy	Very soft and wet, heaviest category	5.6 +

13. Trials

13.1 Trial Distances

For trials conducted in New Zealand, the following Maximum and Minimum distances apply. Trials may be run at other distances and classes within these parameters, at the clubs discretion.

13.1.1 North Island Trial Template

AUGUST – NOVEMBER (4 MONTHS)	2YO	600	800			
	Maiden 3YO		800	1000	1200	
	Maiden 3YO +					1400
	Maiden 4YO +		800	1000	1200	
	Open			1000	1200	

DECEMBER – FEBRUARY (3 MONTHS)	2YO	600	800	1000		
	Maiden 3YO		800	1000	1200	
	Maiden 3YO +					1400
	Maiden 4YO +		800	1000	1200	
	Open			1000	1200	

MARCH – JULY (5 MONTHS)	2YO	600	800	1000		
	Maiden		800	1000	1200	1400
	Open			1000	1200	2000**

** Flat trials for horses that have a valid jumping certificate

13.1.2 South Island Trial Template

AUGUST – NOVEMBER (4 MONTHS)	2YO	600	800			
	3YO		800	1000	1200	
	Maiden 3YO		800	1000	1200	
	Maiden 3YO +					1400
	Maiden 4YO +		800	1000	1200	
	Open		800	1000	1200	

DECEMBER – FEBRUARY	2YO	600	800	1000		
	3YO		800	1000	1200	
	Maiden 3YO		800	1000	1200	

(3 MONTHS)	Maiden 3YO +					1400
	Maiden 4YO +		800	1000	1200	
	Open		800	1000	1200	

MARCH – JULY (5 MONTHS)	2YO	600	800	1000		
	3YO		800	1000	1200	
	Maiden		800	1000	1200	1400
	Open		800	1000	1200	2000**

** Flat trials for horses that have a valid jumping certificate

13.2 Trial Fees

The following fees apply to all official trials staged in New Zealand.

REGION	NUMBER OF STARTERS	FEE CHARGED (GST INCLUSIVE)
Northern	60 to 99 starters	Fee to be advised by Club after consultation with NZTR
	100 to 120 starters	\$127.27 GST Inc (plus \$10 surcharge to be added to trials at Te Teko)
	121 to 140 starters	\$121.13 GST Inc (plus \$5 surcharge to be added to trials at Te Teko)
	141 plus starters	\$115.00 GST Inc (plus \$5 surcharge to be added to trials at Te Teko)
Central	40 to 59 starters	\$126.50 GST Inc
	60 to 80 starters	\$115.00 GST Inc
	81 to 99 starters	\$109.25 GST Inc
	100 plus starters	\$103.50 GST Inc
Canterbury	30 to 49 starters	\$126.50 GST Inc
	50 to 89 starters	\$115.00 GST Inc
	90 plus starters	\$103.50 GST Inc
Otago/Southland	15 to 24 starters	\$126.50 GST Inc
	25 plus starters	\$115.00 GST Inc

13.2.1 Scratching Fees

A scratching fee equal to the applicable starting fee for that region, will apply to any horse that is scratched from a trial meeting after the nomination deadline has passed.

The scratching fee will be waived in the following circumstances:

- A veterinary or **approved** farriers certificate is received by NZTR by 12 noon on the first business day after the trial meeting.
- A horse starts at a race meeting within 5 days of the scheduled trial meeting.

- The track conditions move two bands in either direction after nominations have closed. E.g. Good – Slow, Dead – Heavy and vice versa.
- A horse is late scratched at the barrier on veterinary advice.
- The Club request that NZTR remove the fees from any horse

Appendix One: Standard Regulations

The Standard Regulations are published by, and are the responsibility of, NZTR. They are displayed within the Racing Policy and the RPG. These will be updated from time to time, and changes advertised by NZTR. Those published within the Racing Policy take precedence over any other published copy in any case of discrepancy.

Standard Regulations for Race Meeting Programmes

1. APPLICATION

- 1.1 Unless otherwise stated in a Club's official programme, these Standard Regulations apply to the programmes for all thoroughbred race meetings in New Zealand.
- 1.2 All races and race meetings are also subject to the NZTR Rules of Racing.
- 1.3 Every nomination is deemed to be made upon the express condition that the person making the nomination and the persons on whose behalf the nomination is made (e.g. the owners or lessees of the horse in question) agree to be bound by these regulations as final and binding on each such person.
- 1.4 Every person in breach of these regulations shall be deemed to be in breach of the NZTR Rules of Racing.

2. NOMINATIONS

- 2.1 The National Racing Bureau (NRB) must receive nominations for a race before the 12pm on the advertised nomination day, or at a time otherwise advertised in the Racing Programme Guide (RPG) for that race, or prior to any extended nomination deadline advertised by NZTR and the NRB.
- 2.2 The deadline for nominations for any race may be extended by NZTR until 9.00am the following day, or for any period advertised through appropriate communications from the NRB. The nomination deadline may be extended if at the originally advertised nomination deadline 12 or fewer nominations have been received for that race, the race may be divided, if 50% or more of the nominated horses are also nominated for another race or races within a 48-hour period, or any other reason as advertised by NZTR.
- 2.3 If at the advertised nomination deadline, 50% or more of the races on the programme for that race meeting are extended pursuant to regulation 2.2,

the closing time for nominations for the remaining races on that programme may also be extended, irrespective of how many nominations have been received for those races.

- 2.4 If at the advertised nomination deadline, one or more races on the programme has been deleted, or in the opinion of NZTR, are likely to be deleted, the nomination deadline for any other race or races may be extended by NZTR until 9.00am the following day, or for a period specified by NZTR.
- 2.5 Where the closing time for nominations for any race (except a Group Race, Listed Race or Early Nomination Race) is extended pursuant to regulations 2.2 or 2.3, priority will be given in field selection to those horses nominated at the originally advertised deadline, unless the horse nominated at the originally advertised deadline is an acceptor who gains a place in the field for another race at the same race meeting, or for any other races at other race meetings scheduled within a 48 hour period of each other. This shall be irrespective of rating, weight, performance or qualification status.
- 2.6 For a Group or Listed Flat Race, Prestige or Feature Jumping race or an early entry race, the order of entry will be based on the ballot order regardless of regulation 2.5.
- 2.7 Where for any reason a race is added to a programme after that programme has been published in the RPG, nominations may be extended to 9.00am the day after the nomination deadline, or a period determined by NZTR, for all or part of that race meeting.
- 2.8 Any horse nominated for a race shall be deemed to be an acceptor for that race unless withdrawn by the trainer or connections before the withdrawal deadline advertised in the RPG for that race.

3. DELETION OF RACES (FLAT RACES ONLY)

- 3.1 Any flat race for which only six or fewer single nominations have been received at the advertised closing time for nominations, or the extended closing time (where an extension has been made), may be deleted from the programme in consultation with NZTR and the Club.
- 3.2 After the advertised withdrawal deadline has passed, any flat race with six or fewer single acceptors may be deleted from the programme in consultation with NZTR and the Club.
- 3.3 After the advertised withdrawal deadline, it is likely that NZTR will, following consultation with the relevant Club, delete any flat race with four or fewer acceptors from the programme unless it is a Group or Listed race.
- 3.4 Every Group or Listed race will be run irrespective of the number of acceptors for the race, providing the race meeting proceeds. NZTR, in conjunction with the relevant Club will attempt to re-schedule any Group or Listed race that is not run as the result of an abandoned meeting.

4. JUMPING RACES

4.1 Special Condition Open Entry Races(a) Where there are sufficient nominations to divide a Special Condition Open Entry Jumping race preference will be given to running a race restricted to horses eligible for a Maiden Hurdle or Maiden Steeplechase, with the remaining horses that have won one or more Hurdle or Steeplechase Races running in a Restricted Open Hurdle or Restricted Open Steeplechase. Following this division the distance of the Maiden Race **may** be reduced by NZTR based on the relative strength of those nominations.

(b) Where there are insufficient nominations to divide a Special Condition Open Entry Jumping race NZTR, in consultation with NZ Jumps Inc and the Club, will determine the classification of the race based on the entries received with the race being run as either a Restricted Open Hurdle/Steeplechase; or Maiden Hurdle/Steeplechase. Special Condition Open Entry Jumping races will be run for the equivalent prizemoney as determined by NZTR for the race classification.

(c) In any Special Condition Open Entry Jumping race which is not divided, a \$1,000 bonus will be paid to the first Maiden horse across the line as determined by the judge. This is subject to the condition that such bonus will not be paid if the first Maiden horse wins that race, or the race is conducted for Maiden horses only. A \$1,000 bonus for each of the first Maiden horses will also be provided where a Special Condition Open Entry Jumping race has been divided into two Restricted Open races (with Maiden horses running in both divisions) where there were insufficient horses to divide the race into a Restricted Open and a Maiden Race.

(d) NZTR having determined the conditions of the Special Condition Jumping race, may extend the deadline for additional nominations until 9.00am the following day, or for a period specified by NZTR.

4.2 Minimum Field Sizes:

(a) If at the advertised withdrawal deadline there are six or fewer single acceptors for a Jumping Race, consideration will be given to deleting that Jumping Race and transferring the horses entered, into another Jumping Race of the same discipline on the same programme.

All decisions concerning the deletion of a Jumping Race and the transfer of horses to another Jumping Race will be made by NZTR in consultation with NZ Jumps Inc., and the Club holding the race meeting.

(b) every Prestige or Feature Jumping races will be run irrespective of the number of acceptors for the race.

4.3 Payment of unclaimed Stake Money:

In any Jumping Race where a horse or horses fails to finish or complete the course for any reason (other than being declared a Late Scratching, a Non-Runner, or being disqualified), NZTR in consultation with the Club, will distribute the amount of stake-money which would have otherwise been paid should that horse or horses have completed the course, equally between such horse or horses.

5. DIVISION OF RACES

5.1 NZTR, in consultation with the Club, will give consideration to divide a race based on, but not limited to:

- (a) the number of horses accepted for the race;
- (b) the maximum starting limit for the race;
- (c) the number of races already programmed for that race meeting;
- (d) whether or not more than one race on the programme for that race meeting meets the criteria for division;
- (e) whether any races on the programme for that race meeting are likely to be, or have been deleted;
- (f) the class, distance and advertised value of the race being considered for division;
- (g) the upcoming racing opportunities at other race meetings in the relevant class and distance range;
- (h) the likely track condition;
- (i) the availability of a timeslot to conduct an additional race at that race meeting;
- (j) the number of horses accepted for the race that are also accepted in another race or races within a 48-hour period (aka "The 48 hour Rule");
- (k) the availability of funding for an additional race; and
- (l) whether a jumping race or races on the same programme is/are likely to be divided.

5.2 NZTR reserve the right to run a consolation race where the horses will be split by way of ratings into a top and bottom half. If, where the division is made, there are two or more horses with the same rating, the Handicapper will determine which horses are drawn into the first division and which are drawn into the consolation division. Any nomination received after the advertised time for nominations will be treated as an original nomination for balloting purposes.

Following the release of the two fields, those who are on the ballot in the original race (bearing a higher stake), must run in that race if presented with an opportunity through a scratching, and cannot opt to run in the consolation race. Where a race is divided in consolation format, the stake will be

determined by NZTR and the relevant Club.

5.3 When dividing a race, NZTR will endeavor to split requests for trainers and jockeys, only when possible and permissible.

5.4 In any divided race, NZTR In consultation with the Club will determine whether the race will contain the same balloted horses for both races, or different horses for each of the split races.

If it is determined to have the same ballots in both races, if a horse gains a start off the ballot, it will be scratched from the other race.

6. RE-NOMINATIONS

6.1 Any horse nominated for any race in which becomes ineligible by reason of it winning a race prior to or at the advertised withdrawal deadline, may be entered for any other race for which it is eligible, irrespective of whether nominations for that other race have closed. For balloting purposes, such horses will be treated as a late nomination. Any horse winning at the first day of a two-day meeting, re exempted from this clause, where, the horse will take its new rating position in the race which it has been transferred into, and will NOT be treated as a late nomination. Weights may also be adjusted accordingly following the transfer.

6.2 If prior to or at the advertised withdrawal deadline:

A. a race is cancelled;

B. a horse is eliminated from a race based on the handicapper's assessment;

C. a horse is placed on the ballot for a race based on the handicapper's assessment;

then those affected horses may transfer into another race, which is not a Group or Listed race, for which the horse is eligible, irrespective of whether nominations for that other race have closed, where that race is:

(i) A set weight Race; or

(ii) A Handicap Race, where weights have been declared, provided that the weight determined by the handicapper reflects the position of that horse in the ratings relative to the weights that have already been declared for that race.

For the purpose of field selection, a horse transferred into another race in accordance with this regulation will be deemed to be late nominated for that race, and, preference for field selection will be given to those horses that entered at the original deadline.

6.3 A 'transfer' is from one race to another, as opposed to a double nomination where a horse is entitled to remain in more fields than one.

When the option is taken to transfer a horse, that horse can not remain in the race in which it was previously balloted in

6.4 In the event of a race meeting being abandoned, any horse accepted to

race at that race meeting may, prior to 9.00am on withdrawal day of a different race meeting, may be nominated for any race(s) for which it is eligible, irrespective of whether nominations for those races have closed.

7. SCRATCHINGS

- 7.1 All persons intending to scratch a horse for a race after the advertised withdrawal deadline for that race are required to give notice to that effect to the NRB no later than 7.30am on the morning of the race meeting, or, at a later time advertised by NZTR for race meetings which are scheduled to commence after 4.00pm.
- 7.2 Scratchings after the advertised scratching deadline must be advised to the Stipendiary Steward Chairperson of that race meeting and will only be allowed with the permission of that Stipendiary Steward.

8. WEIGHTS & RE-HANDICAPS

- 8.1 A horse shall not be allocated:
- (a) less than 52kg in a Handicap Group 1 or Handicap Group 2 Race;
 - (b) less than 53kg in a Handicap Group 3 or Listed Race
 - (c) less than 54kg in any other Open
 - (d) less than 54kg in any Ratings Based Handicap Flat Race;
 - (e) less than 66kg in a Prestige Jumping Race;
 - (f) less than 66kg in any other Handicap Jumping Race or Handicap Highweight Race; or
 - (g) more than 72kg in a Prestige or Feature Jumping Race
- 8.2 The top weight allocated in any Handicap Flat Race shall not be less than 59kg except in Handicap Flat Races in which only maidens, or only Two-year-olds, or only Three-year-olds, may start in which event the top weight allocated shall not be less than 58kg.
- 8.3 Minimum top weight at withdrawal time:
- (a) If at the advertised withdrawal deadline for an Open Handicap Flat Race (except a Two & Three-year-old and Group 1 & 2 Handicap Flat Race), the then top weighted horse has been allocated less than 59kg, such top weighted horse or horses shall be allocated 59kg and the weights allocated to the horses comprising the remainder of the field shall be adjusted by the handicapper based on rating to achieve a spread of weights.

(b) If, in the case of an Open Handicap Race for which weights were declared early:

- 1) the top weighted horse/s are withdrawn after the weights for the race were declared; and
- 2) in the opinion of the Chief Executive of NZTR, the withdrawal of that horse (or those horses) has resulted in there being
 - a. insufficient spread in the weights for the race or;
 - b. An unacceptable or unreasonable affect to weights in this race,

then, notwithstanding any other regulation in these regulations, the Chief Executive of NZTR may, in his or her discretion, request the Handicapper to amend and re-issue the weights for the race on the basis of the ratings that the horses remaining in the field (as at the Withdrawal Deadline for the race) **OR** (after the withdrawal of the top-weighted horse/s) had, as at the date the weights were declared.

Where the weights for a race are required to be amended and re-issued under this regulation:

- 1) the Handicapper shall, when amending the weights, take into account performances by horses after the weights were initially declared for which they were eligible to be re-handicapped (whether under the particular conditions of the particular race or otherwise) and such other matters as the Handicapper considers appropriate in their discretion; and
 - 2) notwithstanding any other regulation in these regulations, the top weight allocated in the amended weights shall not be less than 57kg.
- (c) For any Two or Three-year-old Handicap or Set Weight & Penalties Flat Race, the then top weighted horse has been allocated less than 57kg, such top weighted horse or horses shall be allocated 57kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.
- (d) If at the advertised withdrawal deadline for a Maiden Flat Race (except a Maiden Two-year-old, or Maiden Three-year-old Race) the then top weighted horse has been allocated less than 58.5kg, such top weighted horse or horses shall be allocated 57.5kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.
- (e) If at the advertised withdrawal deadline for a Maiden Two-year-old or Maiden Three-year-old Race the then top weighted horse has been allocated less than 57.5kg, such top weighted horse or horses shall be allocated 56.5kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.

8.4 All handicaps are subject to the condition that the winner of any race, except a race run under standard Weight-for-Age conditions or a Group or Listed

race run under standard Set Weights and Penalties and allowances conditions, after the declaration of weights may be re-handicapped, unless the conditions for the race won or the race already entered in state otherwise, as stated in the Racing Programme Guide

8.5 Subject to Regulations 8.1 and 8.17 in all races where Three-year-olds compete against older horses (excluding highweight races), all Three-year-olds shall be entitled to an allowance as follows:

- (a) if the race is run in August, September, or October – 2.5kg;
- (b) if the race is run in November, December or January – 1.5kg; or
- (c) if the race is run in February, March or April – 0.5kg.

8.6 Subject to Regulations 8.1 and 8.17 in all races all fillies and mares shall be entitled to an allowance of 2kg, unless otherwise specified in the conditions of the race.

8.7 In all races that are Group or Listed Set Weight and Penalties and allowances races, except those that are restricted to:

- (a) Three-year-olds only or Two-year-olds only; or
- (b) fillies only or mares only; or
- (c) fillies and mares only,

the following conditions shall apply:

- Set weights, before penalties are allocated: Fillies & Mares 53kg. Colts, Geldings & Entires 55kg
- Three-year-old Allowances:

DISTANCE	AUG-OCT	NOV-JAN	FEB-APR	MAY-JUL
Up to 1400	4kg	2kg	1kg	0.5kg
1401-2000	5kg	3kg	2kg	1kg
Over 2000	6kg	3.5kg	2.5kg	1.5kg

Penalties to be incurred as follows:

Winner of a Group 1 race for which entry was not restricted by age in previous 18 months 3kg, two or more such wins 4kg.

Winner of a Group 2 race for which entry was not restricted by age in previous 18 months 2kg, two or more such wins 3kg.

Winner of a Group 1 race restricted to Two and/or Three-year-olds in previous 18 months 1.5kg, two or more such wins 2kg.

Winner of a Group 3 race for which entry was not restricted by age in previous 18 months 1kg, two or more such wins 2kg.

Winner of a Group 2 race restricted to Two and/or Three-year-olds in previous 18 months 1kg, two or more such wins 1.5kg.

Winner of a Group 3 race restricted to Two and/or Three-year-olds in previous 18 months 0.5kg, two or more such wins 1kg.

Winner of a Listed race for which entry was not restricted by age in previous 18 months 0.5kg, two or more such wins 1kg.

Penalties are not cumulative.

- 8.8 In all races that are Group or Listed set weight with penalties and allowances races which are restricted to mares only, or to fillies and mares only, the conditions set out in Standard Regulation 8.7 shall apply except that the set weight shall be 55kg.
- 8.9 In all races which are Weight-for-Age Races either with penalties and allowances, or with penalties only or allowances only, or without either, in which horses sired north of the Equator and foaled on any day from 1 January to 31 July inclusive are engaged there shall be allowed to every such horse unless otherwise provided in the conditions of the race allowances according to the scale as set out in NZTR's Handicapping Policy.
- 8.10 In all races which are Set Weight Two-year-old or Three-year-old races, except Maiden Flat races & except all Group & Listed races run at set weights, Colts and Geldings will carry 57.5kg and Fillies will carry 55.5kg.
- 8.11 The weights to be carried in all other special weight races (meaning thereby a race other than a Handicap Race or a Race to be run under the Standard Scale of Weight-for-Age or under the Set Weight and Penalties conditions set out in Standard Regulations 8.7 and 8.8) shall be specified in the conditions of the race.
- 8.12 In Set Weight and Penalties (SWP) races that are not Group or Listed races, horses that have won two flat races incur a 1kg penalty, horses that have won three flat races incur a 2kg penalty and horses that have won four or more flat races incur a 3kg penalty.
- 8.13 All Benchmark races are run as Handicap flat races and horses rated higher than the specified Benchmark Rating will be allocated 0.5kg for each Rating point in excess of that Rating, notwithstanding any subsequent re-handicap. Should the highest rated horse nominated at the closing time for nominations be rated two or more points below the Benchmark Rating, that horse will be allocated the minimum top weight of 59kg.
- 8.14 To be eligible to compete in any flat race that includes jumpers (eg: Maiden & Jumpers Handicap, Rating 65 & Jumpers Handicap etc) horses classified as jumpers must, at midnight on the day prior to the closing of nominations have started in a Hurdle or Steeplechase race at a totalisator meeting, or hold a current jumping certificate.

8.15 Apprentice Allowances in Flat Races:

Apprentices can claim in any non Group or Listed flat race unless specified otherwise in the Racing Programme Guide.

8.16 Jumping Allowances in Jumping Races:

Jump Riders who are eligible to claim can do so in all jumping races that are not Prestige Jumping Races, unless specified otherwise in the programmes.

8.17 The following templates contains the various weight parameters applicable to the respective race classes:

MAIDENS

RACE TYPE	ABBREVIATION	WEIGHTS	MINIMUM TOP WEIGHT	AGE ALLOWANCE
Maiden	MDN SW	Colts, Geldings & Entires 58.5kg. Fillies & Mares 56.5kg.	57.5kg	*Standard Reg 8.5
Maiden Two-year-old & Up	MDN 2YO+ SW	Colts, Geldings and Entires 58.5kg. Fillies and Mares 56.5kg.	57.5kg	*Standard Reg 8.5 & a further 2YO Allowance of 3kg
Maiden Fillies & Mares	MDN F&M SW	Set weight 57.5kg.	57.5kg	*Standard Reg 8.5
Maiden Colts, Geldings & Entires	MDN CG&E SW	Set weight 58.5kg	57.5kg	*Standard Reg 8.5
Maiden Two-year-old	MDN 2YO SW	Colts & Geldings 57.5kg. Fillies 55.5kg.	56.5kg	N/A
Maiden Two-year-old Fillies	MDN 2YO F SW	Set weight 56.5kg.	56.5kg	N/A
Maiden Two-year-old Colts & Geldings	MDN 2YO C&G SW	Set weight 57.5kg.	57.5kg	N/A
Maiden Three-year-old	MDN 3YO SW	Colts & Geldings 57.5kg. Fillies 55.5kg.	56.5kg	N/A
Maiden Three-year-old Fillies	MDN 3YO F SW	Set weight 56.5kg.	56.5kg	N/A
Maiden Three-year-old Colts & Geldings	MDN 3YO C&G SW	Set weight 57.5kg.	57.5kg	N/A
Maiden Four-year-old & Up	MDN 4YO+	Geldings & Entires 58.5kg. Mares 56.5kg	57.5kg	N/A
Maiden Highweight	MDN HWT SW	Colts, Geldings & Entires 68kg. Fillies & Mares 66kg	66.0kg	N/A
Maiden Colts, Geldings & Entires Highweight	MDN CG&E HWT SW	Set weight 68kg	68.0kg	N/A

HANDICAPS, SWP & WFA

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Maidens As At	MAAT	Colts, Geldings & Entirees 58kg. Fillies & Mares 56kg. Standard Reg 8.12 & Maiden Allowance of 1kg. No horse shall carry less than 54kg.	57.0kg	*Standard Reg 8.5
Class 1 SWP Flat Race	Class 1 SWP Flat Race	For maiden horses and horses that have won only one race.	57.0kg	Colts, Geldings and Entirees 58.5kg. Fillies and Mares 56.5kg. Maiden Allowance 1.5kg No horse shall be assigned less than 54kg.
Benchmark Rating 65 * and below	Benchmark Rating 65* HCP (Example)	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry (or below) & for horses with a domestic flat rating above the nominated Benchmark rating and higher at time of entry provided that such horses have not won more than one flat race.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 65 (and below) Fillies and Mares*	Benchmark Rating 65 F&M HCP* (Example)	Open Handicap for Fillies and Mares. For horses with a domestic flat rating of up to & including 65 (or below) at time of entry & for horses with a domestic flat rating above the nominated Benchmark rating and higher at time of entry provided that such horses have not won more than one flat race.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 65 (and below) Colts, Geldings & Entirees*	Benchmark Rating 65 CG&E HCP* (Example)	Open Handicap for Colts and Geldings. For horses with a domestic flat rating of up to & including 65 (or below) at time of entry & for horses with a domestic flat rating above the nominated Benchmark rating and higher at time of entry provided that such horses have not won more than one flat race.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 65 (and below) Highweight*	Benchmark Rating 65 HWT HCP* (Example)	Open Handicap. For horses with a domestic flat rating of up to & including 65 (or below) at time of entry & for horses with a domestic flat rating above the nominated Benchmark and higher at time of entry provided that such horses have not won more than one flat race.	N/A	*Standard Reg's 8.1 & 8.6
Benchmark Rating 66 or above	Benchmark Rating 74 (Example)	Open Handicap.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 66 or above Fillies & Mares	Benchmark Rating 74 F&M (Example)	Fillies and Mares Open Handicap	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, & 8.5

Benchmark Rating 66 or above Colts, Geldings & Entires	Benchmark Rating 74 C,G&E(Example)	Colts, Geldings and Entires Open Handicap	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, & 8.5
Benchmark Rating 66 or above Highweight	Benchmark Rating 74 HWT (Example)	Open Handicap Highweight	N/A	*Standard Reg's 8.1 & 8.6
Fillies and Mares Set Weight & Penalties	F&M SW&P	Open Race for Fillies and Mares only. Penalties will be applied as per relevant standard reg's 8.7 & 8.12	55.0kg	*Standard Reg's 8.7 & 8.12
Open Handicap	OPN HCP	Open Handicap	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5 & 8.6
Open SWP	OPN SWP	Open	N/A	*Standard Reg 8.7
Open Handicap Group 1 & Group 2	As per race type HCP	Open Handicap	59.0kg	Minimum weight 52kg. *Standard Reg's 8.2 & 8.3
Open Handicap Group 3 & Listed	As per race type HCP	Open Handicap	59.0kg	Minimum weight 53kg. *Standard Reg's 8.2 & 8.3
Weight For Age or Fillies & Mares Weight For Age	WFA or WFA F&M	Weight to be allocated in accordance with age & sex	N/A	Refer WFA Scale

AGE GROUP

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Two-year-old Set Weights (SW) or Three-year-old Set Weights (SW)	2YO SW or 3YO SW	Set weight race for Two-year-olds only or for Three-year-olds only	55.5kg	Colts 57.5kg. Fillies 55.5kg
Group & Listed Two-year-old Set Weights (SW) or Group & Listed Three-year-old Set Weights (SW)	G&L 2YO SW or G&L 3YO SW	Set weight race for Two-year-olds only or for Three-year-olds only	54.5kg	Colts 56.5kg. Fillies 54.5kg
Two-year-old Handicap or Three-year-old Handicap or Four-year-old Handicap	2YO HCP or 3YO HCP or 4YO HCP	Handicap race for Two-year-olds only or for Three-year-olds only and or Four-year-olds only	57kg	*Standard Reg's 8.1, 8.2 & 8.6
Three-year-old Fillies Handicap	3YO F HCP	Handicap race for Three-year-old fillies	57kg	*Standard Reg's 8.1 & 8.2
Three and Four-year-old Handicap	3&4YO HCP	Handicap race for Three and or Four-year-olds	57kg	*Standard Reg's 8.1, 8.2, 8.5 & 8.6
Two-year-old Fillies SW	2YO F SW or 3YO F SW	Set weight race for Two-year-old fillies or	56.5kg	Set weight 56.5kg

or Three-year-old Fillies SW		for Three-year-old fillies		
Two-year-old Colts & Geldings SW or Three-year-old Colts & Geldings SW	2YO C&G SW or 3YO C&G SW	Set weight race for Two-year-old colts & geldings or for Three-year-colts & geldings	57.5kg	Set weight 57.5kg
Two and Three-year-olds SW	2&3YO SW	Set weight race for Two-year-olds & Three-year-olds	57.0kg	Colts & Geldings 57.5kg. Fillies 55.5kg. 2YO Allowance of 1.5kg.
Three and Four-year-olds SW	3&4YO SW	Set weight race for Three-year-olds & Four-year-olds	57.0kg	Colts & Geldings 58.5kg. Fillies & Mares 56.5kg. 3YO Allowance as per the WFA scale. Minimum weight can be lower than 54kg.
Three-year-old Set Weights with Penalties	3YO SWP	Set weight with penalties race for Three-year-olds	57.0kg	Colts & Geldings 57.5kg. Fillies 55.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg
Two-year-old Set Weights with Penalties	2YO SWP	Set weight with penalties race for Two-year-olds	57.0kg	Colts & Geldings 57.5kg. Fillies 55.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg
Three-year-old Fillies Set Weights with Penalties	3YO F SWP	Set Weight with penalties race for Three-year-old fillies	56.5kg	Set Weight 56.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg

SPECIAL CONDITIONS

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Special Conditions	SPEC CON	Conditions will be advertised In the RPG and endorsed by NZTR and the relevant Club hosting the race.	N/A	TBA

FLAT RACES FOR JUMPERS, HIGHWEIGHTS & AMATEUR RIDERS

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Maiden & Jumpers Handicap	MDN JMP HCP	For Maiden horses and * Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	N/A	*Standard Reg's 8.1, 8.5 & 8.6
Maiden & Jumpers Highweight Handicap	MDN JMP HWT HCP	For Maiden horses and * Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	N/A	Standard Reg's 8.1 & 8.6
Benchmark Rating 65 (and below) & Jumpers Handicap*	Benchmark Rating 65 JMP HCP* (Example)	Open Handicap. For horses with a domestic flat rating of up to & including 65 (or below) at time of entry & for horses with a domestic flat rating above the nominated race Benchmark and higher at time of entry provided that such horses have not won more than one flat race and/or hold a valid Jumping Certificate. Jumpers have preference in selection for ballot purposes.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13

Benchmark Rating 66 or above Jumpers Handicap	Benchmark Rating 74 JMP HCP (Example)	Open Handicap *Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	59.0kg	*Standard Reg's 8.1, 8.5 & 8.6
Benchmark Rating 65 (and below)& Jumpers Highweight*	Benchmark Rating 65 JMP HWT HCP* (Example)	Open Handicap. For horses with a domestic flat rating of up to & including 65 (or below) at time of entry & for horses with a domestic flat rating above the nominated race Benchmark at time of entry provided that such horses have not won more than one flat race or hold a valid Jumping Certificate. Jumpers have preference in selection for ballot purposes.	N/A	*Standard Reg's 8.1 & 8.6
Benchmark Rating 66 and above Jumpers Highweight	Benchmark Rating 74 JMP HWT HCP	Open Handicap *Standard Reg 8.14. Jumpers have preference in selection for ballot purposes	N/A	Standard Reg's 8.1 & 8.6
Jumpers Flat Handicap	JMP FLT HCP	*Standard Reg 8.14	N/A	*Standard Reg's 8.1, 8.5 & 8.6
Jumpers Highweight	JMP HWT HCP	*Standard Reg 8.14	N/A	*Standard Reg's 8.1 & 8.6
Amateur Riders Races	"Race Class" + Amateur Riders HCP	"Benchmark Rating" Race for Amateur Riders Only	N/A	Minimum Weight 66.5kg & Standard Reg 8.6

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Maiden Hurdle or Maiden Steeplechase	MDN HDL or MDN STP	For horses that have not won a Hurdle or that have not won a Steeplechase	66.0kg	CG&E 68kg. M 66kg
0-1 Win Hurdle or 0-1 Win Steeplechase Set Weight	0-1 Win HDL SW or 0-1 Win STP SW	For horses that have not won more than one Hurdle or more than one Steeplechase at a totalisator meeting.	66.0kg	CG&E 68.5kg. M66.5kg Maiden Allowance 1.5kg
0-2 Win Hurdle or 0-2 Win Steeplechase	0-2 Win HDL or 0-2 Win STP	For horses that have not won more than two Hurdle races or more than two Steeplechases at totalisator meetings.	65.0kg	*Standard Reg's 8.1 & 8.6
Novice Hurdle or Novice Steeplechase	NOV HDL or NOV STP	For horses eligible for a Maiden Hurdle or for a Maiden Steeplechase as advertised in the RPG.	65.0kg	*Standard Reg's 8.1 & 8.6
Special Conditions Hurdle or Special Conditions Steeplechase	SP COND HDL or SP COND STP	Special conditions as advertised in the RPG.	65.0kg	*Standard Reg's 8.1 & 8.6
Restricted Open Hurdle	RST OPN HDL or	Handicap Open Hurdle Race or Handicap Open	N/A	*Standard Reg's 8.1 & 8.6

or Restricted Open Steeplechase	RST OPN STP	Steeplechase		
Open Hurdle or Open Steeplechase	OPN HDL or OPN STP	Open Hurdle or Open Steeplechase	N/A	*Standard Reg's 8.1 & 8.6
Open Hurdle (Prestige Jumping Race) or Open Steeplechase (Prestige Jumping Race)	OPN HDL (PJR) or OPN STP (PJR)	Open Hurdle or Open Steeplechase. Maximum Topweight of 72kg.	N/A	*Standard Reg's 8.1 & 8.6 Max 72kg

JUMPING RACES

9. BALLOTING & EXEMPTION CONDITIONS

9.1 General Conditions

9.1.1 The following balloting conditions apply to all races that do not have specific balloting conditions specified in the RPG for that race programme.

9.1.2 Unless specified in the conditions of the race [in the RPG], NZTR will provide balloting.

9.2 Maiden Flat Races

9.2.1 In Maiden Flat Races, horses will be ordered by way of:

- (a) First – By descending rating
- (b) Second – Unraced horses that have had three or less trial starts since the start of their Three-year-old season.
 - i. Firstly those horses with a second placing in those three trials, with preference given to those with no other trial performances since the start of their three-year-old season, then other placed performances, and finally unplaced performances.
 - ii. Secondly, those horses with a third placing in those three trials, with preference given to those with no other trial performances since the start of their three-year-old season, then other third place performances, and finally unplaced performances.
 - iii Thirdly, those horses with no trial performances, drawn by lot.
 - iv Fourthly, those horses with only unplaced trial performances as determined by the handicapper. If the handicapper is unable to separate horses, they will be drawn by lot.

(c) Third - Unraced horses that have had more than three trials starts since the start of their Three-year-old season. These will be ordered as determined by the handicapper. If the handicapper is unable to separate horses, they will be drawn by lot.

- (d) Fourth - Those horses that have had one start in a non-stakes race without placing second to fifth inclusive will be eliminated or balloted in an order to be determined by the Handicapper.

- (e) Fifth - Those horses that have had two starts in non-stakes races without placing second to fifth inclusive will be eliminated or balloted in an order to be determined by the Handicapper.
- (f) Sixth - Those horses that have had three starts or more in non stakes races and have not placed second to fourth inclusive at any of their most recent three starts will be eliminated or balloted in an order to be determined by the Handicapper.
- (g) Seventh - If after applying the above clauses further ballots or eliminations are required the remaining horses will be eliminated or balloted in an order to be determined by the Handicapper based on each of the horse's last three starts, or by lot if the Handicapper is unable to determine an order.

9.2.2 For the purpose of field selection an unraced horse will receive two points for its first trial win. Subsequent wins at trials will not earn it additional points. A horse with a rating of R45 which has started in one or more races will also receive two points for a trial win.

9.3 *Two-year-old races that are not Group and Listed races*

- (a) First - By descending rating
- (b) Second - Unraced horses will be order based on their most recent trial performance
 - i. Firstly those with a second place in their most recent trial performance.
 - ii. Secondly, those with a third placing at their most recent trial performance.
 - iii. Thirdly, those with a single unplaced trials performance
 - iv. Fourthly, those with no trials performances.
 - v. Last, those with two or more unplaced trials performances.
- (c) Third - Those horses that have had one start in any race without placing second to fifth inclusive will be ordered by the Handicapper.
- (d) Fourth - Those horses that have had two starts in any race without placing second to fifth inclusive will be ordered by the Handicapper.
- (e) Fifth - Those horses that have had three starts or more in any races and have not placed second to fifth at any of their most recent three starts, will be ordered by the Handicapper.
- (f) Any horses that cannot be separated by the handicapper will be determined by lot.

9.4 *Handicap Races*

9.4.1 In Handicap Races, unless otherwise advertised in the Racing Programme Guide ,horses shall be ordered by:

- a) First - By descending rating.
- b) Second - If two or more horses have the same rating they will be separated by the Handicapper based on each of the horse's last three starts. Consideration is given to (but not limited to) finishing position, distance from

the winner, prizemoney earned, grade of races. Those horses with a single start will be given preference to those horses with two starts. Those with two starts will be given preference to those with three or more starts.

- c) Third - If the Handicapper is unable to separate horses they will be eliminated by lot.

9.5 *Group and Listed Races that are not Handicap races*

9.5.1 Field selection for 1 August to 31 July (Inclusive) unless advertised differently in the Racing Programme Guide

- a) First - Horses that have won any Group 1 race in the preceding 18 months from the date of the race in descending order of rating. Horses with equal ratings to be separated by the handicapper.
- b) Second - Horses that have won a Group 2 race not restricted to two-year-olds, and/or placed in a Group 1 race not restricted to two-year-olds, in the preceding 18 months from the date of the race in descending order of rating. Horses with equal ratings to be separated by the handicapper.
- c) Third - Horses that have placed in a Group 2 race not restricted to two-year-olds, or won a Group 3 or Listed race not restricted to two-year-olds, or placed in a Group 1 two-year-old race in the preceding 18 months from the date of the race in descending order of rating. Horses with equal ratings to be separated by the handicapper.
- d) Fourth - All remaining horses in descending order of rating. Horses with equal ratings to be separated by the handicapper.

9.6 *Jumping (Hurdle or Steeplechase) races*

9.6.1 In Maiden Jumping Races, horses will be eliminated in the following order:

- a) First - Those horses with the lowest rating.
- b) Second - Those horses that have had three or more starts in the race type for which they are nominated (Hurdle or Steeplechase), without placing second to fifth at their most recent three starts, will be eliminated or balloted in an order determined by the Handicapper.
- c) Third - Those horses that have had two starts in the race type for which they are nominated (Hurdle or Steeplechase), will be eliminated or balloted in an order determined by the Handicapper.
- d) Fourth - Those horses that have had one start in the race type for which they are nominated (Hurdle or Steeplechase), without placing second to fifth, will be eliminated or balloted in an order determined by the Handicapper.
- e) Fifth - Those horses that have not raced in the race type for which they are nominated (Hurdle or Steeplechase), with a flat rating below 72 will be eliminated before those with a flat rating of 72 or above. These will be balloted in an order determined by the Handicapper.

- (f) If after applying the above clauses further ballots or eliminations are required the remaining horses will be eliminated by lot if the Handicapper is unable to determine an order.

9.6.2 In Open, Restricted Open, or Set Weights and Penalties Jumping (Hurdle or Steeplechase) races horses will be eliminated or balloted in the following order:

- a) First - Those horses with the lowest rating
- b) Second - If two or more horses have the same rating they will be separated by the Handicapper based on each of the horse's last three starts in the race type for which they are nominated (Hurdle or Steeplechase)
- c) Third - If the Handicapper is unable to separate horses they will be eliminated by lot.

9.7 Dual Acceptors

9.7.1 Where a horse is accepted for more than one race at the same race meeting, and provided that horse makes the field in more than one race, it shall be balloted or eliminated out of one of those races, irrespective of rating, weight, performance or qualification status, in consultation with the connections of that horse.

9.7.2 Where a horse is accepted for races at more than one race meeting scheduled within two days of each other, it shall be balloted or eliminated out of one of the races, in consultation with the connections of that horse, at one of the race meetings, irrespective of rating, weight, performance or qualification status, if entries are in excess of the starting limit.

9.7.3 Where a horse is accepted in a Group and/or Listed Race and any other non-Group and/or non-Listed Race scheduled within two days of each other, and its weight, rating or qualification would merit a start in both races, that horse shall be eliminated or balloted out of the non-Group and/or non-Listed Race irrespective of rating, weight, rating or qualification status if there are eliminations and/or ballots required (AKA 'The 48 Hour Rule').

9.7.4 No horse shall be balloted or eliminated from any Group or Listed race or Prestige/Feature Jumping Race as a result of the operation of Regulations 9.7.2 or 9.7.3.

9.7.5 Clubs or Clusters that conduct multi-day race meetings within two days of each other may be exempt from regulation 9.7.3 provided this condition is advertised in the programme for that race meeting in the RPG.

11. FEES, STAKES' & OWNER COMPENSATION

11.1 Permission may be refused for any horse to be entered for any race if fees are in arrears at NZTR.

11.2 All advertised stakes are GST exclusive.

11.3 Should any full or part race meeting be abandoned on the day that meeting was scheduled to take place, and owners compensation payment of \$250 (+GST) **may** be payable, at the discretion of NZTR. NZTR may not permit this payment if the race is rescheduled or, if in the opinion of NZTR, there are other significant opportunities for the horse to start.

12. DECLARATION OF RIDERS

12.1 All riders for a race must be declared to the NRB by the time specified for that race in the RPG.

12.2 No change of a declared rider will be permitted without the consent of a Stipendiary Steward.

12.3 Riders for balloted horses regaining the field must be declared by no later than 10am on race day.

13. RACING FEE STRUCTURE

13.1 Nomination and Acceptance Fees will apply to all races at Rating 70 level and above, including all Group and Listed flat races and Prestige or Feature Jumping Races.

(a) Nomination Fee: The connections of any horse that is nominated for a race (unless a Group or Listed flat or a Prestige/Feature Jumping Race) will be charged a Nomination Fee of \$50.00 (plus GST).

(b) Scratching Fee: In the event that a horse is an acceptor in a race and is then scratched the connections will be charged a Scratching Fee being the \$50.00 (plus GST) Nomination Fee, together with the Acceptance Fee of 0.75% of the advertised prize money (plus GST) for that race.

(c) Jockeys' Riding Fee: From 1 February 2011, connections are to pay Riding Fees on all starters.

(d) Jockeys' Engagement Fee: from 1 August 2018 connections are to pay a Jockeys' Engagement Fee of \$80 (plus GST) for flat rides, \$110 (plus GST) for jumps rides, where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

13.2 Free Racing Structure – Nomination and Acceptance Fees will not apply to all races below Rating 70 level including all Maiden, Rating 60, Rating 65 and all 2YO, 3YO, 4YO, MAAT flat races that are not Group or Listed races and all jumping races that are not Prestige or Feature Jumping races.

(a) Withdrawal Fee: the connections of any horse that is nominated but then withdrawn from a race where there are no advertised nomination fees will be charged a Non-Acceptance Fee of \$50.00 (plus GST).

(b) Scratching Fee: the connections of any horse that is nominated and is an acceptor in a race and is then scratched, where there and no advertised

nomination fees, will be charged a Scratching Fee being the \$50.00 (plus GST) Withdrawal Fee, together with the Acceptance fee of 0.75% of the advertised prizemoney (plus GST) for that race.

(c) Jockeys' Engagement Fee: Connections are also required to pay a Jockeys' Engagement Fee of \$80 (plus GST) for flat rides, \$110 (plus GST) for jumps rides, where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

13.3 In the event that a horse is scratched from a Race and a Certificate issued by a Veterinarian, or an NZTR approved Farrier clearly stating the reason/s why the said horse cannot compete on the specific day, is received by NZTR by 12 noon on the first business day following the Race from which the horse was scratched, the scratching fee **may** be waived (although there is no requirement for NZTR to do so). Veterinary/Farrier Certificates are to be sent to NZTR at the following facsimile number: (04) 568 8213 or at nrb@nztr.co.nz.

13.4 If a horse is entered for more than one race and is then withdrawn from a race/s but is an acceptor in other race/s, a Nomination Fee will be charged for each race from which the horse has been withdrawn.

13.5 If a horse is an acceptor in more than one race and is then voluntarily scratched from a race/s, a Scratching Fee will be charged for each race from which the horse has been scratched.

13.6 If a horse is eliminated from a race by NZTR, no fees will be charged.

13.7 If a balloted horse does not gain a place in the field, no fees will be charged.

13.8 If a balloted horse is scratched prior to gaining a place in the field, no fees will be charged.

13.9 If a balloted horse is scratched after gaining a place in the field, the applicable fee/s will be charged.

13.10 All applicable fees will be charged through the NZTR Owners' Settlement Account.

14. APPRENTICE ALLOWANCES

14.1 In all races in which apprentice riders can claim, the allowances are as follows:

- | | | | |
|-------------------|-----|--------------------|-----|
| • 0-9 Flat wins | 4kg | • 40-79 Flat wins | 2kg |
| • 10-39 Flat wins | 3kg | • 80-139 Flat wins | 1kg |

15. SPECIAL NOTICE

15.1 The Club and NZTR each reserves the right, at any time, to:

- (a) Refuse any nomination, acceptance or final payment.
- (b) Alter the stakes, amend the dates of nomination, withdrawal and acceptance or final payment, vary the distance or conditions of any race,

and alter the dates on which handicaps are to appear and to alter the order of events.

- (c) Alter the advertised Group and/or Listed status of a race, in accordance with an amendment made to that status by the Graded Stakes Committee, and the stake, nomination fee and/or acceptance fee for that race.
- (d) Cancel a race meeting or delete any particular race.

16. STANDARD NZTR PROGRAMME RULES/REGULATIONS

- 16.1 The standard race meeting regulations approved by the New Zealand Thoroughbred Racing Inc. and advertised in the Racing Programming Guide apply to all race meetings.
- 16.2 All programmes are approved in accordance with Rule 508 of the NZTR Rules of Racing.
- 16.3 Details pertaining to any of the information contained in the programmes can be obtained through the National Racing Bureau (NRB) Ph: 0508 722 464 (RACING) Fax: 04 568 8213.

17. FINAL DECISION

- 17.1 In any case not covered or provided for in this policy or under the Rules of Racing, the decision of NZTR shall be final.

Appendix Two: Jumping Policy

1. Jumping Race Types

1.1 The following types of Jumping Races may be included in the programme of a Club:

- 1.1.1 Maiden Hurdle Race
- 1.1.2 Maiden Steeplechase Race
- 1.1.3 0-1 Win Hurdle Race
- 1.1.4 0-1 Win Steeple Race
- 1.1.5 Novice Hurdle Race
- 1.1.6 Novice Steeple Race
- 1.1.7 Special Conditions Open Entry Hurdle Race
- 1.1.8 Special Conditions Open Entry Steeplechase Race
- 1.1.9 Restricted Open Handicap Hurdle Race
- 1.1.10 Restricted Open Handicap Steeple Race
- 1.1.11 Restricted Open Set Weights with Penalties Hurdle Race
- 1.1.12 Restricted Open Set Weights with Penalties Steeple Race
- 1.1.13 Open Hurdle Race
- 1.1.14 Open Steeplechase Race
- 1.1.7 Other Races may, on the application of a Club, be approved by NZTR as being able to be included in the programme for a Race Meeting to be conducted by that Club.

2. Hurdle and Steeplechase Races

- 2.1 No Hurdle Race shall be run over a distance of less than 2400 metres, and no Steeplechase Race shall run over a distance of less than 3200 metres.
- 2.2 In Hurdle Races the minimum number of flights of Hurdles shall be eight in races of 2400 metres in distance, increased by at least one additional flight for each additional 300 metres.
- 2.3 Where in any Hurdle Race or Steeplechase Race there is a flight of Hurdles or a moveable Steeplechase fence, which has to be jumped more than once and in the course of the first or any subsequent time over such Hurdles or fence a panel therein is knocked over, it shall be permissible but not obligatory to re-erect, replace or remove such panel before the next time round; provided, however, that no such panel be re-erected or removed until all horses still retaining their riders and in the race have passed over that jump on the round where such panel was knocked over.

3. Certificate of Qualification for Jumping Races

In accordance with Rule 529, the following conditions shall apply regarding the issue of Certificates of Qualification for Jumping Races.

3.1 Hurdle Races

- 3.1.1 For a horse to obtain a Certificate of Qualification to compete in Hurdle Races, it must school on two separate occasions over no fewer than eight fences, at no less than three-quarter pace.
- 3.1.2 Both schools must be in company with at least one other horse.
- 3.1.3 On both occasions, the horse must school to the satisfaction of the Stipendiary Steward or a person appointed by the Stipendiary Stewards.

3.2 Steeplechase Races

- 3.2.1 For a horse to obtain a Certificate of Qualification to compete in Steeplechase Races, it must school on two occasions over no fewer than eight fences, at no less than three-quarter pace.
- 3.2.2 Both schools must be in company with at least one other horse.
- 3.2.3 On both occasions, the horse must school to the satisfaction of the Stipendiary Stewards or a person appointed by the Stipendiary Steward.
- 3.2.4 Once the Certificate of Qualification to compete in Steeplechase Races is approved, the horse is deemed automatically to be qualified to compete in Hurdle Races also.

For a horse which has obtained a Certificate of Qualification to compete in Hurdle Races to then obtain a Certificate in Qualification to compete in Steeplechase Races, it only has to school in company once and perform to the satisfaction of the Stipendiary Steward, or a person appointed by the Stipendiary Steward.

All jumping Certificates of Qualification expire 12 months from the date they are endorsed, or 12 months after their previous run in a race in which the Certificate of Qualification was applicable

4. **Minimum Field Size and Stake Money**

4.1 Minimum field sizes

If at the advertised withdrawal deadline there are six or fewer acceptors for a Jumping Race, consideration will be given to deleting that Jumping Race and transferring the horses entered into another Jumping Race on the same programme. Such action will always be subject to Standard Regulation 5.2

All decisions concerning the deletion of a Jumping Race and the transfer of horses to another Jumping Race will be made by NZTR after consultation with NZ Jumps Inc, and the Club holding the race meeting.

4.2 Stake Money

In the event that Maiden horses are transferred into a Handicap race (and there are no Maiden Races of the applicable discipline on that day), a \$1,000 bonus will be paid to the first Maiden horse across the line, subject to the provision that such bonus will not be paid if the first Maiden horse wins the race.

5. Hurdle and Steeplechase Fence Guidelines

This policy sets the official NZTR guidelines for the types of obstacles to be used in Jumping Races. The following photographs provide examples of recommended setups for Hurdle and Steeplechase fences.

5.1 Specifications for removable Hurdle Fence & Wings

Each Hurdle panel is to be 2.4m in length and not less than 1.2m in height. Panels are constructed as one piece which includes 21mm x 2.4m x 1.2m plywood backing board and reinforced with timber. A foam pad with a vinyl cover is placed over the front surface of this panel. Attached to the top is a fixed synthetic brush.

Removable Hurdle Fence – Front Aspect



Removable Hurdle Fence – Rear Aspect



Removable Hurdle Fence – Side Aspect



The following page (page 41) depicts the measurements of pipe required to build the plastic wings.

The specifications for pipes required to build plastic wings for Hurdle races are 3 metres long and 1.3 metres high.

For the inside wing with two vertical divisions these are divided into two equal divisions of 640mm, allowing for the middle pipe.

For the outside wing with three divisions, the bottom section should measure 650mm, with two top sections of 300mm.

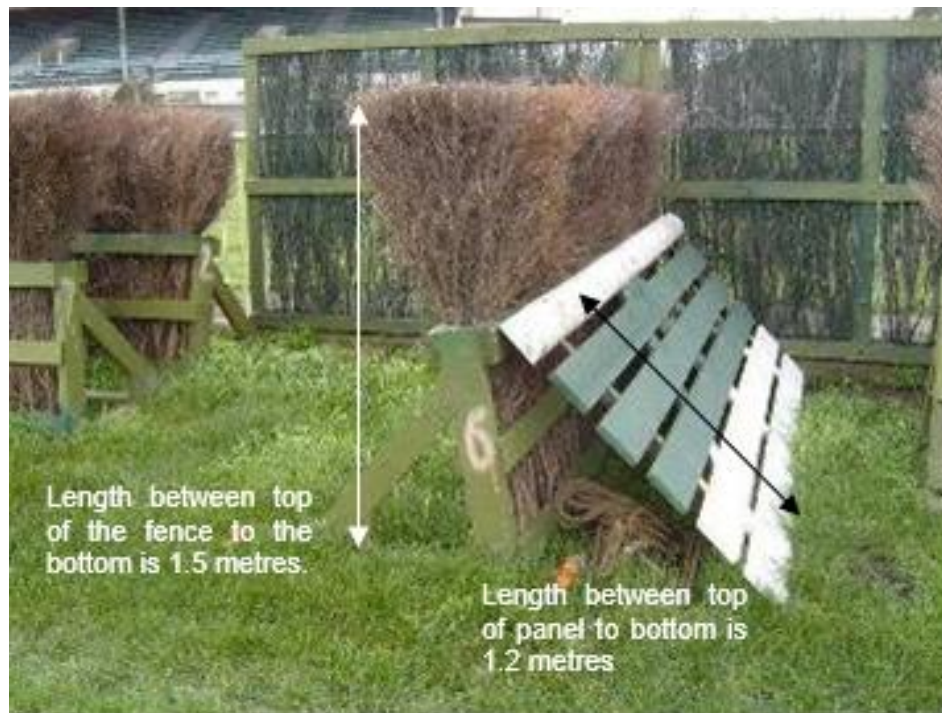


5.2 Specifications – Removable Steeplechase Fence

Removable Steeplechase fences are to be constructed of several panels with each panel to have a top rail of bevelled timber not less than 100mm x 50mm on the take-off side.

The height measured from ground level to top of the timber is to be not less than 850mm. Panels are to be packed with brush from ground level to a height of not less than 610mm above the timber. The brush measured at the top of the fence is to have a width of not less than 380mm. Each panel is to be firmly pegged or staked to the ground. Approved ramps are required to be fitted on the take-off side.

Removable Steeplechase Fence – Side Aspect



Removable Steeplechase Fence – Front Aspect



5.3 Guidelines – Permanent Steeplechase Fence



For any further queries regarding the specifications of plastic wings for Hurdles, ropes, pins, pegs, wings, set-up of the moveable Hurdles or Steeples; or maintenance of the permanent Steeples, please contact NZTR.

Appendix Three: Trials Policy

1. Nomination cut-off time

The close of nominations for all trials meetings is at 12pm on the day of nomination. No nominations will be taken after this time.. Application can be made with NZTR through the Racing Integrity Unit (RIU) where extraordinary circumstances exist.

2. Transferring of Horses Between Heats

NZTR will not transfer horses between heats after nominations have closed. Requests from trainers to transfer horses between heats at trials (once nominations have been closed) should be made with the RIU and these will only be approved where extraordinary circumstances exist, or there are spaces for horses that will otherwise miss out.

3. Trial date changes/venue transfers

As per the Third Appendix of the Rules of Racing, all trials must be approved by NZTR with the scheduling of such trials agreed to by the Regional Programming Committees.

There will be instances where changes to programmed trials are sought or are necessary and NZTR management will be the central point of contact if there is any consideration being given to hosting additional (unscheduled) trial dates or making changes to programmed trial dates. NZTR will treat each request on a case-by-case basis and obviously the involvement of the Regional Programming Committees, Club Managers, the NZ Trainers Association and any other related parties will be sought. Any changes to trial dates including, but not limited to, additional (unscheduled) trial dates or changes to programmed trials, NZTR will liaise with the relevant Programming Committee, Club Managers, NZ Trainers Association and other relevant parties in respect to these applications.

Trainers will be notified of any changes to trial dates or venues by email, text and notification on the NZTR website.

4. Eligibility & Regulations

As per the Third Appendix of the NZTR Rules of Racing horses must be registered with NZTR and have approved gear prior to entering for a trial meeting.

For horses without a registered name, full breeding, sex, age and colour must be advised prior to the official nomination deadline.

There will be no more than thirty (30) heats at any one trial meeting.

The following are ineligible to enter Jumping Trials –

- 2YO horses
- 3YO horses, prior to the first day of February in the racing season which they turned Three
- Any horse less than Four Years old in a Steeplechase event

Horses that wish to enter a trial meeting for jumpers (eg: Jumpers Flat), must have a current Jumping Certificate.

2YO & 3YO horses are eligible to trial against older horses irrespective of age group specific trials being programmed.

Maiden horses are eligible to trial in Open heats.

5. Riders Fees

From 1 February 2021 Connections will be required to pay \$65.15 (Excluding GST) for a Senior Rider and \$43.08 (Excluding GST) for an Apprentice Jockey to ride a horse at a trial meeting.

6. Elimination Conditions

In the event that the number of entries for a trial meeting result in heats exceeding 30, the following elimination conditions will apply:

- Preference will be given to those events that were eliminated at the previous trials meeting in the same region.
- In August, September & October, Maiden 4YO+ heats will be eliminated first starting with the longest distance.
- If further eliminations are required the next heats to be eliminated will be Maiden 3YO starting with the longest distance.
- In the months of November through July (inclusive), Maidens will be eliminated first starting with the longest distance.

Exemptions regarding longer distance heats will be considered if there is a need to accommodate horses preparing for jumping races.

7. Attendance

Trials must be run in accordance with the Rules of Racing and require attendance by the RIU, St John Ambulance, a veterinarian, a raceday starter and a farrier.