

NZTR REVISED PENALTY GUIDELINES FOR IMPROPER WHIP USE AND CARELESS RIDING

(Issued under Rule 106 of the Rules of Racing)

These revised guidelines come into force from 12:01 am on 1 September 2021.

NZTR's position continues to be that the excessive or improper use of the whip during racing is unacceptable (particularly where repeated) and must be denounced and discouraged by way of a penalty. The proposed adjustments are the starting point for penalties. More severe penalties may be imposed should the circumstances warrant.

1. Whip Offences

For breaches of the Whip Rule prior to the 100m mark:

1 st offence starting point	Greater of \$250 fine or 50% of jockey share of stake.
2 nd offence starting point	Greater of \$500 fine or 50% of jockey share of stake.
3 rd offence starting point	5 national day suspension plus 50% of jockey share of stake.
4 th offence starting point	5+ national day suspension plus 50% of jockey share of stake.

For breaches of the Whip Rule regarding consecutive strikes:

2 consecutive strikes	Warning. If offence after prior warnings or if subsequent to prior offences, Stewards discretion to charge on same basis as 3 consecutive strike.
3 consecutive strikes	1st offence – greater of a \$150 fine or 50% of jockey share of stake. 2nd offence – greater of a \$300 fine or 50% of jockey share of stake. 3rd offence – greater of a \$500 fine or 50% of jockey share of stake.
4-5 consecutive strikes	1st offence – greater of a \$400 fine or 50% of jockey share of stake. 2nd offence – greater of a \$500 fine or 50% of jockey share of stake. 3rd offence – 5 national day suspension plus 50% of jockey share of stake.
6 or more consecutive strikes	1st offence – 5 national day suspension plus 50% of jockey share of stake. 2nd and subsequent offences – 6 or more national day suspension plus 50% of jockey share of stake.

Further provisions:

- A prior offence in any category of breach is included in consideration of penalties.
- Additional National Days and/or an increased percentage of the jockey share of stake to be added where whip infringement is in a black type race, a prestige jumping race or other major race.
- Charges upheld within 6-month period:
Each consecutive penalty in 6 months, raise by 1 or 2 days.

2. Careless Riding

Low-range starting point	5 national days suspension
Mid-range starting point	7 national days suspension
High-range starting point	10 national days suspension

Further provisions:

- Fines to be added as a % of stakemoney earned in black type/major races.
- Concessions may be considered with respect to fines imposed on Apprentice Jockeys.

3. Deferment:

If, at the time the suspension is imposed, the Rider is engaged to ride a horse or horses in a race(s) during the next 7-day period after the day on which the suspension is imposed, then the suspension will be effective as set out in Rule 1106(2)(b) or Rule 1106(3)(b), however if the Rider is engaged to ride a horse in a race on the 8th, 9th or 10th day after the day on which the suspension is imposed then the suspension will be effective from the earlier of:

- 3.1. the completion of such engagements within that period; or
- 3.2. from the day immediately following the 10th day after the day the suspension was imposed.

4. Resetting of penalties:

- If a rider does not repeat a whip related offence for 6 months, previous offences are disregarded for the purposes of determining the penalty.
- If a rider does not repeat a Careless Riding offence for 12 months, previous offences are disregarded for the purposes of determining the penalty.