

# STANDARD REGULATIONS FOR RACEMEETING PROGRAMMES

## 1. APPLICATION

- 1.1 Unless otherwise stated in a Club's official programme, these Standard Regulations apply to the programmes for all thoroughbred race meetings in New Zealand.
- 1.2 All races and race meetings are also subject to the NZTR Rules of Racing.
- 1.3 Every nomination is deemed to be made upon the express condition that the person making the nomination and the persons on whose behalf the nomination is made (e.g. the owners or lessees of the horse in question) agree to be bound by these regulations as final and binding on each such person.
- 1.4 Every person in breach of these regulations shall be deemed to be in breach of the NZTR Rules of Racing.

## 2. NOMINATIONS

- 2.1 The National Racing Bureau (NRB) must receive nominations for a race before the nomination deadline advertised in the Racing Programme Guide (RPG) for that race, or prior to the extended nomination deadline advertised by NZTR and the NRB.
- 2.2 The deadline for nominations for any race may be extended by NZTR until 9.00am the following day, or for any period specified in publications and/or through appropriate communications from the NRB. The nomination deadline may also be extended if at the originally advertised nomination deadline 12 or fewer nominations have been received for that race, the race may be divided, or if 50% or more of the nominated horses are also nominated for another race or races within a 48-hour period.
- 2.3 If at the advertised nomination deadline, 50% or more of the races on the programme for that race meeting are extended pursuant to regulation 2.2, the closing time for nominations for the remaining races on that programme may also be extended, irrespective of how many nominations have been received for those races.
- 2.4 If at the advertised nomination deadline, one or more races on the programme has been deleted, or in the opinion of NZTR, is likely to be deleted, the nomination deadline for any other race or races may be extended by NZTR until 9.00am the following day, or for a period specified by NZTR.
- 2.5 Where the closing time for nominations for any race (except a Group Race, Listed Race or Early Nomination Race) is extended pursuant to regulations 2.2 or 2.3, priority will be given in field selection to those horses nominated at the originally advertised deadline, unless the horse nominated at the originally advertised deadline is an acceptor who gains a place in the field for another race at the same race meeting, or for any other races at other race meetings scheduled within a 48 hour period of each other. This shall be irrespective of rating, weight, performance or qualification status.
- 2.6 For a Group or Listed, or Prestige or Feature Jumping race, the order of entry will be based on the ballot order regardless of regulation 2.5.
- 2.7 Where for any reason a race is added to a programme after that programme has been published in the RPG, nominations may be extended to 9.00am the day after the nomination deadline, or a period determined by NZTR, for all or part of that race meeting.
- 2.8 Any horse nominated for a race shall be deemed to be an acceptor for that race unless withdrawn before the withdrawal deadline advertised in the RPG for that race.

## 3. DELETION OF RACES (FLAT RACES ONLY)

- 3.1 Any flat race for which only six or fewer single nominations have been received at the advertised closing time for nominations, or the extended closing time (where an extension has been made), may be deleted from the programme.
- 3.2 After the advertised withdrawal deadline has passed, any flat race with six or fewer single acceptors may be deleted from the programme.
- 3.3 After the advertised withdrawal deadline, it is likely that NZTR will, following consultation with the relevant Club, delete any flat race with four or fewer acceptors from the programme unless it is a Group or Listed race.
- 3.4 Every Group or Listed race will be run irrespective of the number of acceptors for the race, providing the race meeting proceeds. NZTR, in conjunction with the relevant Club will attempt to re-schedule any Group or Listed race that is not run as the result of an abandoned meeting.

## 4. SPECIAL CONDITION OPEN ENTRY JUMPING RACES

The following policy is designed to ensure maximum opportunities for jumping horses throughout the jumping season.

- (a) Where there are sufficient nominations to divide a Special Condition Open Entry Jumping race preference will be given to running a race restricted to horses eligible for a Maiden Hurdle or Maiden Steeplechase, with the remaining horses that have won one or more Hurdle or Steeplechase Races running in a Restricted Open Hurdle or Restricted Open Steeplechase.
- (b) Where there are insufficient nominations to divide a Special Condition Open Entry Jumping race NZTR in consultation with NZ Jumps Inc and the Club will determine the classification of the race based on the entries received with the race being run as either a Restricted Open Hurdle/Steeplechase; or Maiden Hurdle/Steeplechase. Special Condition Open Entry Jumping races will be run for the equivalent prizemoney as determined by NZTR for the race classification.
- (c) Where a Special Condition Open Entry Jumping race is not divided, a \$1,000 bonus will be paid to the first Maiden horse across the line as determined by the judge subject to the condition that such bonus will not be paid if the first Maiden horse wins that race, or the race is conducted for Maiden horses only. The \$1,000 bonus for the first Maiden horse will also be provided where a Special Condition Open Entry Jumping race has been divided into two Restricted Open races (with Maiden horses running in both divisions) because there were insufficient horses to divide the race into a \$20,000 Restricted Open and a \$10,000 Maiden. Where two Restricted Open races are held for this reason, both races will include the provision for the \$1,000 Maiden bonus.
- (d) NZTR having determined the conditions of the Special Condition Jumping race, may extend the deadline for additional nominations until 9.00am the following day, or for a period specified by NZTR.

### 4.1 Minimum Field Sizes:

If at the advertised withdrawal deadline there are six or fewer single acceptors for a Jumping Race, consideration will be given to deleting that Jumping Race and transferring the horses entered into another Jumping Race on the same programme.

All decisions concerning the deletion of a Jumping Race and the transfer of horses to another Jumping Race will be made by NZTR after consultation with NZ Jumps Inc., and the Club holding the race meeting.

### 4.2 Providing the race meeting proceeds, every Prestige or Feature Jumping race will be run irrespective of the number of acceptors for the race.

### 4.3 Payment of unclaimed Stake Money:

In any Jumping Race where a horse or horses fails to finish or complete the course for any reason (other than being declared a Late Scratching, a Non-Runner, or being disqualified), NZTR in consultation with the Club, will distribute the amount of stake-money which would have otherwise been paid should that horse or horses have completed the course, equally between such horse or horses.

## 5. DIVISION OF RACES

- 5.1 The factors that will be taken into account when considering whether to divide a race include but are not limited to the following:
  - (a) the number of horses accepted for the race;
  - (b) the maximum starting limit for the race;
  - (c) the number of races already programmed for that race meeting;
  - (d) whether or not more than one race on the programme for that race meeting meets the criteria for division;
  - (e) whether any races on the programme for that race meeting are likely to be, or have been deleted;
  - (f) the class, distance and advertised value of the race being considered for division;

- (g) the upcoming racing opportunities at other race meetings in the relevant class and distance range;
  - (h) the likely track condition;
  - (i) the availability of a timeslot to conduct an additional race at that race meeting;
  - (j) the number of horses accepted for the race that are also accepted in another race or races within a 48-hour period;
  - (k) the availability of funding for an additional race; and
  - (l) whether a jumping race or races on the same programme is/are likely to be divided.
- 5.2 NZTR reserve the right to run a consolation race where the horses will be split by way of ratings into a top and bottom half. If, where the division is made, there are two or more horses with the same rating, the Handicapper will determine which horses are drawn into the first division and which are drawn into the consolation division. Following the release of the two fields, those who are on the ballot in the original race (bearing a higher stake), must run in that race if presented with an opportunity through a scratching, they cannot opt to run in the consolation race. When a race is divided in consolation format, the stake will be determined by NZTR and the relevant Club.
- 5.3 When dividing a race, NZTR will make the best effort to accommodate split requests for trainers and jockeys. This however may not always be possible.
- 5.4 When dividing a race, NZTR has the right to decide whether the race will contain the same balloted horses for both races, or different horses for each of the split races. This decision will be made in conjunction with the relevant Club.

If the decision is made to have the same ballots in both races, once a horse makes a field off the ballot, it must be scratched from the other race.

## 6. RE-NOMINATIONS

- 6.1 Any horse nominated for any race which becomes ineligible by reason of it winning a race prior to or at the advertised withdrawal deadline, may be entered for any other race for which it is eligible, irrespective of whether nominations for that other race have closed. For the purpose of ballot order, horses in this situation will be treated as a late nomination. The exception to this clause will be when, at a two-day meeting, a horse wins a race on the first day of the meeting. In this instance, the horse will take its new rating position in the race which it has been transferred into, and will NOT be treated as a late nomination. Weights may also be adjusted accordingly following the transfer.
- 6.2 If prior to or at the advertised withdrawal deadline:
- A. a race is cancelled;
  - B. a horse is eliminated from a race based on the handicapper's assessment;
  - C. a horse is placed on the ballot for a race based on the handicapper's assessment; then those affected horses may transfer into another race, which is not a Group or Listed race, for which the horse is eligible, irrespective of whether nominations for that other race have closed, where that race is:
    - (i) A set weight Race; or
    - (ii) A Handicap Race, where weights have been declared, provided that the weight determined by the handicapper reflects the position of that horse in the ratings relative to the weights that have already been declared for that race.

For the purpose of field selection, a horse transferred into another race in accordance with this regulation will be deemed to be late nominated for that race. [For the avoidance of doubt, preference for field selection will be given to those horses that entered at the original deadline]

- 6.3 When the option is taken to transfer a horse, the individual horse can not remain in the race it was previously balloted in. A 'transfer' is from one race to another, as opposed to a double nomination where a horse is entitled to remain in more fields than one.
- 6.4 In the event of a race meeting being abandoned, any horse accepted for that race meeting may, prior to 9.00am on withdrawal day of a different race meeting, may be nominated for any race(s) for which it is eligible, irrespective of whether nominations for those races have closed.

## 7. SCRATCHINGS

- 7.1 All persons intending to scratch a horse for a race after the advertised withdrawal deadline for that race are required to give notice to that effect to the NRB no later than 7.30am on the morning of the race meeting, or, at a later time if prescribed by NZTR for race meetings which are scheduled to commence after 4.00pm.
- 7.2 Scratchings after the advertised scratching deadline must be advised to the Stipendiary Steward in charge of the race meeting and will only be allowed with the permission of that Stipendiary Steward.

## 8. WEIGHTS & RE-HANDICAPS

- 8.1 A horse shall not be allocated:
- (a) less than 52kg in a Handicap Group 1 or Handicap Group 2 Race;
  - (b) less than 53kg in a Handicap Group 3, Listed or Open Premier Handicap Race;
  - (c) less than 54kg in an Open Handicap Race at a Feature, T2 Feature or Industry meeting;
  - (d) less than 54kg in any other Handicap Flat Race;
  - (e) less than 65kg in a Prestige Jumping Race;
  - (f) less than 65kg in any other Handicap Jumping Race or Handicap Highweight Race; or
  - (g) more than 72kg in a Prestige or Feature Jumping Race
- 8.2 The top weight allocated in any Handicap Flat Race shall not be less than 59kg except in Handicap Flat Races in which only maidens, or only Two-year-olds, or only Three-year-olds, may start in which event the top weight allocated shall not be less than 57kg.
- 8.3 Minimum top weight at withdrawal time:
- (a) If at the advertised withdrawal deadline for an Open Handicap Flat Race (except a Two & Three-year-old and Group 1 & 2 Handicap Flat Race), the then top weighted horse has been allocated less than 60kg, such top weighted horse or horses shall be allocated 60kg and the weights allocated to the horses comprising the remainder of the field shall be adjusted by the handicapper in line with the Handicapping Policy rating guidelines, with a view to achieve a minimum 6kg spread of weights.
  - (b) If, in the case of an Open Handicap Race for which weights were declared early:
    - 1) the top weighted horse is (or the top weighted horses are) withdrawn after the weights for the race were declared; and
    - 2) in the opinion of the Chief Executive of NZTR, the withdrawal of that horse (or those horses) has resulted in there being an insufficient spread in the weights for the race or has otherwise unacceptably or unreasonably affected the weights of the race,

then, notwithstanding any other regulation in these regulations, the Chief Executive of NZTR may, in his or her discretion, request the Handicapper to amend and re-issue the weights for the race on the basis of the ratings that the horses remaining in the field (as at the Withdrawal Deadline for the race) OR (after the withdrawal of the top-weighted horse [or horses]) had, as at the date the weights were declared.

Where the weights for a race are required to be amended and re-issued under this regulation:

- 1) the Handicapper shall, when amending the weights, take into account performances by horses after the weights were initially declared for which they were eligible to be re-handicapped (whether under the particular conditions of the particular race or otherwise) and such other matters as the Handicapper considers appropriate in his or her discretion; and
  - 2) notwithstanding any other regulation in these regulations, the top weight allocated in the amended weights shall not be less than 57kg.
- (c) For any Two or Three-year-old Handicap or Set Weight & Penalties Flat Race, the then top weighted horse has been allocated less than 57kg, such top weighted horse or horses shall be allocated 57kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.
- (d) If at the advertised withdrawal deadline for a Maiden Flat Race (except a Maiden Two-year-old, or Maiden Three-year-old Race) the then top

weighted horse has been allocated less than 58.5kg, such top weighted horse or horses shall be allocated 57.5kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.

- (e) If at the advertised withdrawal deadline for a Maiden Two-year-old or Maiden Three-year-old Race the then top weighted horse has been allocated less than 57.5kg, such top weighted horse or horses shall be allocated 56.5kg and the weights allocated to the horses comprising the remainder of the field adjusted accordingly.
- 8.4 All handicaps are subject to the condition that the winner of any race, except a race run under standard Weight-for-Age conditions or a Group or Listed race run under standard Set Weights and Penalties and allowances conditions, after the declaration of weights may be re-handicapped, unless the conditions for the race won or the race already entered in state otherwise.
- 8.5 Subject to Regulations 8.1 and 8.17 in all races where Three-year-olds compete against older horses (excluding highweight races), all Three-year-olds shall be entitled to an allowance as follows:
- (a) if the race is run in August, September, or October – 2.5kg;
- (b) if the race is run in November, December or January – 1.5kg; or
- (c) if the race is run in February, March or April – 0.5kg.
- 8.6 Subject to Regulations 8.1 and 8.17 in all races all fillies and mares shall be entitled to an allowance of 2kg, unless otherwise specified in the conditions of the race.
- 8.7 In all races that are Group or Listed Set Weight and Penalties and allowances races, except those that are restricted to:
- (a) Three-year-olds only or Two-year-olds only; or
- (b) fillies only or mares only; or
- (c) fillies and mares only,
- the following conditions shall apply:
- Set Weights with Penalties.
  - Fillies & Mares 53kg. Colts, Geldings & Entires 55kg
  - Three-year-old Allowances as per the following table:

DISTANCE	AUG-OCT	NOV-JAN	FEB-APR	MAY-JUL
Up to 1400	4kg	2kg	1kg	0.5kg
1401-2000	5kg	3kg	2kg	1kg
Over 2000	6kg	3.5kg	2.5kg	1.5kg

#### Penalties to be incurred as follows:

Winner of a Group 1 race for which entry was not restricted by age in previous 18 months 3kg, two or more such wins 4kg.

Winner of a Group 2 race for which entry was not restricted by age in previous 18 months 2kg, two or more such wins 3kg.

Winner of a Group 1 race restricted to Two and/or Three-year-olds in previous 18 months 1.5kg, two or more such wins 2kg.

Winner of a Group 3 race for which entry was not restricted by age in previous 18 months 1kg, two or more such wins 2kg.

Winner of a Group 2 race restricted to Two and/or Three-year-olds in previous 18 months 1kg, two or more such wins 1.5kg.

Winner of a Group 3 race restricted to Two and/or Three-year-olds in previous 18 months 0.5kg, two or more such wins 1kg.

Winner of a Listed race for which entry was not restricted by age in previous 18 months 0.5kg, two or more such wins 1kg.

Penalties are not cumulative.

- 8.8 In all races that are Group or Listed set weight with penalties and allowances races which are restricted to mares only, or to fillies and mares only, the conditions set out in Standard Regulation 8.7 shall apply except that the set weight shall be 55kg.
- 8.9 In all races which are Weight-for-Age Races either with penalties and allowances, or with penalties only or allowances only, or without either, in which horses sired north of the Equator and foaled on any day from 1 January to 31 July inclusive are engaged there shall be allowed to every such horse unless otherwise provided in the conditions of the race allowances according to the scale as set out in NZTR's Racing Policy.
- 8.10 In all races which are Set Weight Two-year-old or Three-year-old races, except Maiden Flat races & except all Group & Listed races run at set weights, Colts and Geldings will carry 57.5kg and Fillies will carry 55.5kg.
- 8.11 The weights to be carried in all other special weight races (meaning thereby a race other than a Handicap Race or a Race to be run under the Standard Scale of Weight-for-Age or under the Set Weight and Penalties conditions set out in Standard Regulations 8.7 and 8.8) shall be specified in the conditions of the race.
- 8.12 In Set Weight and Penalties (SWP) races that are not Group or Listed races, horses that have won two flat races incur a 1kg penalty, horses that have won three flat races incur a 2kg penalty and horses that have won four or more flat races incur a 3kg penalty.
- 8.13 All Benchmark races are run as Handicap flat races and horses rated higher than the specified Benchmark Rating will be allocated 0.5kg for each Rating point in excess of that Rating, notwithstanding any subsequent re-handicap. Should the highest rated horse nominated at the closing time for nominations be rated two or more points below the Benchmark Rating, that horse will be allocated the minimum top weight of 59kg.
- 8.14 To be eligible to compete in any flat race that includes jumpers (eg: Maiden & Jumpers Handicap, Rating 65 & Jumpers Handicap etc) horses classified as jumpers must, at midnight on the day prior to the closing of nominations have started in a Hurdle or Steeplechase race at a totalisator meeting, or hold a current jumping certificate under clause 3.1 or 3.2 of Appendix Two (Jumping Policy) of the Racing Policy.
- 8.15 Apprentice Allowances in Flat Races:  
Apprentices can claim in all flat races unless specified otherwise in the programmes.
- 8.16 Jumping Allowances in Jumping Races:  
Jump Riders who are eligible to claim can do so in all jumping races unless specified otherwise in the programmes.
- 8.17 The following templates contains the various weight parameters applicable to the respective race classes:

#### MAIDENS

RACE TYPE	ABBREVIATION	WEIGHTS	MINIMUM TOP WEIGHT	AGE ALLOWANCE
Maiden Two-year-old	MDN 2YO SW	Colts & Geldings 57.5kg. Fillies 55.5kg.	56.5kg	N/A
Maiden Two-year-old Fillies	MDN 2YO F SW	Set weight 56.5kg.	56.5kg	N/A
Maiden Two-year-old & Up	MDN 2YO+ SW	Colts, Geldings and Entires 58.5kg. Fillies and Mares 56.5kg.	57.5kg	*Standard Reg 8.5 & a further 2YO Allowance of 3kg

Maiden Fillies & Mares	MDN F&M SW	Set weight 57.5kg.	57.5kg	*Standard Reg 8.5
Maiden Three-year-old	MDN 3YO SW	Colts & Geldings 57.5kg. Fillies 55.5kg.	56.5kg	N/A
Maiden Three-year-old Fillies	MDN 3YO F SW	Set weight 56.5kg.	56.5kg	N/A
Maiden	MDN SW	Colts, Geldings & Entires 58.5kg. Fillies & Mares 56.5kg.	57.5kg	*Standard Reg 8.5
Maiden Highweight	MDN HWT SW	Colts, Geldings & Entires 68kg. Fillies & Mares 66kg	66.0kg	N/A
Maiden Colts, Geldings & Entires	MDN CG&E SW	Set weight 58.5kg	57.5kg	*Standard Reg 8.5
Maiden Colts, Geldings & Entires Highweight	MDN CG&E HWT SW	Set weight 68kg	68.0kg	N/A
Maiden Four-year-old & Up	MDN 4YO+	Geldings & Entires 58.5kg. Mares 56.5kg	57.5kg	N/A
Maidens As At	MAAT	Colts, Geldings & Entires 58kg. Fillies & Mares 56kg. Standard Reg 8.12 & Maiden Allowance of 1kg. No horse shall be allotted less than 54kg.	57.0kg	*Standard Reg 8.5

## HANDICAPS & WFA

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Benchmark Rating 65 *	Benchmark Rating 65* HCP	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 70, Rating 72 or Benchmark Rating 82	Benchmark Rating 70 HCP, Rating 72 HCP or Benchmark Rating 82 HCP	Open Handicap.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 65 Fillies and Mares*	Benchmark Rating 65 F&M HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Fillies and Mares Set Weight & Penalties	F&M SW&P	Open Race for Fillies and Mares only. Penalties will be applied as per relevant standard reg's 8.7 & 8.12	55.0kg	*Standard Reg's 8.7 & 8.12
Benchmark Rating 72 Fillies & Mares or Benchmark Rating 82 Fillies & Mares	Benchmark Rating 72 F&M HCP or Benchmark Rating 82 F&M HCP	Fillies and Mares Open Handicap	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, & 8.5
Benchmark Rating 65 Highweight*	Benchmark Rating 65 HWT HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race.	Handicappers Discretion	*Standard Reg's 8.1 & 8.6
Benchmark Rating 72 Highweight or Benchmark Rating 82 Highweight	Benchmark Rating 72 HWT HCP or Benchmark Rating 82 HWT HCP	Open Handicap Highweight	Handicappers Discretion	*Standard Reg's 8.1 & 8.6
Open Handicap Group 1 & Group 2	As per race type HCP	Open Handicap	59.0kg	Minimum weight 52kg. *Standard Reg's 8.2 & 8.3
Open Handicap Group 3 & Listed	As per race type HCP	Open Handicap	60.0kg	Minimum weight 53kg. *Standard Reg's 8.2 & 8.3
Open Handicap	OPN HCP	Open Handicap	60.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5 & 8.6
Open SWP	OPN SWP	Open	N/A	*Standard Reg 8.7
Weight For Age or Fillies & Mares Weight For Age	WFA or WFA F&M	Weight to be allocated in accordance with age & sex	N/A	Refer WFA Scale

## AGE GROUP

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Two-year-old Set Weights (SW) or Three-year-old Set Weights (SW)	2YO SW or 3YO SW	Set weight race for Two-year-olds or for Three-year-olds	55.5kg	Colts 57.5kg. Fillies 55.5kg
Group & Listed Two-year-old Set Weights (SW) or Three-year-old Set Weights (SW)	G&L 2YO SW or G&L 3YO SW	Set weight race for Two-year-olds or for Three-year-olds	54.5kg	Colts 56.5kg. Fillies 54.5kg
Two-year-old Handicap or Three-year-old Handicap or Four-year-old Handicap	2YO HCP or 3YO HCP or 4YO HCP	Handicap race for Two-year-olds or for Three and or Four-year-olds	57kg	*Standard Reg's 8.1, 8.2 & 8.6
Three-year-old Fillies Handicap	3YO F HCP	Handicap race for Three-year-old fillies	57kg	*Standard Reg's 8.1 & 8.2
Three-year-old and Four-year-old Handicap	3&4YO HCP	Handicap race for Three and or Four-year-olds	57kg	*Standard Reg's 8.1, 8.2, 8.5 & 8.6
Two-year-old Fillies SW or Three-year-old Fillies SW	2YO F SW or 3YO F SW	Set weight race for Two-year-old fillies or for Three-year-old fillies	56.5kg	Set weight 56.5kg
Two-year-old Colts & Geldings SW or Three-year-old Colts & Geldings SW	2YO C&G SW or 3YO C&G SW	Set weight race for Two-year-old colts & geldings or for Three-year-old colts & geldings	57.5kg	Set weight 57.5kg
Two-year-olds and Three-year-olds SW	2YO & 3YO SW	Set weight race for Two-year-olds & Three-year-olds	57.0kg	Colts & Geldings 57.5kg. Fillies 55.5kg. 2YO Allowance of 1.5kg.
Three-year-olds and Four-year-olds SW	3YO & 4YO SW	Set weight race for Three-year-olds & Four-year-olds	57.0kg	Colts & Geldings 58.5kg. Fillies & Mares 56.5kg. 3YO Allowance as per the WFA scale
Three-year-old Set Weights with Penalties	3YO SWP	Set weight with penalties race for Three-year-olds	57.0kg	Colts & Geldings 57.5kg. Fillies 55.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg
Two-year-old Set Weights with Penalties	2YO SWP	Set weight with penalties race for Two-year-olds	57.0kg	Colts & Geldings 57.5kg. Fillies 55.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg
Three-year-old Fillies Set Weights with Penalties	3YO F SWP	Set Weight with penalties race for Three-year-old fillies	56.5kg	Set Weight 56.5kg. Std Reg 8.12 & Maiden Allowance 1.5kg

## SPECIAL CONDITIONS

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Special Conditions	SPEC CON	A Special Conditions race will vary from the standard conditions of entry. The conditions will be outlined in the RPG and endorsed by NZTR and the relevant Club hosting the race.	Handicappers Discretion	Prescribed by NZTR and the Club

## FLAT RACES FOR JUMPERS, HIGHWEIGHTS & AMATEUR RIDERS

RACE TYPE	ABBREVIATION	EXPLANATION	MINIMUM TOP WEIGHT	WEIGHTS
Maiden & Jumpers Handicap	MDN JMP HCP	For Maiden horses and * Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	Handicappers Discretion	*Standard Reg's 8.1, 8.5 & 8.6
Maiden & Jumpers Highweight Handicap	MDN JMP HWT HCP	For Maiden horses and * Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	Handicappers Discretion	Standard Reg's 8.1 & 8.6
Benchmark Rating 65 & Jumpers Handicap*	Benchmark Rating 65 JMP HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race or hold a valid Jumping Certificate. Jumpers have preference in selection for ballot purposes.	59.0kg	*Standard Reg's 8.1, 8.2, 8.3, 8.5, 8.6 & 8.13
Benchmark Rating 72 & Jumpers Handicap or Benchmark Rating 82 & Jumpers Handicap	Benchmark Rating 72 JMP HCP or Benchmark Rating 82 JMP HCP	For horses with a domestic flat rating of up to and including 72 or up to and including 82 at the time of nomination and *Standard Reg 8.14. Jumpers have preference in selection for ballot purposes.	59.0kg	*Standard Reg's 8.1, 8.5 & 8.6

Benchmark Rating 65 & Jumpers Highweight*	Benchmark Rating 65 JMP HWT HCP*	Open Handicap. For horses with a domestic flat rating of up to & including 65 at time of entry & for horses with a domestic flat rating of 66 and higher at time of entry provided that such horses have not won more than one flat race or hold a valid Jumping Certificate. Jumpers have preference in selection for ballot purposes.	Handicappers Discretion	*Standard Reg's 8.1 & 8.6
Benchmark Rating 72 & Jumpers Highweight or Benchmark Rating 82 & Jumpers Highweight	Benchmark Rating 72 JMP HWT HCP or Benchmark Rating 82 JMP HWT HCP	For horses with a domestic flat rating of up to and including 72 or up to and including 82 at the time of nomination and *Standard Reg 8.14. Jumpers have preference in selection for ballot purposes	Handicappers Discretion	Standard Reg's 8.1 & 8.6
Jumpers Flat Handicap	JMP FLT HCP	*Standard Reg 8.14	Handicappers Discretion	*Standard Reg's 8.1, 8.5 & 8.6
Jumpers Highweight	JMP HWT HCP	*Standard Reg 8.14	Handicappers Discretion	*Standard Reg's 8.1 & 8.6
Amateur Riders Races	Race Class + Amateur Riders HCP	Races for Amateur Riders Only	Handicappers Discretion	Minimum Weight 66.5kg & Standard Reg 8.6
Maiden Hurdle or Maiden Steeplechase	MDN HDL or MDN STP	For horses that have not won a Hurdle or that have not won a Steeplechase	66.0kg	CG&E 68kg. M 66kg
0-1 Win Hurdle or 0-1 Win Steeplechase	0-1 Win HDL or 0-1 Win STP	For horses that have not won more than one Hurdle or more than one Steeplechase at a totalisator meeting.	65.0kg	CG&E 68.5kg. M66.5kg
0-2 Win Hurdle or 0-2 Win Steeplechase	0-2 Win HDL or 0-2 Win STP	For horses that have not won more than two Hurdle races or more than two Steeplechases at totalisator meetings.	65.0kg	*Standard Reg's 8.1 & 8.6
Novice Hurdle or Novice Steeplechase	NOV HDL or NOV STP	For horses eligible for a Maiden Hurdle or for a Maiden Steeplechase on specified dates.	65.0kg	*Standard Reg's 8.1 & 8.6
Special Conditions Hurdle or Special Conditions Steeplechase	SP COND HDL or SP COND STP	Special conditions applicable – refer programmes	65.0kg	*Standard Reg's 8.1 & 8.6
Restricted Open Hurdle or Restricted Open Steeplechase	RST OPN HDL or RST OPN STP	Handicap Open Hurdle Race or Handicap Open Steeplechase	Handicappers Discretion	*Standard Reg's 8.1 & 8.6
Open Hurdle or Open Steeplechase	OPN HDL or OPN STP	Open Hurdle or Open Steeplechase	Handicappers Discretion	*Standard Reg's 8.1 & 8.6
Open Hurdle (Prestige Jumping Race) or Open Steeplechase (Prestige Jumping Race)	OPN HDL (PJR) or OPN STP (PJR)	Open Hurdle or Open Steeplechase	Handicappers Discretion	*Standard Reg's 8.1 & 8.6 Max 72kg

## 9. BALLOTING & EXEMPTION CONDITIONS

### 9.1 General Conditions

9.1.1 The following balloting conditions apply to all races that do not have specific balloting conditions specified in the RPG for that race programme.

9.1.2 Unless specified in the conditions of the race [in the RPG], NZTR will provide balloting.

### 9.2 Maiden Flat Races

9.2.1 In Maiden Flat Races, horses will be ordered by way of:

- (a) First – By rating
- (b) Second – Unraced horses that have had three or less trial starts since the start of their Three-year-old season. Horses that have placed (second or third) within these three starts will be ahead of horses that have not trialed and those that have not placed at all. Horses with no trial starts will be ahead of those with only unplaced trial starts.
- (c) Third - Unraced horses that have had more than three trials starts since the start of their Three-year-old season. These will be ordered as determined by the handicapper.
- (d) Fourth - Those horses that have had one start in a non-stakes race without placing second to fifth inclusive will be eliminated or balloted in an order to be determined by the Handicapper.
- (e) Fifth - Those horses that have had two starts in non-stakes races without placing second to fifth inclusive will be eliminated or balloted in an order to be determined by the Handicapper.
- (f) Sixth - Those horses that have had three starts or more in non stakes races and have not placed second to fourth inclusive at any of their most recent three starts will be eliminated or balloted in an order to be determined by the Handicapper.
- (g) Seventh - If after applying the above clauses further ballots or eliminations are required the remaining horses will be eliminated or balloted in an order to be determined by the Handicapper based on each of the horse's last three starts, or by lot if the Handicapper is unable to determine an order.

9.2.2 For the purpose of field selection an unraced horse will receive two points for its first trial win. Subsequent wins at trials will not earn it additional points. A horse with a rating of R45 which has started in one or more races will also receive two points for a trial win.

### 9.3 Two-year-old races that are not Group and Listed races

- (a) First - By rating
- (b) Second - Unraced horses (and horses that have raced in stakes races only) will be order based on their most recent trial start. Those horses that have attained the same placed position at their most recent trial start they will be ordered by count back of previous trial performances. Those with placed trial performances will be ordered ahead of those with no trial performances. Those with one unplaced trial performance will be ordered ahead of those with no trial performances. Those with no trial performances will be ordered ahead of those with more than one unplaced trial performance and no placings.
- (c) Third - Those horses that have had one start in a non-stakes race without placing second to fifth inclusive will be ordered by the Handicapper.

(d) Fourth - Those horses that have had two starts in non-stakes races without placing second to fifth inclusive will be ordered by the Handicapper.

(e) Fifth - Those horses that have had three starts or more in non-stakes races (races that are not classified as Group or Listed) and have not placed second to fifth at any of their most recent three starts, will be ordered by the Handicapper.

#### 9.4 Handicap Races

9.4.1 In Handicap Races, horses shall be eliminated or balloted in the following order:

a) First - Those horses with the lowest rating.

b) Second - If two or more horses have the same rating they will be separated by the Handicapper based on each of the horse's last three starts. Consideration is given to (but not limited to) finishing position, distance from the winner, prizemoney earned, grade of races.

c) Third - If the Handicapper is unable to separate horses they will be eliminated by random selection.

9.5 Group and Listed Races that are not Handicap races

9.5.1 Field selection for 1 August to 31 July (Inclusive)

a) First - Horses that have won any Group 1 race in the preceding 18 months from the date of the race in descending order of rating. Horses with equal ratings to be separated by the handicapper.

b) Second - Horses that have won a Group 2 race not restricted to two-year-olds, and/or placed in a Group 1 race not restricted to two-year-olds, in the preceding 18 months from the date of the race in descending order of rating. Horses with equal ratings to be separated by the handicapper.

c) Third - Horses that have placed in a Group 2 race not restricted to two-year-olds, or won a Group 3 or Listed race not restricted to two-year-olds, or placed in a Group 1 two-year-old race in the preceding 12 months from the date of the race in descending order of rating. Horses with equal ratings to be separated by the handicapper.

d) Fourth - All remaining horses in descending order of rating. Horses with equal ratings to be separated by the handicapper.

9.6 Jumping (Hurdle or Steeplechase) races

9.6.1 In Maiden Jumping Races, horses will be eliminated in the following order:

a) First - Those horses with the lowest rating.

b) Second - Those horses that have had three or more starts in the race type for which they are nominated (Hurdle or Steeplechase), without placing second to fifth at their most recent three starts, will be eliminated or balloted in an order determined by the Handicapper.

c) Third - Those horses that have had two starts in the race type for which they are nominated (Hurdle or Steeplechase), will be eliminated or balloted in an order determined by the Handicapper.

d) Fourth - Those horses that have had one start in the race type for which they are nominated (Hurdle or Steeplechase), without placing second to fifth, will be eliminated or balloted in an order determined by the Handicapper.

e) Fifth - Those horses that have not raced in the race type for which they are nominated (Hurdle or Steeplechase), with a flat rating below 72 will be eliminated before those with a flat rating of 72 or above. These will be balloted in an order determined by the Handicapper.

(f) If after applying the above clauses further ballots or eliminations are required the remaining horses will be eliminated by lot if the Handicapper is unable to determine an order.

9.6.2 In Open, Restricted Open, or Set Weights and Penalties Jumping (Hurdle or Steeplechase) races horses will be eliminated or balloted in the following order:

a) First - Those horses with the lowest rating

b) Second - If two or more horses have the same rating they will be separated by the Handicapper based on each of the horse's last three starts in the race type for which they are nominated (Hurdle or Steeplechase)

c) Third - If the Handicapper is unable to separate horses they will be eliminated by lot.

9.7 Dual Acceptors

9.7.1 Where a horse is accepted for more than one race at the same race meeting, and provided that horse makes the field in more than one race, it shall be balloted or eliminated out of one of those races, irrespective of rating, weight, performance or qualification status.

9.7.2 Where a horse is accepted for races at more than one race meeting scheduled within two days of each other, it shall be balloted or eliminated out of one of the races at one of the race meetings, irrespective of rating, weight, performance or qualification status, if entries are in excess of the starting limit.

9.7.3 Where a horse is accepted in a Group and/or Listed Race and any other non-Group and/or non-Listed Race scheduled within two days of each other, and its weight, rating or qualification would merit a start in both races, that horse shall be eliminated or balloted out of the non-Group and/or non-Listed Race irrespective of rating, weight, rating or qualification status if there are eliminations and/or ballots required.

9.7.4 No horse shall be balloted or eliminated from any Group or Listed race or Prestige/Feature Jumping Race as a result of the operation of Regulations 9.7.2 or 9.7.3.

9.7.5 Clubs or Clusters that conduct multi-day race meetings within two days of each other may be exempt from regulation 9.7.3 provided this condition is advertised in the programme for that race meeting in the RPG.

## 10. FINAL DECISION

10.1 In any case not covered or provided for in this policy or under the Rules of Racing, the decision of NZTR shall be final.

## 11. FEES AND STAKES

11.1 Permission may be refused for any horse to be entered for any race if fees are in arrears at NZTR.

11.2 All advertised stakes are GST exclusive.

## 12. DECLARATION OF RIDERS

12.1 All riders for a race must be declared to the NRB by the time specified for that race in the RPG.

12.2 No change of a declared rider will be permitted without the consent of a Stipendiary Steward.

12.3 Riders for balloted horses regaining the field must be declared as soon as possible but by no later than 10am on race day.

## 13. RACING FEE STRUCTURE

13.1 Nomination and Acceptance Fees will apply to all races at Rating 70 level and above, including all Group and Listed flat races and Prestige or Feature Jumping Races.

(a) Nomination Fee: The connections of any horse that is nominated for a race (unless a Group or Listed flat or a Prestige/Feature Jumping Race) will be charged a Nomination Fee of \$50.00 (plus GST).

(b) Scratching Fee: In the event that a horse is an acceptor in a race and is then scratched the connections will be charged a Scratching Fee being the \$50.00 (plus GST) Nomination Fee, together with the Acceptance Fee of 0.75% of the advertised prize money (plus GST) for that race.

(c) Jockeys' Riding Fee: From 1 February 2011, connections are to pay Riding Fees on all starters.

(d) Jockeys' Engagement Fee: from 1 August 2018 connections are to pay a Jockeys' Engagement Fee of \$72.62 (plus GST) for flat rides, \$98.55 (plus GST) for jumps rides, where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.

13.2 Free Racing Structure – Nomination and Acceptance Fees will not apply to all races below Rating 70 level including all Maiden, Rating 60, Rating 65 and all 2YO, 3YO, 4YO, MAAT flat races that are not Group or Listed races and all jumping races that are not Prestige or Feature Jumping races.

(a) Withdrawal Fee: the connections of any horse that is nominated but then withdrawn from a race where there are no advertised nomination fees will be charged a Non-Acceptance Fee of \$50.00 (plus GST).

- (b) Scratching Fee: the connections of any horse that is nominated and is an acceptor in a race and is then scratched, where there are no advertised nomination fees, will be charged a Scratching Fee being the \$50.00 (plus GST) Withdrawal Fee, together with the Acceptance fee of 0.75% of the advertised prizemoney (plus GST) for that race.
- (c) Jockeys' Engagement Fee: Connections are also required to pay a Jockeys' Engagement Fee of \$72.62 (plus GST) for flat rides, \$98.55 (plus GST) for jumps rides, where a rider has been declared for a horse, which is subsequently scratched, provided that rider is not subsequently declared for another horse in the same race.
- 13.3 In the event that a horse is scratched from a Race and a Veterinary Certificate issued by a Veterinarian, or from 1 August 2013 a certificate from a Farrier (approved by NZTR for this purpose) clearly stating the reason/s why the said horse cannot compete on the specific day, is received by NZTR by 12 noon on the first business day following the Race from which the horse was scratched, the scratching fee may be waived (although there is no requirement for NZTR to do so). Veterinary/Farrier Certificates are to be sent to NZTR at the following facsimile number: (04) 568 8213 or at nrb@nztr.co.nz.
- 13.4 If a horse is entered for more than one race and is then withdrawn from a race/s but is an acceptor in other race/s, a Nomination Fee will be charged for each race from which the horse has been withdrawn.
- 13.5 If a horse is an acceptor in more than one race and is then voluntarily scratched from a race/s, a Scratching Fee will be charged for each race from which the horse has been scratched.
- 13.6 If a horse is eliminated from a race, no fees will be charged.
- 13.7 If a balloted horse does not gain a place in the field, no fees will be charged.
- 13.8 If a balloted horse is scratched prior to gaining a place in the field, no fees will be charged.
- 13.9 If a balloted horse is scratched after gaining a place in the field, the applicable fee/s will be charged.
- 13.10 The applicable fees will be charged through the NZTR Owners' Settlement Account.

#### **14. APPRENTICE ALLOWANCES**

- 14.1 In all races in which apprentice riders can claim, the allowances are as follows:
- 0-9 Flat wins 4kg
  - 10-39 Flat wins 3kg
  - 40-79 Flat wins 2kg
  - 80-139 Flat wins 1kg

#### **15. SPECIAL NOTICE**

- 15.1 The Club and NZTR each reserves the right, at any time, to:
- (a) Refuse any nomination, acceptance or final payment.
  - (b) Alter the stakes, amend the dates of nomination, withdrawal and acceptance or final payment, vary the distance or conditions of any race, and alter the dates on which handicaps are to appear and to alter the order of events.
  - (c) Alter the advertised Group and/or Listed status of a race, in accordance with an amendment made to that status by the Graded Stakes Committee, and the stake, nomination fee and/or acceptance fee for that race.
  - (d) Cancel a race meeting or delete any particular race.

#### **16. STANDARD NZTR PROGRAMME RULES/REGULATIONS**

- 16.1 The standard race meeting regulations approved by the New Zealand Thoroughbred Racing Inc. and advertised in the Racing Programming Guide apply to all race meetings.
- 16.2 All programmes are approved in accordance with Rule 508 of the NZTR Rules of Racing.
- 16.3 Details pertaining to any of the information contained in the programmes can be obtained through the National Racing Bureau (NRB) Ph: 0508 722 464 (RACING) Fax: 04 568 8213.