



## NEW ZEALAND THOROUGHBRED RACING INC

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Web: [www.nzracing.co.nz](http://www.nzracing.co.nz) Email: [licensing@nzracing.co.nz](mailto:licensing@nzracing.co.nz)

GST No. 10-386-896

Fees are GST inclusive

APPLICATION FOR  
**CLASS E RIDER'S LICENCE**  
(AMATEUR RIDER'S LICENCE)

Licence Fee Only

**\$75.00**

Please attach a recent passport photograph of yourself. This is required for all new applicants.

As a holder of a Class E rider's licence you are entitled to participate in Races, Barrier Trials, Jump Outs and General Trackwork Sessions.

YOUR PERSONAL DETAILS			
1.	Title (Mr/Mrs/Miss/Ms)		
2.	Surname		
3.	Given Names (in full)		
4.	Preferred Name (to appear in racebooks)		
5.	Date of Birth / Place of Birth		
6.	Nationality		
7.	Residential Address		Postcode
8.	Postal Address		Postcode
9.	Home Phone		
10.	Mobile Phone		
11.	Email Address		
12.	Facsimile Number		
15.	NZ Drivers' Licence or Passport Number		
RIDING DETAILS			
16.	Riding Weight (in kg)		
17.	State of Health		
18.	Training Facility(ies) where you ride work		
19.	(a) Number of Career Flat Rides	(b) Number of Career Flat Wins	
	(c) Number of Career Jumping Rides	(d) Number of Career Jumping Wins	
EMPLOYMENT DETAILS			
20.	Are you permanently employed in a Training Stable? If "Yes", provide full details.		
21.	Do you currently have any other form of occupation? If "Yes", provide full details.		
HORSE OWNERSHIP			
22.	Are you an Owner, Part-Owner, Lessee or Part-Lessee of any horse under the provisions of the New Zealand Rules of Racing? If "Yes", provide details of horses.		

When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records.

**LICENSE HISTORY**

23.	Have you previously held any licence in New Zealand or any overseas racing jurisdiction (including Harness and Greyhound control bodies)? "Yes" or "No". If "Yes", provide full details.	
24.	Have you ever had a licence disqualified, revoked, suspended, withdrawn or refused by any Racing Authority? "Yes" or "No". If "Yes", provide full details.	

**CRIMINAL HISTORY**

25.	Have you ever been convicted in a District or other Court of any offence against the statutory laws of New Zealand or any other country? "Yes" or "No". If "Yes", provide full details.	
26.	Have you ever been charged with any offence relating to cruelty to animals? "Yes" or "No". If "Yes", provide full details.	

**FINANCIAL ISSUES**

27.	Have you ever been declared Bankrupt? "Yes" or "No". If "Yes", provide full details.	
28.	Have you made arrangements with an adviser in relation to the preparation of your financial accounts?	
29.	Do you lodge tax returns on an annual basis?	
30.	Have you lodged your tax return for the previous financial year? If "No", provide reasons why.	

**CONTACT PERSON (in case of emergency)**

31.	Name	
32.	Relationship to you	
33.	Best contact number	

**HEALTH AND SAFETY**

The Health and Safety at Work Act 2015 (the HSW Act), which has replaced the Health and Safety in Employment Act 1992 came into force on 4 April 2016.

The HSW Act creates the concept of a "person conducting a business undertaking" (PCBU). This includes all businesses or undertakings regardless of whether a person conducts a business alone or with others, or whether or not it is for profit of gain.

Most Jockeys will be a PCBU under the HSW Act and must ensure they comply with the new regulations. Further details are available on the NZTR website: <https://www.nzracing.co.nz/NZTR/Resources/Health-and-Safety.aspx>.

By signing this form I undertake to NZTR that:

- I understand that I have obligations under the Health and Safety at Work Act 2015 and that it is my responsibility to meet those obligations;
- I will carry out my obligations under the Health and Safety at Work Act 2015;
- I will cooperate absolutely with any health and safety investigation conducted by the RIU, NZTR or WorkSafe;
- I will immediately report any incident that must be reported under the Health and Safety at Work Act or as directed by NZTR to the appropriate authorities;
- I will comply with any health and safety policies at any racing venue;

I acknowledge that my fitness to hold a licence depends on my compliance with these undertakings and that NZTR may cancel or suspend my licence if I breach them.

## PRIVACY ACT 1993

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is principally being collected for the purpose of processing the matters that are the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to, and retained by, third parties for the purpose of processing relevant forms, data matching, direct marketing and providing you with information on events, products and/or services.

NZTR will not use or disclose your personal information in any way, other than in accordance with this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters that are the subject of this form. This may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose of direct marketing and providing you with information on events, products and/or services by notifying NZTR by email ([office@nzracing.co.zn](mailto:office@nzracing.co.zn)) or by letter to the above address.

If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick this box.

## CREDIT CHECKING

You also agree that the personal information supplied by you in this form or during your registration with NZTR may be disclosed at any time by NZTR to its credit checking agency for the purposes of that agency performing its credit reporting services, which will include carrying out credit checks and you authorise the credit checking agency to disclose information to NZTR which is relevant to the provision of credit to you (and for directly related purposes including debt collection). You agree that this may result in NZTR being provided with other personal information held by that agency about you, and your personal information that NZTR discloses to the credit checking agency (including notice of any default on payment on your behalf) may be used and disclosed to other third parties by the credit checking agency when performing its credit reporting services.

Payment of all accounts held in your name with NZTR, which relate to fines is due by the 20<sup>th</sup> day of the month following the month in which the costs are incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment (including any applicable late payment fees and interest) is received in full. You will also be liable to pay all costs incurred in recovering the amount owed to NZTR, including any legal fees, debt recovery fees or agency fees. Furthermore, NZTR reserves the right to withdraw your line of credit, refuse nominations for horses and place you on the NZTR Arrears List until the outstanding amount is received in full. The full NZTR Debt Collection Policy Process is available from the NZTR website [www.nzracing.co.nz](http://www.nzracing.co.nz).

### Rider Licensing

All riders that are granted a Class A, B, D or E Rider's Licence for the first time by NZTR will automatically be granted a Class B Trackwork Rider's Miscellaneous Licence as a condition of that licence under rule 314(3).

## DECLARATION BY APPLICANT

I do hereby declare that:

1. By signing and submitting this form to New Zealand Thoroughbred Racing Inc (NZTR) I have read the form and all of the information that I have provided to NZTR in this application form is true and correct in every particular.
2. I understand that NZTR will rely upon the information I have provided in this application form for the purpose of determining whether I am an appropriate person to receive a Class E rider's licence.
3. I understand that NZTR may take disciplinary action against me in the event that the information I have provided in this application form is false or misleading in any particular, and that disciplinary action may include revocation of any licence issued to me.
4. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the NZTR Rules of Racing or otherwise. I confirm with the requirements listed therein and that:
  - (a) have not in the previous 12 months received any money or valuable consideration for riding in a Race, other than a trophy given under the conditions of the Race;
  - (b) have attained the age of 15 years;
  - (c) am competent to ride in Races, trials (including jump-outs and tests for certification purposes) and trackwork; and
  - (d) am of good character.
5. I have obtained a copy and informed myself of the NZTR Rules of Racing and it is my obligation as a holder of a Class E rider's licence to update myself in relation to NZTR's policies and any rule changes and to abide by those policies and Rules of Racing.
6. In accordance with Rule 656 of the NZTR Rules of Racing, I consent to providing a sample of my blood, breath, urine, saliva or sweat (or more than one thereof), as and when required by a Stipendiary Steward or Investigator, for the purposes of drug and alcohol testing.
7. I am not currently subject to any disciplinary action initiated by any other Racing Authority in New Zealand or overseas and I will immediately inform NZTR should any other Racing Authority in New Zealand or overseas initiate disciplinary action against me.
8. I understand that if I do not pay any amount owed to NZTR within the required time, NZTR may set-off this debt against any prizemoney due and owing to me through the TROSA system administered by NZTR.

9. I authorise NZTR to make deposits via Electronic Funds Transfer into the bank account nominated by me in this application form. I understand that if my bank account details change, I am required to notify NZTR in writing of the new bank account details and that until I notify NZTR in writing of the change, NZTR may still make deposits into the account nominated in this form.

10. For GST purposes relating to the supply of services by me (the Supplier), to NZTR (the Recipient):

- (a) I (the Supplier) acknowledge that if I am registered for GST purposes, I will notify NZTR in writing if I cease to be registered for GST purposes in the future, and if I am not currently registered for GST purposes, I will notify NZTR in writing if I become registered for GST purposes in the future;
- (b) I (the Supplier) will not issue tax invoices in relation to any prizemoney (supplies) that NZTR may have to pay me;
- (c) NZTR (the Recipient) can issue tax invoices in respect of prizemoney (supplies) that it has to pay me;
- (d) I (the Supplier) will not issue tax invoices in respect of the supplies;
- (e) I understand that NZTR is registered for GST purposes and that it will notify me in writing if it ceases to be GST registered or it is otherwise unable to prepare Buyer Created Tax Invoices (BCTI) on my behalf;
- (f) I understand that NZTR can only issue a BCTI on my behalf if it complies with the requirements established under legislation.

11. I authorise NZTR to disclose information provided by me in this application form to:

- (a) All Racing Authorities in New Zealand and overseas;
- (b) The persons specified in this application form;
- (c) Government Departments and regulatory authorities;
- (d) Persons currently unknown, for the purpose of complying with statutory obligations requiring NZTR to disclose information.

12. I consent to NZTR contacting all Authorised Wagering Operators in New Zealand and overseas for the purpose of determining whether I am betting in contravention to the NZTR Rules of Racing. I also consent to those third parties disclosing information to NZTR about any betting I engage in.

13. I consent to NZTR contacting other Racing Authorities in New Zealand and overseas and I consent to those bodies disclosing any information that may be requested at any time by NZTR.

14. I hereby consent to the New Zealand Police disclosing to NZTR any information that they may have pursuant to this application. I understand that any record of criminal convictions I might have will be automatically concealed if I meet the eligibility criteria stipulated in Section 7 of the Criminal Records (Clean Slate) Act 2004.

15. I have attached a recent passport sized photograph of myself (if not previously supplied within the last 12 months).

16. I undertake to provide to NZTR a medical certificate from a registered Medical Practitioner to support my application for annual renewal of my Class E rider's licence.

\_\_\_\_\_

Full Name of Applicant

\_\_\_\_\_

Signature of Applicant

\_\_\_\_\_

Date

\_\_\_\_\_

Full Name of Witness

\_\_\_\_\_

Signature of Witness

\_\_\_\_\_

Date

**PAYMENT DETAILS**

I would like to pay by Bank Deposit:  Date Deposited: \_\_\_\_\_

New Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference)

Please charge my: Mastercard  Visa  Amex  Diners Club

Card No:                 Expiry Date:   /

Cardholder's Name: \_\_\_\_\_ Signature: \_\_\_\_\_

My Cheque is enclosed for \$ \_\_\_\_\_ (Payable to New Zealand Thoroughbred Racing)

# **RULE OBLIGATIONS** **FOR A RIDER APPLICANT**

## **As an applicant for approval as a rider I am advised and understand as follows:**

1. That I am aware the current New Zealand Rules of Racing are available for viewing on the NZTR website [www.nzracing.co.nz](http://www.nzracing.co.nz) and I acknowledge and agree that this document is not a complete list of all of the obligations I have under the Rules of Racing as a rider.
2. That I am at all times deemed to have full knowledge of the New Zealand Rules of Racing. **RULE 101 (3)**
3. That the NZ racing season is from the 1 August to the 31 July in the following year and that no later than the 1 July, I am required to apply to NZTR for renewal of the license/certificate on issue to me. **RULE 319**
4. That I am bound by the Rules and if I misconduct myself in any way in relation to racing I may be charged with such a breach of the Rule. **RULE 340.**
5. That I cannot: without reasonable cause break a riding engagement; or permit myself to be engaged to ride two or more horses for the same race; or ride a horse at a weight other than that at which it is handicapped to carry; or be represented by more than one Rider's Agent. **RULE 330 (3).**
6. That the ownership of horses by a rider is restricted to that as set out in the Rules. **RULE 333.**
7. That NZTR has an 'alcohol free' and 'drug free' policies with regards to riders when riding and if requested by a Racecourse Inspector or Stipendiary Steward, I am required to supply a sample of either my urine, blood, saliva or sweat pursuant to the provisions of the Rules and Protocols and that I am not permitted to leave the racecourse until I have provided the sample or been cleared to leave by an official and all provisions of the relevant Rule applies to me. **RULE 656.**
8. That I am only permitted to bet on a horse race or sports event as set out in Rule 707. **RULE 707.**
9. That I am not permitted to wear spurs in any flat race or flat trial. **RULE 611**
10. That I am not permitted to strike the horse I am riding in a race in a manner or to an extent that is unnecessary, excessive or improper or to carry more than one whip or an unapproved whip, or to strike a horse with a whip forward of its shoulder. **RULE 638 (3) (B).**
11. That I am responsible for ensuring that all of my riding gear is sound and suitable for use and that I am also required to report to the Stipendiary Stewards immediately after a race, any broken gear in relation to the horse I have ridden. **RULES 612 and 615.**
12. That I am not permitted to ride in a race, trial or track work if I have been declared officially unfit to ride, until I have produced to a Stipendiary Steward or Investigator a medical certificate from a registered medical practitioner declaring that I am fit to ride. **RULES 621.**
13. That I am aware that there are Rules declaring persons with certain criminal convictions or other Rule disabilities, to be prohibited from being involved in the Racing Industry pursuant to Section 34 of the Racing Act 2003 and the Rules of Racing and that I should approach a Racecourse Inspector if I have any concerns in that regard. **Third Schedule.**
14. That I have made myself familiar with the provision of the Rules relating to allowances. **RULE 542.**
15. That I am required to wear at all times when riding, an approved skull cap and approved body protector.

**RULES 610.**

16. That when weighing out for a race, I am not permitted to carry more than 0.5 kgs over the carded weight without the authority of the Stipendiary Steward.  
**RULE 620 (2).**
17. That I am aware that all riders in every race are required to weigh in at the conclusion of the race.  
**RULE 647 (1).**
18. That I am aware that upon weighing in, if I cannot draw the approved weight, then I shall be allowed 0.5kgs and if that allowance is not sufficient still to draw the approved weight, then the horse ridden by me is liable to be disqualified and I can be charged with a breach of the Rules.  
**RULES 648 (3).**
19. That I am to weigh in as required by the Rules. That I am not permitted to do or permit any wrongful act or omission with respect to weight or weighing. That I am not permitted to touch any person or anything other than an article of my own equipment before weighing in.  
**RULE 649(1).**
20. That once at the start, I am under the starters orders and I shall not leave the starting post or dismount from my horse without the permission of the starter.  
**RULE 631 (1).**
21. That as the rider of a horse in a race, I am obliged to take all reasonable and permissible measures throughout the race to ensure my horse is given full opportunity to win the race or obtain the best possible finishing place and position.  
**RULE 636 (1) (b).**
22. That I am obliged to ride my horse out to the end of the race if there is a reasonable chance of it running into a position for which there is prize money to be awarded or a dividend declared.  
**RULE 636 (1) (c).**
23. That as the rider of a horse in a race I cannot trust to the chance of a protest, objection, information or proceeding.  
**RULE 636 (1) (d).**
24. That a horse I am riding shall be disqualified if it runs on the wrong side of parts of the track as set out in the Rule.  
**RULE 637(1)(a).**
25. That when riding a horse in a race, my horse cannot cross another horse without it being at least its own length and one other clear length in front of such horse at the time of crossing.  
**RULE 642 (2)(b)(i).**
26. That I am not permitted to ride a horse in a race in a manner that is foul, reckless, improper, careless or incompetent.  
**RULE 638 (1).**
27. That when riding a horse in a race, I am not permitted to make any celebratory gesture prior to my horse passing the winning post.  
**RULE 638(2).**
28. That as a rider with an engagement at a race meeting, I am required to be present on course at least 40 minutes before the advertised starting time of the first race I am engaged for and unless otherwise permitted by the Stipendiary Stewards, thereafter remain in the jockeys' room and other areas specified by the Stipendiary Stewards, until I have completed my riding engagements, when I must seek the permission of the Stipendiary Stewards to leave.  
**RULES 605 (1) and 606.**
29. That I have made myself familiar with the provisions of the Rules relating to the running of Hurdle and Steeplechase events.  
**RULE 639 & 640.**
30. That I have made myself familiar with my obligations to the trainer of a horse I have ridden in a race as to the procedure involving in lodging a protest.  
**RULE 642.**

31. That I have made myself familiar with the provisions of the Rules relating to Serious Racing Offences, Breaches of the Rules, and General Penalties.  
**RULES 801, 802 and 803.**

## **DECLARATION**

In consideration of the Board of New Zealand Thoroughbred Racing approving my application to be a rider as defined in Rule 101 of the New Zealand Rules of Racing, I declare:

- That I am not a prohibited person within the meaning of Section 34 of the Racing Act 2003 nor pursuant to the provisions of the Third Schedule of the NZ Rules of Racing.
- That I have been given a copy of and have read and understand my obligations as set out in the contents of the 'Rule Obligations' document from paragraphs 1 -32.
- That I have been given a copy of that same document to retain for my information.
- That I understand if I have any queries or concerns with regards to those obligations, I can discuss such with a Stipendiary Steward or Racecourse Investigator.

.....  
Applicants Full Name (Printed)

.....  
Applicants Signature

.....  
Date

# RIDER MEDICAL EXAMINATION RECORD & PERSONAL INFORMATION FORM



This form is to be completed when you are applying for a new Rider's Licence:

- Personal Information (1) & Health Questionnaire (2) sections by the Rider; &
- Medical Examination Certificate (3) by a General Practitioner.

For: Class C Rider       Class B Rider       Class A Rider       Class D Rider       Class E Rider   
 (Probationer)      (Apprentice)      (Jockey)      (Jumps & Highweights)      (Amateur)

This information is collected to ensure that you ride, and eventually retire from riding, in the best possible condition.

## 1. PERSONAL INFORMATION

APPLICANT DETAILS (Please complete in block letters)

Surname				
First Names		Gender	Male <input type="checkbox"/>	Female <input type="checkbox"/>
Preferred Name		Date Of Birth:		
Residential Address				
Email Address				
Home Phone		Mobile Phone:		
Usual GP				
GP's Address				
Next Of Kin	Name:	Phone:		
Contact Person	Name:	Phone:		

## 2. MY HEALTH (Please provide details of your medical history)

2A DO YOU HAVE ANY CHRONIC PROBLEMS WITH THE FOLLOWING?	NO	YES	IF YES, ENTER DETAILS INCLUDING DATES
1 Lung problems (e.g. asthma, other)			
2 Heart problems			
3 Mental health			
4 Abdominal / bowel / liver problem			
5 Kidney or bladder			
6 (Women): Gynaecology problem			
7 Epilepsy / other neurological problem (do not include head injury/concussion)			
8 Blood disorder e.g., anaemia / other			
9 Problems with spine, limb or joint?			
10 Any other injury or disability			
11 Taking any medications			Please Specify
12 What is your usual riding weight?		(Kg)	

If you need more space to explain answers above, please do it here with dates:

## 2B Past History of Head Injury / Concussion

How many episodes of head injury and/or concussion have you had that have required absence from riding:

List approximate number of episodes:	List approximate years:
Have you had any episodes of head injury and/or concussion in the past two years(circle): YES / NO	
If yes, give details:	



2c OTHER SERIOUS INJURIES, OPERATIONS AND ILLNESSES (that have required more than a week off riding, or time in hospital)	
Year	List serious injuries and illnesses, and operations

2d ALLERGIES	
Cause of allergy (eg. Name of food / medicine / chemical / pet)	Nature of Reaction (circle the reaction you had, or specify after other)
	Anaphylaxis (collapse) / Local Swelling / Other reaction .....
	Anaphylaxis (collapse) / Local Swelling / Other reaction .....
	Anaphylaxis (collapse) / Local Swelling / Other reaction .....

2e TETANUS	
Year of last Tetanus vaccination	
Note – If you are unsure, please check with your doctor, or get an updated Tetanus vaccination and record this.	

### 3. MEDICAL EXAMINATION (to be completed by a registered General Practitioner)

MEDICAL EXAMINATION								
Height		cm	Urine (Dipstick)		Visual acuity	Right	Left	Both
Weight		kg	Protein:		Uncorrected	6 /	6 /	6 /
BMI			Blood:		Corrected	6 /	6 /	6 /
B.P		/	Glucose:		Colour vision	Normal / Abnormal		
Peak flow		l/min			If lenses	Hard / Soft		

ARE THE FOLLOWING NORMAL?		YES	NO	NOTES IF ABNORMAL
1	Respiratory			
2	Cardiovascular			
3	Mental health			
4	Gastro-intestinal			
5	Kidney or bladder			
6	(Women) gynaecological problem			
7	Vision			
8	Hearing			
9	Neurological			
10	Lymphadenopathy/ anaemia			
11	Spine			
	Upper limbs			
	Lower limbs			
12	Any other injury or disability? Please specify....			

RECOMMENDATION (tick)	YES	NO
If a significant head injury or other injury requiring time off or hospital admission, in past 12 months, I attach further reports		

I certify the above as fit for riding			
If no, please specify reason and any further action recommended, e.g. recommend a specialist report			
Signature		Surname	
Date		<u>NZMC No.</u>	

This information is being collected pursuant to the Rules of Racing, and is to be held by New Zealand Thoroughbred Racing. The information is being collected for, and is required for, the purpose of assessing the person's fitness to ride horses. The intended recipients of the information are the NZTR's Medical Advisor, and other NZTR officials who are involved in the safety of riders in New Zealand horse racing. Under the Privacy Act 1993, you have to right to see and correct information we collect about you.

**PROCESS**

Once all sections of this form have been completed by the Rider and a General Practitioner respectively, it should be returned to NZTR.

NZTR Medical Advisor  
New Zealand Thoroughbred Racing  
Box 38-386  
Wellington Mail Centre 5045

Email: [licensing@nzracing.co.nz](mailto:licensing@nzracing.co.nz)  
Fax: 04 568 8866

Licensing contact for enquiries: 04 576 6279

