

Please attach a recent passport photograph of yourself. This is required for all new applicants.

C4: 01-21

APPLICATION FOR TRAINER'S LICENCE

- CLASS A LICENCE** **\$575.00**
- CLASS B LICENCE** **\$455.00**
- CLASS C LICENCE** **\$385.00**

YOUR PERSONAL DETAILS

1.	Title (Mr/Mrs/Miss/Ms)			
2.	Surname			
3.	Given Names (in full)			
4.	Preferred Name (to appear in racebooks)			
5.	Date of Birth / Place of Birth	____ / ____ / ____		
6.	Nationality			
7.	Residential Address			
			Postcode	<input type="text"/>
8.	Postal Address			
			Postcode	<input type="text"/>
9.	Home Phone			
10.	Mobile Phone			
11.	Email Address			
12.	Facsimile Number			
13.	IRD Number			
14.	GST Number			
15.	NZ Drivers' Licence or Passport Number			
16.	Bank Account Name			
17.	Bank Account Number (including Bank and Branch Number, Account Number and Suffix)	<input type="text"/>	<input type="text"/>	<input type="text"/>

TRAINING DETAILS

18.	Training Track where horses will be trained			
19.	Physical Address of Training Establishment			
20.	Does Applicant reside there?			
21.	Does Applicant own the property?			
22.	If leased or rented, provide details of tenancy and owner's name and address			
23.	(a) Area of Property		(b) Number of Boxes	<input type="text"/>
	(c) Number of Yards		(d) Number of Paddocks	<input type="text"/>
24.	Do you intend to ride your own trackwork?	YES	NO	

EMPLOYMENT DETAILS

25.	Do you currently have any other form of occupation? If "Yes", provide full details (extra space is provided at the bottom of this section).	Details:
-----	---	----------

LICENSE HISTORY		
26.	Have you previously held any licence in New Zealand or any overseas racing jurisdiction (including Harness and Greyhound control bodies)? "Yes" or "No". If "Yes", provide full details.	Details:
27.	Have you ever had a licence disqualified, revoked, suspended, withdrawn or refused by any Racing Authority? "Yes" or "No". If "Yes", provide full details.	

CRIMINAL HISTORY		
28.	Have you ever been convicted in a District or other Court of any offence against the statutory laws of New Zealand or any other country? "Yes" or "No". If "Yes", provide full details.	Details:
29.	Have you ever been charged with any offence relating to cruelty to animals? "Yes" or "No". If "Yes", provide full details.	

FINANCIAL ISSUES		
30.	Do you currently owe, including arrears, any fines, costs, fees, debts or other money to any Race Club/s or other organisations within the Racing Industry? "Yes" or "No". If "Yes", provide full details .	Details:
31.	Do you currently owe, including arrears, any debt or money to any grain, feed or stock merchant, veterinary firm, horse transport firm or other equine industry retailer or supplier? "Yes" or "No". If "Yes", provide full details.	
32.	Do you currently owe, including arrears, any debt or money to any horse trainer, any bloodstock firm, stud/farm or breeder or any other person involved in the Racing Industry? "Yes" or "No". If "Yes", provide full details.	
33.	Provide details of any equine related suppliers with whom you have an approved credit facility (e.g. feed merchants, veterinarians, farriers etc).	
34.	Have you been declined credit by any equine related supplier? "Yes" or "No". If "Yes", provide full details.	
35.	Have you within the past five years prior to this declaration been, or are you currently, subject to any High Court, District Court or Dispute Tribunal action for any money allegedly owed by you to any person or company or other entity? "Yes" or "No". If "Yes", provide full details.	
36.	Have you within the past five years prior to this declaration been, or are you currently, the subject of: (a) Examination as to Means by the District Court? (b) Judgement by default by the District or High Court? (c) Judgement against you in the Disputes Tribunal? "Yes" or "No". If "Yes", provide full details.	
37.	Have you ever been declared Bankrupt? "Yes" or "No". If "Yes", provide full details.	
38.	If you have previously been bankrupted, please give the date of your discharge from such bankruptcy and provide official documentation relating to such.	
39.	Are you currently liable to any company, organisation or department in which your intention to train horses, with its associated costs, should or is required to be declared or approved, e.g. ACC, Insurance Companies, Banks, Income Support, Commercial Affairs, IRD? "Yes" or "No". If "Yes", provide full details.	

Provide additional details here (if required)

CONTACT PERSON (in case of emergency)

40.	Name	
41.	Relationship to you	
42.	Best contact number	

HEALTH AND SAFETY

The Health and Safety at Work Act 2015 (the HSW Act), which has replaced the Health and Safety in Employment Act 1992 came into force on 4 April 2016.

The HSW Act creates the concept of a "person conducting a business undertaking" (PCBU). This includes all businesses or undertakings regardless of whether a person conducts a business alone or with others, or whether or not it is for profit of gain.

Most Jockeys will be a PCBU under the HSW Act and must ensure they comply with the new regulations. Further details are available on the NZTR website:

loveracing.nz/NZTR/Resources/Health-and-Safety.aspx.

By signing this form I undertake to NZTR that:

- I understand that I have obligations under the Health and Safety at Work Act 2015 and that it is my responsibility to meet those obligations;
- I will carry out my obligations under the Health and Safety at Work Act 2015;
- I will cooperate absolutely with any health and safety investigation conducted by the RIU, NZTR or WorkSafe;
- I will immediately report any incident that must be reported under the Health and Safety at Work Act or as directed by NZTR to the appropriate authorities;
- I will comply with any health and safety policies at any racing venue;

I acknowledge that my fitness to hold a licence depends on my compliance with these undertakings and that NZTR may cancel or suspend my licence if I breach them.

PRIVACY ACT 2020

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services. NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address. If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.

CREDIT CHECKING & DEBT COLLECTION

You also agree that the personal information supplied by you in this form or during your registration with NZTR may be disclosed at any time by NZTR to its credit checking agency for the purposes of that agency performing its credit reporting services, which will include carrying out credit checks and you authorise the credit checking agency to disclose information to NZTR which is relevant to the provision of credit to you (and for directly related purposes including debt collection). You agree that this may result in NZTR being provided with other personal information held by that agency about you, and your personal information that NZTR discloses to the credit checking agency (including notice of any default on payment on your behalf) may be used and disclosed to other third parties by the credit checking agency when performing its credit reporting services.

Payment of all accounts held in your name with NZTR, which relate to fines is due by the 20th day of the month following the month in which the costs are incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment (including any applicable late payment fees and interest) is received in full. You will also be liable to pay all costs incurred in recovering the amount owed to NZTR, including any legal fees, debt recovery fees or agency fees. Furthermore, NZTR reserves the right to withdraw your line of credit, refuse nominations for horses and place you on the NZTR Arrears List until the outstanding amount is received in full. The full NZTR Debt Collection Policy Process is available from the NZTR website loveracing.nz.

DECLARATION BY APPLICANT

I do hereby declare that:

1. By signing and submitting this form to New Zealand Thoroughbred Racing Inc (NZTR) I have read the form and all of the information that I have provided to NZTR in this application form is true and correct in every particular.
2. I understand that NZTR will rely upon the information I have provided in this application form for the purpose of determining whether I am an appropriate person to receive a Class A, B, or C trainer's licence (as the case may be).
3. I understand that NZTR may take disciplinary action against me in the event that the information I have provided in this application form is false or misleading in any particular, and that disciplinary action may include revocation of any licence issued to me.
4. I acknowledge that the provision of any false, misleading or inaccurate information on this form may result in me being prosecuted under the NZTR Rules of Racing or otherwise. I confirm with the requirements listed therein and that:
 - (a) if applying for a Class A trainer's licence, I:
 - i. have attained the age of 18 years;
 - ii. train horses as my primary occupation;
 - iii. have:
 - A. held a Class A rider's licence for at least two years;
 - B. held a Class A miscellaneous licence for at least six years; or
 - C. previously held a Class B trainer's licence;
 - iv. am competent to train horses;
 - v. own or occupy appropriate Trainer's Premises; and
 - vi. am financially sound and of good character;
 - (b) if applying for a Class B trainer's licence, I:

- i. have attained the age of 18 years;
- ii. have:
- A. held a Class A rider's licence for at least two years; or
- B. held a Class A miscellaneous licence for at least six years;
- iii. do not train more than six race horses, which shall include race horses in which I have an Ownership interest;
- iv. am competent to train race horses;
- v. own or occupy appropriate Trainer's Premises; and
- vi. am financially sound and of good character;
- (c) if applying for a Class C trainer's licence, I:
- i. train:
- A. only horses solely owned or leased to me;
- B. only horses in respect of which I have an Ownership interest of at least 10% with the balance being owned by one or more Near Relatives; and
- C. no more than two horses in respect of which I have an Ownership interest of at least 50%;
- ii. am competent to train horses;
- iii. own or occupy appropriate Trainer's Premises; and
- iv. am financially sound and of good character.
5. I have obtained a copy, and informed myself, of the NZTR Rules of Racing and it is my obligation as a holder of a Class A, B, or C trainer's licence to update myself in relation to NZTR's policies and any rule changes and to abide by those policies and Rules of Racing.
6. I am not currently subject to any disciplinary action initiated by any other Racing Authority in New Zealand or overseas and I will immediately inform NZTR should any other Racing Authority in New Zealand or overseas initiate disciplinary action against me.
7. I understand that if I do not pay any amount owed to NZTR within the required time, NZTR may set-off this debt against any prizemoney due and owing to me through the TROSA system administered by NZTR.
8. I authorise NZTR to make deposits via Electronic Funds Transfer into the bank account nominated by me in this application form. I understand that if my bank account details change, I am required to notify NZTR in writing of the new bank account details and that until I notify NZTR in writing of the change, NZTR may still make deposits into the account nominated in this form.
9. For GST purposes relating to the supply of services by me (the Supplier), to NZTR (the Recipient):
- (a) I (the Supplier) acknowledge that if I am registered for GST purposes, I will notify NZTR in writing if I cease to be registered for GST purposes in the future, and if I am not currently registered for GST purposes, I will notify NZTR in writing if I become registered for GST purposes in the future;
- (b) I (the Supplier) will not issue tax invoices in relation to any prizemoney (supplies) that NZTR may have to pay me;
- (c) NZTR (the Recipient) can issue tax invoices in respect of prizemoney (supplies) that it has to pay me;
- (d) I (the Supplier) will not issue tax invoices in respect of the supplies;
- (e) I understand that NZTR is registered for GST purposes and that it will notify me in writing if it ceases to be GST registered or it is otherwise unable to prepare Buyer Created Tax Invoices (BCTI) on my behalf;
- (f) I understand that NZTR can only issue a BCTI on my behalf if it complies with the requirements established under legislation.
10. I authorise NZTR to disclose information provided by me in this application form to:
- (a) All Racing Authorities in New Zealand and overseas;
- (b) The persons specified in this application form;
- (c) Government Departments and regulatory authorities;
- (d) Persons currently unknown, for the purpose of complying with statutory obligations requiring NZTR to disclose information.
11. I consent to NZTR contacting other Racing Authorities in New Zealand and overseas and I consent to those bodies disclosing any information that may be requested at any time by NZTR.
12. I hereby consent to the New Zealand Police disclosing to NZTR any information that they may have pursuant to this application. I understand that any record of criminal convictions I might have will be automatically concealed if I meet the eligibility criteria stipulated in Section 7 of the Criminal Records (Clean Slate) Act 2004.
13. I have attached a recent passport sized photograph of myself (if not previously supplied within the last 12 months).

Full Name of Applicant

Full Name of Witness

Signature of Applicant

Signature of Witness

Date

Date

PAYMENT DETAILS

When the fee is paid this form constitutes a GST tax invoice. If a payment forms part of a taxable activity within the GST Act a copy should be retained for your records.

I have paid by bank deposit: Date Deposited: _____ Reference Used: _____

New Zealand Thoroughbred Racing Inc - Bank Account Number 01-0517-0063944-00. (Please use your name & form type as reference)

Please charge my: Mastercard Visa Amex Diners Club

Card No: Expiry: /

Cardholder's Name: _____ Signature: _____

Please complete the next section "Additional Financial Information" if you are applying for a Class A Trainers Licence

ADDITIONAL FINANCIAL INFORMATION

(Please complete if you are applying for a Class A Trainers Licence)

Please complete all of the information below and send back to NZTR by:

- Scan and email to licensing@nztr.co.nz or
- Fax to 04 568 8866 or
- Post to PO Box 38-386, Wellington Mail Centre 5045

SECTION 1: YOUR PERSONAL DETAILS	
Surname	
First & Middle Names	
Date of Birth	____ / ____ / _____
Email address	
Mobile Number	
Home Number	
Residential Address	
	Postcode <input style="width: 80px;" type="text"/>

SECTION 2: CURRENT ASSETS	
Asset	\$ Value
Total cash and savings	\$
Property	\$
Other investments (shares etc)	
Contents and furniture	\$
Vehicles	\$
	\$
Other Assets (specify)	\$
	\$
	\$

SECTION 3: CURRENT LIABILITIES		
Liability		
Applicant	Lender	\$ Owed
Total bank overdrafts:		\$
Total credit cards:		\$
Other liabilities:		\$
		\$
		\$
Other Assets (specify):		\$
		\$
		\$

SECTION 4: INCOME	
Income	\$ Value
Annual income from racing activities (before tax)	\$
Annual income from other activities (before tax)	\$

SECTION 5: EXPENSES

Monthly Commitments	\$ Value
Monthly home loan, rent and board payments add up to	\$
Monthly repayments for any bank loans, other than home loans (e.g. Car and personal) add up to	\$
Monthly repayments for non-bank loans (e.g. finance companies, or hire-purchase agreements) add up to	\$
All credit card limits add up to	\$

SECTION 6: FINANCIAL STATEMENTS

Please submit a copy of your financial statements for the previous financial year to 31 March, from your racing and trading activities

Please submit a copy of your year to date financial statements from your racing and trading activities for the current financial year.

SECTION 7: PRIVACY ACT 2020

This information is being collected and will be held by New Zealand Thoroughbred Racing (NZTR) at 106-110 Jackson Street, Petone, Wellington. It is being collected for the purpose of processing the matter the subject of this form. You agree that the personal information supplied by you may be retained by NZTR and disclosed to and retained by third parties for the purpose of processing relevant forms, direct marketing or providing you with information on events, products and services. NZTR will not use or disclose your personal information in any way, other than that disclosed in this policy or with your prior consent. If you do not provide the requested information then NZTR may not be able to process the matters the subject of this form. That may result in a breach of the Rules of Racing. You may access your personal information (if it is readily retrievable) at the above address and you may request NZTR to update or correct that information. You may also request to be removed from the NZTR database for the purpose direct marketing and providing you with information on events, products and services by notifying NZTR by email or by letter to the above address. If you do **not** wish your information to be retained in our database, or disclosed and retained by third parties for the purpose of providing you with information on events, products and services, then please tick the box below.

SECTION 8: CREDIT CHECKING & DEBT COLLECTION

You also agree that the personal information supplied by you in this form or during your registration with NZTR may be disclosed at any time by NZTR to its credit checking agency for the purposes of that agency performing its credit reporting services, which will include carrying out credit checks and you authorise the credit checking agency to disclose information to NZTR which is relevant to the provision of credit to you (and for directly related purposes including debt collection). You agree that this may result in NZTR being provided with other personal information held by that agency about you, and your personal information that NZTR discloses to the credit checking agency (including notice of any default on payment on your behalf) may be used and disclosed to other third parties by the credit checking agency when performing its credit reporting services.

Payment of all accounts held in your name with NZTR, which relate to fines is due by the 20th day of the month following the month in which the costs are incurred. Any accounts remaining unpaid after the due date will incur a late payment fee of \$25.00 per month while the debt remains unpaid as well as interest of 12.5% per annum on the amount unpaid from the date payment is due until the date payment (including any applicable late payment fees and interest) is received in full. You will also be liable to pay all costs incurred in recovering the amount owed to NZTR, including any legal fees, debt recovery fees or agency fees. Furthermore, NZTR reserves the right to withdraw your line of credit, refuse nominations for horses and place you on the NZTR Arrears List until the outstanding amount is received in full. The full NZTR Debt Collection Policy Process is available from the NZTR website loveracing.nz.

SIGNED: _____ DATE: _____