

## New Zealand Thoroughbred Racing (NZTR)

### Licensing Policy

Approved by: **FOR CONSULTATION**

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### NZTR LICENSING POLICY

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## **1 PRELIMINARY**

### **1.1 Purpose and Authorising Provision**

This NZTR Licensing Policy is made pursuant to 303 of the New Zealand Rules of Racing, and it sets out the requirements that a person must satisfy to be granted a licence, and the conditions upon which a person holds a licence.

An updated copy of the Rules of Racing can be located at the New Zealand Thoroughbred Racing website at [\[link\]](#).

### **1.2 Commencement and Transitional Provisions**

This Licensing Policy comes into force on **[the Commencement Date]**.

## **2 GENERAL REQUIREMENTS FOR THE ISSUE OF LICENCES**

It is a requirement for the grant of any licence that the person meets the following:

- (a) *Experience*: has the practical experience reasonably required to carry on the vocation of the licence type applied for;
- (b) *Age*: is the requisite age for the licence type applied for;
- (c) *Suitability*: has satisfied NZTR that he or she is suitable to hold a licence in line with the NZTR 'Suitability Policy', taking into account any fact(s) or matter(s) that NZTR considers appropriate;
- (d) *Examination*: undertake and pass any written or verbal examination conducted by NZTR, which may include general racing knowledge, horse welfare/health, race day procedures and relevant Rules of Racing.

Further specific requirements for each licence type are set out in this Policy or in the Rules.

### **2.1 NZTR consideration of licence applications**

In considering an application for a licence, NZTR may, in its discretion:

- (a) Impose on a licence applicant any other pre-requisite or requirement not set out in this Policy as it deems appropriate; or
- (b) Relieve a licence applicant from any of the requirements set out in this Policy. Should an Applicant wish to seek relief from a requirement, the Applicant must apply in writing to NZTR and outline the relief sought, and the reasons for the request for relief.

In accordance with its powers under the Rules, NZTR may:

- (a) Refuse to grant a licence; or
- (b) Grant a licence as applied for; and
- (c) At any time, on reasonable grounds, review and impose conditions on any licence, or cancel, withdraw or suspend any Licence.

### **2.2 Applicants' rights of appeal against decision**

An applicant may appeal NZTR's decision to refuse to grant a licence, or to suspend, withdraw or cancel a licence, or to impose conditions on a licence. Such appeals will be determined by NZTR's Racing and Integrity Committee which may confirm or vary NZTR's decision.

### **2.3 Condition applicable to all licences**

Every licence which is issued contains, and every licensed person acknowledges that their licence contains, a condition that the licensed person shall, whenever required to do so by a Stipendiary

Steward or Investigator in accordance with the Rules, permit a sample of the licensed person's blood, breath, urine, saliva or sweat or other tissue or bodily fluid (or more than one thereof), to be obtained from the licensed person by, or under the supervision of, a Registered Medical Practitioner or an Authorised Person.

#### **2.4 Minimum runners/rides and/or winners**

In addition to any obligations and conditions provided by the Rules or this Policy, an Applicant for applicable licence types must agree and acknowledge that, following the grant of the licence, the licensee is required to have a minimum number of runners/rides and/or winners per racing season, in accordance with the category of licence issued, to be eligible for renewal of that licence.

Specific details, where applicable, will be detailed in the conditions section for each licence type.

#### **2.5 Continuing Professional Development**

NZTR has developed and adopted a Continuing Professional Development (CPD) Policy, which requires that a licence holder must meet professional development and performance standards to be eligible for the renewal of a licence. This will be a condition on the granting of a licence.

The continuing professional development and performance standards for each licence type are detailed in the CPD Policy.

A licenced person will not be eligible for renewal of their licence unless they have met the requirements of the CPD Policy or received an exemption from NZTR, which shall only be granted in extraordinary circumstances.

### **3 PUBLIC TRAINER LICENCE**

The Public Trainer Licence is granted to experienced professional trainers who offer thoroughbred training services to the general public.

A Public Trainer may also apply to NZTR to train in partnership with one or more other Public Trainers. In these circumstances, NZTR may grant permission and impose such conditions as it sees fit.

#### **3.1 Requirements for the Grant of a Public Trainer Licence**

To be granted a Public Trainer Licence, an Applicant must:

- (a) complete the Public Trainer Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (b) own or occupy appropriate Trainer's Premises which comply with NZTR's Trainer's Premise Standards;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Public Trainer Licence;
- (d) meet the Training Experience and Criteria requirements outlined at 3.2 and 3.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 3.4 below.

#### **3.2 Training (and/or Industry) Experience**

An Applicant must:

- (a) hold, or previously have held, a Public Trainer Licence, previously titled Class A Trainer's Licence, or an international equivalent licence as assessed by NZTR, for a minimum of one (1) year, and been licensed as such no within five (5) years of the date that any (full) Application is submitted to NZTR; or
- (b) hold, or previously have held, a Jockey Licence, previously titled Class A Rider's Licence (Jockey's Licence), or an international metropolitan jockey licence or its equivalent as assessed by NZTR, for a minimum of two (2) years, and been licensed as such within five (5) years of the date that any (full) Application is submitted to NZTR; or
- (c) hold, or previously have held, a Class B Trainer's Licence, or its international equivalent as assessed by NZTR, for a minimum of three (3) years, and been licensed as such within five (5) years from the date that any (full) Application is submitted to NZTR; or
- (d) hold, or previously have held, an Owner-Trainer licence, previously titled a Class C Trainer's Licence, or an international equivalent as assessed by NZTR, for a minimum of three (3) years, and been licensed as such within (5) years from the date that any (full) Application is submitted to NZTR; or
- (e) hold, or previously have held, a Stablehand Licence, previously titled a Class A or Class D Miscellaneous, or their international equivalent as assessed by NZTR, for a minimum of three (3) years, and been licensed as such no later than one (1) year from the date that any (full) Application is submitted to NZTR, and have worked in full-time employment during that time; or
- (f) have other verifiable relevant experience, which NZTR considers, in its absolute discretion, to be equivalent to one or more of the above criteria.

### 3.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant a Public Trainer Licence:

(a) *Qualification:*

Previously a Public Trainer or Jockey or equivalent

- (i) If applying under 3.2 (a) or (b) above, the Applicant must:
- (a) have completed the **[prescribed qualification for a Public Trainer or Jockey]** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
  - (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.

Previously a Class B Trainer or Owner Trainer or equivalent

- (ii) If applying under 3.2 (c) or (d) above, the Applicant must:
- (a) have completed the **[prescribed qualification for a Class B or Owner Trainer]** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
  - (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(ii)(a) above.

Previously a Stablehand

- (iii) If applying under 3.2 (e) as a licensed or previously licensed Stablehand, the Applicant must:
- (a) have completed the **[prescribed qualification for a Stablehand]** or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
  - (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(ii)(a) above.

Other Recognised Experience

- (iv) If applying under clause 3.2(f) above, the Applicant must:
- (a) have completed (or be enrolled and commenced) the **[prescribed qualification for Other Recognised Experience]** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
  - (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.

(b) *Assessments:* The Applicant must provide the following:

- (i) Racing Disciplinary History Assessment;

- (ii) A Criminal Records Check;
  - (iii) Trainer's Premise Inspection; and
  - (iv) Training Record.
- (c) *Approval to Train at Club/Venue:* The Applicant must provide confirmation of approval from a race club/s or approved training facility where the Applicant will conduct his or her trackwork (not required if training from the Applicant's Trainer's Premise).
- (d) *Business Proposal:* The Applicant must submit a detailed business proposal outlining proposed horse numbers, costs and anticipated income, as well as any external financial resources to support the training business for the first twelve (12) months of operation. NZTR will conduct background credit checks through relevant agencies, as per the consent given by the Applicant on the application form.
- The Applicant's proposal must satisfy NZTR that the Applicant either has, or has access to, appropriate business skill and acumen to carry on business as a Public Trainer.
- (e) *Interview:* The Applicant must complete a satisfactory interview with NZTR. The interview may include a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures and Rules of Racing.

### **3.4 Conditions Imposed on All Public Trainer Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Public Trainer Licence, the conditions set out below will apply:

- (a) *Trainer's Premise must be approved:*
- (i) The Trainer's Premises and any other location used by the Trainer in connection with their training business is, and must at all times be, compliant with Trainer's Premise Standards.
  - (ii) Where the Trainer has applied and been granted permission by NZTR to house and train horses at more than one Trainer's Premises, or to train or work horses from more than one training facility, NZTR may impose additional conditions as it sees fit. In these circumstances, for all the purposes of the Rules, the horses shall be deemed to be trained by the Trainer and no objection shall be taken to any such horse on the grounds that while it was in training in one or more of the Trainer's approved Trainer's Premises or training facilities it was not under his direct charge or care.
- (b) *Access to Trainer's Premises:* The Trainer shall, when required to do so by a Stipendiary Steward or Investigator, permit that Stipendiary Steward or Investigator to have reasonable access to the Trainer's Premises for the purposes of:
- (i) requiring a rider to permit a sample to be obtained by him pursuant to the Rules; and
  - (ii) ensuring non-licensed persons are not involved in the care, control, or training of a horse; and
  - (iii) ensuring compliance with the Rules;
  - (iv) ensuring any relevant Trainer's licence conditions are being observed; and
  - (v) ensuring only a rider, or a holder of a licence permitting the person to ride a horse, is riding a horse.

- (c) *Use of training venues:* The Trainer is permitted to conduct trackwork only at race clubs/venues where the trainer has permission of the club/venue.
- (d) *Jump-outs, official trials and races:* The Trainer may enter horses for:
  - (i) Jump-outs (subject to individual club approval if they are closed to local trainers only);
  - (ii) Official trials;
  - (iii) Races.
- (e) *Minimum runners and winners:* To be eligible for renewal of the licence, the Trainer is required to have a minimum of the following per racing season:
  - (i) **[1]** starters in New Zealand; **or**
  - (ii) **[1]** winners in New Zealand.

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- (f) *Training Partnerships:* Where a Public Trainer has applied and been granted permission to train in a Training partnership with one or more other Public Trainers:
  - (i) NZTR may impose such conditions on the Training Partnership as it sees fit; and
  - (ii) where any of the parties to the Training Partnership no longer wish to train in partnership, they must all apply to NZTR to have the condition of training in partnership with such other trainer(s) removed from their respective Licence, and such application will result in NZTR reviewing each party's Trainer's Licence, which may result in the imposition of further conditions on an individual Trainer's Licence, or withdrawal, cancellation or suspension of an individual Trainer's Licence.
- (g) *Continuing Professional Development Policy:* As outlined in section 2.4, to be eligible for renewal of the licence, the Trainer must meet the requirements of NZTR's CPD Policy for the relevant licence type.

## 4 OWNER TRAINER LICENCE

The Owner Trainer Licence is granted to trainers who do not offer thoroughbred training services to the general public.

### 4.1 Requirements for the Grant of an Owner Trainer Licence

To be granted an Owner Trainer Licence, an Applicant must:

- (a) complete the Owner Trainer Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (b) own or occupy appropriate Trainer's Premises which comply with NZTR's Trainer's Premise Standards;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted an Owner Trainer licence;
- (d) meet the Training Experience and Criteria requirements outlined at 4.2 and 4.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 4.4 below.

### 4.2 Training (and/or Industry) Experience

An Applicant must:

- (a) hold, or previously have held, any of the following licences or any of their international licence equivalents as assessed by NZTR, for a minimum of one (1) year, and been licensed as such no later than five (5) years from the date that any (full) Application is submitted to NZTR:
  - (i) a Public Trainer Licence (previously titled Class A Trainer's Licence);
  - (ii) a Jockey Licence (previously titled Class A Rider's Licence (Jockey's Licence));
  - (iii) a Class B Trainer's Licence;
  - (iv) an Owner-Trainer licence (previously titled a Class C Trainer's Licence); or
- (b) hold, or previously have held, a Stablehand Licence, previously titled a Class A or Class D Miscellaneous, or their international equivalent as assessed by NZTR, for a minimum of one (1) year, and been licensed as such no later than one (1) year from the date that any (full) Application is submitted to NZTR; or
- (c) have other verifiable relevant experience, which NZTR considers, in its absolute discretion, to be equivalent to one or more of the above criteria.

### 4.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant an Owner Trainer Licence:

- (a) *Qualification:*

#### Previously a Trainer or Jockey

- (i) If applying under 4.2 (a) above, the Applicant must:
  - (a) have completed (or be enrolled and commenced) the **[prescribed qualification for Trainers or Jockeys]** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or

- (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.

Previously a Stablehand

- (ii) If applying under 4.2 (b) as a licensed or previously licensed stablehand, the Applicant must:
  - (a) have completed (or be enrolled and commenced) the **[prescribed qualification for Stablehands]** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
  - (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(ii)(a) above.

Other Recognised Experience

- (iii) If applying under clause 4.2(c) above, the Applicant must:
  - (a) have completed (or be enrolled and commenced) the **[prescribed qualification for Other Recognised Experience]** (or other similar accredited racing industry Equine Training qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR); or
  - (b) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(iii)(a) above.

- (b) *Assessments:* The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) A Criminal Records Check;
  - (iii) Trainer's Premise Inspection; and
  - (iv) Training Record.
- (c) *Approval to Train at Club/Venue:* The Applicant must provide confirmation of approval from a race club/s or approved training facility where the Applicant will conduct his or her trackwork (not required if training from the Applicant's Trainer's Premise).
- (d) *Interview:* The Applicant must complete a satisfactory interview with NZTR. The interview may include a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures and Rules of Racing.

#### **4.4 Conditions Imposed on All Owner Trainer Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of an Owner Trainer Licence, the conditions set out below will apply:

- (a) *Stable must be approved:* The Trainer's Premises and any other location used by the Trainer in connection with their training business is, and must at all times be, compliant with Trainer's Premise Standards.

- (b) *Access to Owner Trainer's Premises:* The Owner Trainer shall, when required to do so by a Stipendiary Steward or Investigator, permit that Stipendiary Steward or Investigator to have reasonable access to the Trainer's Premises for the purposes of:
- (i) requiring a rider to permit a sample to be obtained by him pursuant to the Rules; and
  - (ii) ensuring non-licensed persons are not involved in the care, control, or training of a horse; and
  - (iii) ensuring compliance with the Rules;
  - (iv) ensuring any relevant Owner Trainer's licence conditions are being observed; and
  - (v) ensuring only a rider, or a holder of a licence permitting the person to ride a horse, is riding a horse.
- (c) *Use of training venues:* The Owner Trainer is permitted to conduct trackwork only at race clubs/venues where the trainer has permission of the club/venue.
- (d) *Jump-outs, official trials and races:* The Owner Trainer may enter horses for:
- (i) Jump-outs (subject to individual club approval if they are closed to local trainers only);
  - (ii) Official trials;
  - (iii) Races.
- (e) *Minimum runners and winners:* To be eligible for renewal of the licence, the Owner Trainer is required to have a minimum of the following per racing season:
- (i) **[1]** starters in New Zealand; or
  - (ii) **[1]** winners in New Zealand.
- (f) *Continuing Professional Development Policy:* As outlined in section 2.4, to be eligible for renewal of the licence, the Owner Trainer must meet the requirements of NZTR's CPD Policy for the relevant licence type.

## 5 STABLEHAND LICENCE

The Stablehand licence is granted to persons who are employed by a Licensed Trainer to work in a racing stable, training establishment, or other facility.

A Stablehand is employed predominantly to care for horses and assist as required with feeding, grooming, exercising and transporting the horses for training. A Stablehand may also be required to provide administrative assistance to the Trainer.

### 5.1 Requirements for the Grant of a Stablehand Licence

To be granted a Stablehand Licence, an Applicant must:

- (a) be a minimum of 15 years of age;
- (b) complete the Stablehand Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) be employed as a Stablehand by a person (a Licensed Trainer) who is permitted under the Rules to employ Stablehands;
- (d) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Stablehand Licence;
- (e) meet the Training Experience and Criteria requirements outlined at 5.2 and 5.3 below; and
- (f) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 5.4 below.

### 5.2 Stable Experience

An Applicant must:

- (a) possess a desire to work within a racing stable environment; and
- (b) undertake compulsory recognised training as a condition of being granted a Stablehand Licence, to kick-start the training process to provide basic skills and the foundation for the Stablehand to work effectively and safely in the stable environment.

### 5.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant a Stablehand Licence:

- (a) *Qualification:* The Applicant must **[hold or be enrolled in the stablehand qualification]**.
- (b) *Assessments:* The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment (if previously licensed in any capacity in the racing industry);
  - (ii) National Police Record Certificate (for applicants 18 years and over); and
  - (iii) Consent of parent or guardian (for applicants under 18 years of age).

### 5.4 Conditions Imposed on All Stablehand Licences

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Stablehand Licence, the conditions set out below will apply:

- (a) *Employment:* In the event that the Stablehand wishes to transfer employment to another NZTR Licensed Trainer, the Stablehand must advise NZTR.

- (b) *Continuing Professional Development Policy:* As per section 2.4, to be eligible for renewal of the licence, the Stablehand must meet the requirements of NZTR's CPD Policy for the relevant licence type.

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## 6 SLOW WORK RIDER

The Slow Work Rider Licence is granted to persons who have been assessed as competent to ride a thoroughbred horse at up to half pace in general trackwork and training. Note that this excludes jump-outs.

### 6.1 Requirements for the Grant of a Slow Work Rider Licence

To be granted a Slow Work Rider Licence, an Applicant must:

- (a) be a minimum of 18 years of age (except with the consent of a parent or guardian in which case the Applicant may be less than 18 years);
- (b) complete the Slow Work Rider Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Slow Rider Work Licence;
- (d) meet the Experience and Criteria requirements outlined 6.2 and 6.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 6.4 below.

### 6.2 Experience

An Applicant must:

- (c) possess a desire to work within a racing stable environment; and
- (d) undertake compulsory recognised training as a condition of being granted a Slow Work Licence, to kick-start the training process to provide basic skills and the foundation for the Slow Work Rider to work effectively and safely in the stable environment.

### 6.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant a Slow Work Rider Licence:

- (a) *Qualification:* The Applicant must:
  - (i) have successfully completed the Massey University online knowledge assessment; and
  - (ii) have successfully completed the practical assessment at a Registered Regional Assessment Centre.
- (b) *Assessments:* The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate (if over 18 years of age); and
  - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (a) *Interview and Test:* The Applicant may also be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health and Rules of Racing.
- (b) *Medical and Fitness:* The Applicant must:
  - (i) have been declared medically fit to ride in horses in trackwork by a General Practitioner within the previous twelve (12) months;

- (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months.

#### **6.4 Conditions Imposed on All Slow Work Rider Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Slow Work Rider Licence, the conditions set out below will apply:

- (a) *National Police Record Certificate*: If the Slow Work Rider Licence holder was not 18 years of age at the time of applying for the licence, they must submit to NZTR within three (3) months of turning 18 years.
- (b) *Permission to Ride*: The Slow Work Rider Licence entitles the Rider to ride registered horses at a racecourse, training facility or Trainer's Premise, provided that the speed of the horse does not exceed half pace.
- (c) *Continuing Professional Development Policy*: As per section 2.4, to be eligible for renewal of the Licence, the Slow Work Rider must meet the requirements of NZTR's CPD Policy for the relevant licence type.

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## **7 FAST WORK RIDER LICENCE**

The Fast Work Rider Licence is granted to persons who have been assessed as competent to ride a thoroughbred horse at full pace in trackwork, training and jump-outs.

### **7.1 Requirements for the Grant of a Fast Work Rider Licence**

To be granted a Fast Work Rider Licence, an Applicant must:

- (a) be a minimum of 18 years of age (except with the consent of a parent or guardian in which case the Applicant may be less than 18 years);
- (b) complete the Fast Work Rider Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Fast Work Rider licence;
- (d) meet the Experience and Criteria requirements outlined at 7.2 and 7.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 7.4 below.

### **7.2 Experience**

An applicant must:

- (a) hold, or previously have held, a Slow Work Rider Licence, or its international equivalent, as assessed by NZTR; or
- (b) hold, or previously have held, a Jockey, Apprentice Jockey or Jumps Rider Licence, or their international equivalent as assessed by NZTR.

### **7.3 Criteria**

NZTR will assess the following criteria to determine whether to grant an Applicant a Fast Work Rider Licence:

- (a) *Qualification*: The Applicant must:
  - (i) have been assessed as competent to ride a thoroughbred horse at full pace in trackwork, training and jump-outs by a panel consisting of a Public Trainer and a Jockey.
- (b) *Assessments*: The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate (if over 18 years of age); and
  - (ii) Consent of parent or guardian (for applicants under 18 years of age).
- (c) *Interview and Test*: The Applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health and Rules of Racing.
- (d) *Riding Test*: The Applicant may be required to undertake a riding test and assessment to the satisfaction of a Riding Panel appointed by NZTR to demonstrate the Applicant's competence. The Panel may impose any further practical riding test assessments it sees fit.
- (e) *Medical and Fitness*: The Applicant must:

- (i) have been declared medically fit to ride in trackwork and jump-outs by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
- (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months.

#### **7.4 Conditions Imposed on All Fast Work Rider Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Fast Work Rider Licence, the conditions set out below will apply:

- (a) *National Police Record Certificate*: If the Fast Work Rider Licence holder was not 18 years of age at the time of applying for the licence, they must submit to NZTR within three (3) months of turning 18 years.
- (b) *Permission to Ride*: The Fast Work Rider Licence entitles the Rider to ride registered horses at a racecourse, training facility or Trainer's Premise, including in jump-outs.
- (c) *Continuing Professional Development Policy*: As per section 2.4, to be eligible for renewal of the Licence, the Fast Work Rider must meet the requirements of NZTR's CPD Policy for the relevant licence type.

## 8 JUMPS RIDER LICENCE

The Jumps Rider Licence is granted to persons who have been assessed as competent to ride a thoroughbred horse in jumps races. A Licensed Jumps Rider has no restrictions or limitations as to where they can ride in jumps races, unless otherwise specified as a condition of licence or in conditions of specific races.

### 8.1 Requirements for the Grant of a Jumps Rider Licence

To be granted a Jumps Rider Licence, an Applicant must:

- (a) be a minimum of 18 years of age;
- (b) complete the Jumps Rider Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Jumps Rider Licence;
- (d) meet the Experience and Criteria requirements outlined at 8.2 and 8.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 8.4 below.

### 8.2 Experience

An Applicant must:

- (a) hold, or previously have held, a Jumps Rider Licence, previously titled Class D Rider's Licence (Jumps Licence), or its international equivalent as assessed by NZTR; or
- (b) hold, or previously have held, an Amateur Rider Licence, previously titled Class E Rider's Licence (Amateur Rider's Licence), or its international equivalent as assessed by NZTR; or
- (c) hold, or previously have held, a Jockey's Licence, previously titled Class A Rider's Licence (Jockey's Licence), or its international equivalent as assessed by NZTR; or
- (d) have completed a Jockey Apprenticeship and previously held, an Apprentice Jockey Licence, previously titled Class B Rider's Licence (Apprentice Jockey's Licence), or its international equivalent as assessed by NZTR.

### 8.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant a Jumps Rider Licence:

- (a) *Qualification:* The Applicant must:
  - (i) have completed **[the prescribed qualification]** or other similar accredited racing industry Equine Jumps Rider qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR; or
  - (ii) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.
- (b) *Assessments:* The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment; and
  - (ii) National Police Record Certificate.
- (c) *Interview and Test:* The Applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures and Rules of Racing.

- (d) *Riding Test:* If the Applicant has not ridden in races within a specified time of their application being submitted to NZTR, the Applicant will be required to undertake a riding assessment to the satisfaction of a Panel appointed by NZTR:
- (i) If the Applicant is applying under 8.2 (a) and has not ridden in a jumps race, in the 12 months prior to the date of application, the Applicant must ride in five (5) jump trial rides or schooling days, to be completed within three (3) months from the time the requirement is advised by NZTR; or
  - (ii) If the Applicant is applying under 8.2 (b), (c) or (d) and has not ridden in a flat race, in the 12 months prior to the date of application, the Applicant must ride in ten (10) jump trial rides or schooling days, to be completed within three (3) months from the time the requirement is advised by NZTR.
- (e) *Medical and Fitness:* The Applicant must:
- (i) have been declared medically fit to ride in jumps races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
  - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months
  - (iii) pass a fitness test with an 70% pass rate (details of fitness test and assessment as per **Schedule X**);
  - (iv) at the time of application, present at a body weight of no more than the minimum top weight allotted in standard handicap races (at acceptance time) for race meetings.

#### **8.4 Conditions Imposed on All Jumps Rider Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Jumps Rider Licence, the conditions set out below will apply:

- (a) *Permission to Ride:* The Jumps Rider Licence entitles the Jumps Rider to ride at trackwork, jump-outs (flat and jumps), Official Trials (flat and jumps), Jumps Races and Highweight Races, unless otherwise precluded in accordance with the type of race or the conditions of the race.
- (b) *Minimum Riding Requirements:* Unless in circumstances deemed appropriate by NZTR, in its discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible for renewal of the Licence, the Jumps Rider must have achieved the following each season:
  - (i) **[10]** jumps race rides in New Zealand; or
  - (ii) **[1]** jumps race winner in New Zealand.

**[Numbers to be reviewed]**
- (c) *Disciplinary Riding Record:* A Licensed Jumps Rider who accumulates more than three (3) careless riding penalties or other relevant infringements within a six (6) month period, may be referred by the RIB Stewards to the Rider Skills Panel or the Licensing Panel whereby the Licence may be reviewed.
- (d) *Continuing Professional Development Policy:* As per section 2.4, to be eligible for renewal of the Licence, the Jumps Rider must meet the requirements of NZTR's CPD Policy for the relevant licence type.

## 9 AMATEUR RIDER LICENCE

The Amateur Rider Licence is granted to persons who have been assessed as competent to ride a thoroughbred horse in amateur races. An Amateur Rider would generally not have completed a formal jockey apprenticeship under the Rules of Racing.

### 9.1 Requirements for the Grant of an Amateur Rider Licence

To be granted an Amateur Rider Licence, an Applicant must:

- (a) be a minimum of 15 years and 3 months of age;
- (b) complete the Amateur Rider Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted an Amateur Rider Licence;
- (d) meet the Experience and Criteria requirements outlined at 9.2 and 9.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 9.4 below.

### 9.2 Experience

An applicant must:

- (a) hold, or previously have held:
  - (i) an Amateur Rider Licence, previously titled Class E Rider's Licence (Amateur Rider), or its international equivalent as assessed by NZTR, for a minimum of three (3) months; or
  - (ii) a Fast Work Rider Licence, or its international equivalent as assessed by NZTR, for a minimum period of three (3) months; and
- (b) not have received any money or valuable consideration for riding in a Race, other than a trophy given under the conditions of the Race in the five years; and
- (c) if having ridden professionally at any stage previously, not have ridden more than twenty (20) flat winners at race meetings conducted by registered race clubs either in New Zealand or elsewhere.

### 9.3 Criteria

In assessing whether an Applicant is suitable to be granted an Amateur Rider Licence, NZTR will take into account the following criteria:

- (a) *Qualification*: The Applicant must hold **[the prescribed qualification]**.
- (b) *Assessments*: The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate (if 18 years of age); and
  - (iii) Consent of parent or guardian (for applicants under 18 years of age).
- (c) *Interview and Test*: The Applicant may also be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures and Rules of Racing.

- (d) *Riding Test*: If the Applicant has not ridden in races within a specified time of their application being submitted to NZTR, the Applicant will be required to undertake a riding assessment to the satisfaction of a Panel appointed by NZTR.
- (e) *Medical and Fitness*: The Applicant must:
  - (i) have been declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
  - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months.

#### **9.4 Conditions Imposed on All Amateur Rider Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of an Amateur Rider Licence, the conditions set out below will apply:

- (a) *National Police Record Certificate*: If the Amateur Rider Licence holder was not 18 years of age at the time of applying for the licence, they must submit to NZTR within three (3) months of turning 18 years.
- (b) *Permission to Ride*: The Amateur Rider Licence entitles the Rider to ride at trackwork, jump-outs and in Amateur Races, unless otherwise precluded in accordance with the type of race or the conditions of the race.
- (c) *Continuing Professional Development Policy*: As per section 2.4, to be eligible for renewal of the Licence, the Amateur Rider must meet the requirements of NZTR's CPD Policy for the relevant licence type.

## 10 PROBATIONER LICENCE

The Probationer Licence is granted to persons who aspire to become jockeys and who have been assessed as competent to ride thoroughbreds in trackwork and trials, for the purpose of enabling NZTR to assess whether the person is suitable to become an apprentice jockey.

### 10.1 Requirements for the Grant of a Probationer Licence

To be granted a Probationer Licence, an Applicant must:

- (a) be a minimum of 15 years and 3 months of age;
- (b) complete the Probationer Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Probationer Licence;
- (d) meet the Experience and Criteria requirements outlined at 10.2 and 10.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 10.4 below.

### 10.2 Experience

An applicant must:

- (a) hold, or previously have held, a Fast Work Licence or its international equivalent as assessed by NZTR, for a minimum of three (3) months, and been licensed as such no later than six (6) months from the date that any (full) Application is submitted to NZTR.

An applicant who has previously held a Probationer Licence, or its equivalent as assessed by NZTR, and who did not progress to hold an Apprentice Licence, or its equivalent as assessed by NZTR, is not eligible for the grant of a Probationer Licence. NZTR may, in its absolute discretion, exempt an applicant from this prohibition.

### 10.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant a Probationer Licence:

- (a) **Qualification:** The Applicant must either have completed or be enrolled and commenced **[the prescribed qualification]**.
- (b) **Assessments:** The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate (if 18 years of age);
  - (iii) Consent of parent or guardian (for applicants under 18 years of age); and
  - (iv) Copy of valid work visa (if an international Applicant).
- (b) **Approved Employer:** The Applicant must provide details of an NZTR Licensed Public Trainer, who is deemed by NZTR to be an Approved Employer and who complies with the regulations and obligations of an Approved Employer, who has agreed to engage the Applicant if granted a Probationer Licence.
- (c) **Interview and Riding Assessment:** The Applicant must:
  - (i) Sit an interview with the NZTR Regional Probationer Licensing Panel;

- (ii) Complete a riding test on the riding simulator to the satisfaction of the NZTR Regional Riding Master;
  - (iii) Complete a riding test on a horse to the satisfaction of the NZTR Regional Riding Master.
- (d) *Medical and Fitness:* The Applicant must:
- (i) be declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor;
  - (ii) have completed a cognitive baseline concussion test;
  - (iii) pass a fitness test with a 70% pass rate (details of fitness test and assessment as per Schedule X);
  - (iv) be within the maximum weight category of 53kg. If the Applicant is above this weight, NZTR may require the Applicant to undertake a realistic plan to reach this weight within the timeframe of the probation period.
- (e) *Language:* The applicant must be able to communicate effectively in English. If unable to do so, NZTR, in its discretion, may require the Applicant to undertake an English language literacy and learning plan.

#### **10.4 Conditions Imposed on Probationer Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Probationer Licence, the conditions set out below will apply:

- (a) *Term of Licence:* The term of the Probationer Licence is to be a minimum of six (6) months and a maximum of 12 months. Notwithstanding, the period of probation may be altered by NZTR, in its discretion, as follows:
  - (i) in the event of injury, sickness or other extraordinary circumstances, the period of probation may be extended; or
  - (ii) in exceptional circumstances, where the Probationer has previous experience in an international jurisdiction and is assessed by the NZTR Regional Riding Master to be wholly competent, the period of probation may be reduced to three (3) months.
- (b) *Employment with Approved Employer:* Be employed for a minimum forty (40) hours per week by his or her Approved Employer as a Probationer.
- (c) *Riding Permissions:* The Probationer is licensed to ride at trackwork and jump-outs. The Probationer may ride in Official Trials once an NZTR Riding Panel has assessed them as competent to do so.
- (d) *Engagement and Training:* The Probationer must:
  - (i) engage in receiving tuition and advice with the NZTR Regional Riding Master at Official Trials;
  - (ii) have at least 90% attendance at Apprentice Academy and engage with the NZTR Regional Riding Master should a class be missed;
  - (iii) have attended a minimum of five (5) “buddy” days with the NZTR Regional Riding Master and an appointed senior Jockey at designated race meetings;
  - (iv) show a willingness and motivation to learn.

## 11 APPRENTICE JOCKEY LICENCE

The Apprentice Jockey Licence is issued to persons who aspire to become jockeys, have been assessed as suitable to enter NZTR's Apprentice Jockey programme, and who are actively progressing through a prescribed training programme towards qualification as a jockey.

### 11.1 Requirements for the Grant of an Apprentice Jockey Licence

To be granted an Apprentice Jockey Licence, an Applicant must:

- (a) be a minimum of 15 years and 9 months of age;
- (b) complete the Apprentice Jockey Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted an Apprentice Jockey Licence;
- (d) meet the Experience and Criteria requirements outlined at 11.2 and 11.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 11.4 below.

### 11.2 Experience

An Applicant must:

- (a) hold, or previously have held, an Apprentice Jockey Licence, previously titled Class B Rider's Licence (Apprentice Jockey's Licence), or its international equivalent as assessed by NZTR, for a minimum of three (3) months, and been licensed as such no later than six (6) months from the date that any (full) Application is submitted to NZTR; or
- (b) hold, or previously have held, a Probationer Licence, previously titled Class C Rider's Licence (Probationer's Licence), or its international equivalent as assessed by NZTR, for a minimum of three (3) months, and been licensed as such no later than six (6) months from the date that any (full) Application is submitted to NZTR.

### 11.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant an Apprentice Licence:

- (a) *Qualification*: The Applicant must:
  - (i) have completed **[the prescribed qualification]**; and
  - (ii) enrol and commence **[the prescribed qualification]**; and complete the work required to gain this qualification within the term of the apprenticeship.
- (b) *Assessments*: The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate (if 18 years of age);
  - (iii) Consent of parent or guardian (for applicants under 18 years of age); and
  - (iv) Copy of valid work visa (if an international Applicant).
- (c) *Approved Employer*: The Applicant must provide to NZTR a fully executed copy of the NZTR Apprentice Agreement.
- (d) *Interview and Test*: The Applicant must:

- (i) with, their Approved Employer, successfully undertaken an interview with the NZ Apprentice Licensing Panel;
  - (ii) have interviewed with NZTR and successfully undertaken a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures and Rules of Racing.
- (e) *Riding Assessment:* The Applicant must, once granted a pass to ride in Official Trials as a Probationer, have completed 30 Official Trials within six (6) months, two (2) of which must be of 1200 metres or further and with the last two (2) official trials under race day conditions.
- (f) *Medical and Fitness:* The Applicant must:
- (i) have been declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
  - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months;
  - (iii) pass a fitness test with at least a 10% overall improvement on their previous score if the previous score was only 70% (details of fitness test and assessment as per Schedule X);
  - (iv) be within the maximum weight category of 53kg. If the Applicant is above this weight, NZTR may require the Applicant to undertake a realistic plan to reach this weight within the timeframe of the probation period.
- (g) *Language:* The applicant must be able to communicate effectively in English. If unable to do so, NZTR, in its discretion, may require the Applicant to undertake an English language literacy and learning plan.

#### **11.4 Conditions Imposed on Apprentice Jockey Licences**

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of an Apprentice Licence, the conditions set out below will apply:

- (a) *Term of Apprenticeship:* The term of the Apprenticeship entered into between the Apprentice Jockey and the Approved Employer is four (4) years. NZTR may vary the term of the apprenticeship in its absolute discretion.
- (b) *Term of Licence:* The term of the Apprentice Jockey Licence is for one (1) year and is subject to annual renewal.
- (c) *National Police Record Certificate:* If the Apprentice Jockey Licence holder was not 18 years of age at the time of applying for the licence, they must submit to NZTR within three (3) months of turning 18 years.
- (d) *Employment with Approved Employer:* The Apprentice must be employed for a minimum forty (40) hours per week as an Apprentice by his or her Approved Employer.
- (e) *Permission to Ride:* The Apprentice Jockey is licensed to ride at trackwork, jump-outs, Official Trials and in Races, unless precluded by the conditions of a specific race.
- (f) *Minimum Riding Requirements:* Unless in circumstances deemed appropriate by NZTR, in its discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible

for renewal of the Licence, the Apprentice Jockey must have achieved the following each season:

- (i) Where the Apprentice licence has been held for a total of 24 months or less, 30 rides; and
  - (ii) Where the Apprentice licence has been held for more than 24 months in total, 75 rides.
- (g) *Disciplinary Riding Record:* An Apprentice Jockey who accumulates more than four (4) careless riding penalties or other relevant infringements within a six (6) month period, may be referred by the RIB Stewards to the Rider Skills Panel or the Licensing Panel whereby the Licence may be reviewed.
- (h) *Engagement and Training:* The Apprentice Jockey must:
- (i) continue to engage in receiving tuition and advice with the NZTR Regional Riding Master at Official Trials;
  - (ii) maintain at least 90% attendance at Apprentice Academy and engage with the NZTR Regional Riding Master should a class be missed (noting that on completion of formal qualifications and entering the 3<sup>rd</sup> year of the Apprenticeship, riding in races and official trials may be considered in lieu of attendance at Apprentice Academy);
  - (iii) continue to show a willingness and motivation to learn.
- (i) *Continuing Professional Development Policy:* As per section 2.4, to be eligible for renewal of the Licence, the Apprentice Jockey must meet the requirements of NZTR's CPD Policy for the relevant licence type.

## 12 JOCKEY LICENCE

The Jockey Licence is issued to persons who are experienced professional jockeys and those younger riders who have completed their industry and formal qualifications in order to complete their Jockey Apprenticeship. A Licensed Jockey has no restrictions or limitations as to where they can ride in flat races, unless otherwise specified as a condition of licence or in conditions of specific races.

### 12.1 Requirements for the Grant of a Jockey Licence

To be granted a Jockey Licence, an Applicant must:

- (a) be a minimum of 18 years of age and have completed a Jockey Apprenticeship;
- (b) complete the Jockey Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Jockey Licence;
- (d) meet the Experience and Criteria requirements outlined at 12.2 and 12.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 12.4 below.

### 12.2 Experience

An Applicant must:

- (a) hold, or previously have held, a Jockey Licence, previously titled Class A Rider's Licence (Jockey's Licence), or its international equivalent as assessed by NZTR; or
- (b) have completed a Jockey Apprenticeship and previously held, an Apprentice Licence, previously titled Class B Rider's Licence (Apprentice Jockey's Licence), or its international equivalent as assessed by NZTR.

### 12.3 Criteria

NZTR will assess the following criteria to determine whether to grant an Applicant a Jockey Licence:

- (a) *Qualification*: The Applicant must:
  - (i) have completed **[the prescribed qualification]**, or other similar accredited racing industry Equine Apprentice Jockey qualification through a recognised training authority in an overseas jurisdiction recognised by NZTR; or
  - (ii) receive recognition by NZTR of prior industry learning and experience to satisfy the clause (a)(i)(a) above.
- (b) *Assessments*: The Applicant must provide the following:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate;
  - (iii) Copy of valid work visa (if an international Applicant).
- (c) *Interview and Test*: The Applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge, horse welfare/health, race day procedures and Rules of Racing.
- (d) *Riding Test (if applicable)*: If the Applicant has not ridden in races within the six months prior to their application being submitted to NZTR, the Applicant will be required to undertake a riding assessment to the satisfaction of a Panel comprising the NZTR Regional Riding Master, RIB Steward and

- (e) *Medical and Fitness*: The Applicant must:
- (i) have been declared medically fit to ride in races by a General Practitioner and approved by the NZTR Medical Advisor within the previous twelve (12) months;
  - (ii) have completed a cognitive baseline concussion test within the previous twelve (12) months;
  - (iii) pass a fitness test with a at least a 10% overall improvement on their previous score if the previous score was only 70% (details of fitness test and assessment as per Schedule X);
  - (iv) be within the maximum weight category of 53kg. If the Applicant is above this weight, NZTR may require the Applicant to undertake a realistic plan to reach this weight within the timeframe of the probation period.
- (f) *Language*: The applicant must be able to communicate effectively in English. If unable to do so, NZTR, in its discretion, may require the Applicant to undertake an English language literacy and learning plan.

#### 12.4 Conditions Imposed on Jockey Licences

In addition to any obligations and conditions as provided by the Rules, an Applicant must agree and acknowledge that, following the grant of a Jockey Licence, the conditions set out below will apply:

- (a) *Permission to Ride*: The Jockey Licence entitles the Jockey to ride at trackwork, jump-outs, Official Trials and Races unless otherwise precluded in accordance with the type of race or the conditions of the race.
- (b) *Minimum Riding Requirements*: Unless in circumstances deemed appropriate by NZTR, in its discretion, such as sickness or injury, where a pro-rata number may be applied, to be eligible for renewal of the Licence, the Jockey must have achieved the following each season:
  - (i) **[10]** race rides in New Zealand; or
  - (ii) **[1]** race winners in New Zealand.
- (c) *Disciplinary Riding Record*: A Licensed Jockey who accumulates more than six (6) careless riding penalties or other relevant infringements within a six (6) month period, may be referred by the RIB Stewards to the Rider Skills Panel or the Licensing Panel whereby the Licence may be reviewed.
- (d) *Continuing Professional Development Policy*: As per section 2.4, to be eligible for renewal of the Licence, the Jockey must meet the requirements of NZTR's CPD Policy for the relevant licence type.

### **13 RIDER'S AGENT LICENCE**

A Rider's Agent Licence is issued to a person who, by contract or other arrangement or agreement, assists a Jockey or the employer of an Apprentice Jockey in the organisation of their riding engagements.

#### **13.1 Requirements for the Grant of a Rider's Agent Licence**

To be granted a Rider's Agent Licence, an Applicant must:

- (a) be a minimum of 18 years of age;
- (b) complete the Rider's Agent Licence Application Form, together with payment of the annual licence fee prescribed by the NZTR Board;
- (c) satisfy NZTR that he or she is a suitable person in accordance with the Suitability Policy to be granted a Rider's Agent licence;
- (d) meet the Experience and Criteria requirements outlined at 13.2 and 13.3 below; and
- (e) acknowledge and agree to adhere to the specific Licence Conditions, outlined at 13.4 below.

#### **13.2 Experience**

An Applicant must:

- (a) through the application, interview and assessment process, demonstrate to NZTR a basic knowledge of the racing industry and the functions of a Rider's Agent, together with a level of competence to be able to fulfil the obligations and functions of the role.

#### **13.3 Criteria**

NZTR will assess the following criteria to determine whether to grant an Applicant a Jockey Licence:

- (a) *Assessments*: The Applicant must provide:
  - (i) Racing Disciplinary History Assessment;
  - (ii) National Police Record Certificate.
- (b) *Represented Riders*: The Applicant must provide details, in the prescribed form, of the riders which he or she wishes to act as Agent for. The details to include copies of any contract or agreement between:
  - (i) such Jockeys and the Rider's Agent; and
  - (ii) such Apprentice Jockey's employer and the Rider's Agent (in the case of an Apprentice Jockey), in which case the employer shall be responsible for the fees payable to the Rider's Agent from the employer's percentage of the Apprentice Jockey's earnings.
- (c) *Interview and Test*: The Applicant may be required to interview with NZTR and successfully undertake a written or verbal test including topics such as general racing knowledge and Rules of Racing.

#### **13.4 Conditions Imposed on Rider's Agent Licences**

- (a) *Represented Riders*: The Rider's Agent is only permitted to act for and represent those riders for which he or she has received approval from NZTR. In the event of any termination or variation of any contract or agreement relating to a Represented Rider, the Rider's Agent must notify NZTR promptly.
- (b) *Limitations*: The Rider's Agent must not:

- (i) at any time, either directly or indirectly, provide riding or tactical race instructions or directions to a Represented Rider or other Rider in respect of a race in which the Represented Rider or Rider is engaged to ride, or is likely to be engaged to ride, other than in the circumstances where the Represented Rider or Rider is engaged to ride a horse owned or part-owned or leased by the Rider's Agent; and
  - (ii) without the express permission of the Stewards, communicate or attempt to communicate in any way, either directly or indirectly, with a Represented Rider during a race meeting where the Represented Rider is in the jockeys' room, scales area, mounting yard or any other restricted area designated or determined by the Stewards from time to time.
- (c) *Dispute:* In the event of any contract or agreement between a Rider's Agent and their client being in dispute, the parties to the contract or agreement shall seek their remedy in the normal course of contract law.

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