

Standard Balloting Conditions

New Zealand Balloting and Exemption Conditions

Effective 1st August 2008

1.1 General Conditions

1.2 The following balloting conditions apply to all races that do not have specific balloting conditions specified in the race programme.

1.3 Unless specified in the conditions of the race programme, **balloting will be provided by New Zealand Thoroughbred Racing.**

2.0 Maiden Races

2.1 In Maiden Flat Races, horses shall be eliminated or balloted, in the following order:

- (a) First - those horses with the lowest rating.
- (b) Second - those horses that have raced without placing in their last four starts, to be placed at the Handicappers discretion.
- (c) Third - Those that have placed in their last four starts, taking into account the horses most recent start and moving back, placed at the Handicappers discretion.
- (d) Fourth - For unraced horses, these shall be eliminated based on their most recent trial performance. Those with unplaced (fourth or worse) trial performances, or no trial performances shall be eliminated together by lot. Those horses with a trial day third will then be eliminated before those with a trial day second, followed by a trial day first.
- (e) Fifth – Should two or more horses have won at their last trial performance, they will be eliminated on count back of previous trials performances. Those with previous unplaced trial performance shall be eliminated before those with a trial day third or better performance. Those with a trial day second performance shall be eliminated before those with no other trial performance and these shall be eliminated before those with another trial day win.

3.0 Handicap Races

3.1 In Handicap Races, horses shall be eliminated or balloted, in the following order:

- (a) First - Those horses with the lowest weight, as set down by the Handicapper. If two horses are on the same weight, then these will be eliminated/balloted by rating, with the lowest first.
- (b) Second - If two, or more horses have the same weight and the same rating, then these horses will be separated by the Handicapper based on each of the horses last four starts.
- (c) Third – Shall the Handicapper be unable to separate horses; they shall then be eliminated by lot.

3.2 Given that Two and Three Year Old horses are given an age based rating allowance during their Two and Three Year Old season, the Handicappers may adjust the rating of these horses for these races, based on the “weight under weight-for-age” assessment, without this affecting the horses weight, or actual rating. This may also be used for a horse starting a new season e.g. a horse beginning as a Four Year Old, may have a Three Year Old allowance factored in. When this condition is used in any race the reasons, and any allowance given shall be advertised, within the official fields.

4.0 Weight for Age and Set Weight Races (other than Maiden Races)

4.1 In Weight for Age and Set Weight Races, horses shall be eliminated or balloted, in the following order:

- (a) First - those with the lowest rating.
- (b) Second - If two or more horses have the same weight and the same rating, then these horses will be separated by the Handicapper based on each of the horses last four starts.
- (c) Third - Shall the Handicapper be unable to separate horses; they shall then be eliminated by lot.

4.2 Given that Two and Three Year Old horses are given an age based rating allowance during their Two and Three Year Old season, the Handicappers may adjust the rating of these horses for these races, based on the “weight under weight-for-age” assessment, without this affecting the horses weight, or actual rating. This may also be used for a horse starting a new season e.g. a horse beginning as a Four Year Old, may have a Three Year Old allowance factored in. When this condition is used in any race the reasons, and any allowance given shall be advertised, within the official fields.

5.0 Dual Acceptors

- 5.1 Where a horse is nominated for more than one race at the same meeting, or more than one meeting scheduled within two days of each other, the horse shall be eliminated or balloted out of one of the races, irrespective of its weight, performance or qualification status.
- 5.2 Where a horse is accepted in a Group and/or Listed Race and any other non Group and/or Listed Race scheduled within two days of each other, and its weight, rating or qualification would merit a start in both races, that horse shall be eliminated or balloted out of the non Group and/or Listed Race irrespective of its weight, rating or qualification if there are eliminations and/or ballots required.
- 5.3 Clubs or Clusters that conduct multi-day meetings within two days of each other may be exempt from this policy provided this condition is advertised in their official programme.

6.0 Extended Nominations

- 6.1 In the event of nominations being extended due to lack of nominations, or any other reason, any horse, which has been nominated at the originally advertised deadline, shall be given preference over any horse which was nominated after the extended time was announced. This shall be irrespective of rating.

7.0 Final Decision

- 7.1 In any case not provided for under the Rules, the decision of NZTR shall be final.